

HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2013 OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Community Plan, Inc.

| NAIC Grou | p Code <u>0707</u> <u>0707</u> NA (Current) (Prior) | C Company Code 954 | Employer's I | D Number38-3204052 |
|---|---|--|--|--|
| Organized under the Laws of | Michigan | , State of | Domicile or Port of E | intry Michigan |
| Country of Domicile | | United States of Americ | a | |
| Licensed as business type: | He | alth Maintenance Organi | zation | |
| Is HMO Federally Qualified? Yes [|] No [X] | | | |
| Incorporated/Organized | 10/11/1994 | Comm | enced Business | 10/11/1994 |
| Statutory Home Office | 26957 Northwestern Highway, Suite 4 | 00 | | Southfield , MI, US 48033 |
| | (Street and Number) | | ` , | Town, State, Country and Zip Code) |
| Main Administrative Office | 26957 | Northwestern Highway, ((Street and Number) | Suite 400 | |
| | field , MI, US 48033 State, Country and Zip Code) | | (Ar | 248-559-5656 ea Code) (Telephone Number) |
| , , | 0 Health Care Lane, MN017-E900 | | • | Minnetonka , MN, US 55343 |
| | Street and Number or P.O. Box) | , | | Town, State, Country and Zip Code) |
| Primary Location of Books and Recor | ds26957 | Northwestern Highway, | Suite 400 | |
| South | field , MI, US 48033 | (Street and Number) | | 248-559-5656 |
| (City or Town, S | State, Country and Zip Code) | | (Ar | ea Code) (Telephone Number) |
| Internet Website Address | | www.uhccommunityplan. | com | |
| Statutory Statement Contact | Michael E Koepke | , | | 952-979-6149 |
| michael | (Name) _e_koepke@uhc.com | | | (Area Code) (Telephone Number) 952-979-7825 |
| | E-mail Address) | | | (FAX Number) |
| | | OFFICERS | | |
| President Secretary | | Chief | Treasurer Financial Officer | |
| | | OTHER OFFICERS | | od. 677 mm. dodnara |
| Michelle Marie Huntley Dill As | ssistant Secretary | OTHER OFFICERS | | |
| James Daniel Don | ovan | DIRECTORS Bror Olof Hultgren # | <u>.</u> | William Everett Ralston |
| Karen Lynn Schi | | | | |
| 0 | 20.1.6 | | | 0.1.4 |
| State of | State of | | | State of |
| County of | County of _ | | | County of |
| herein described assets were the absolu related exhibits, schedules and explanat reporting entity as of the reporting peric Statement Instructions and Accounting not related to accounting practices and officers also includes the related corresp | te property of the said reporting entity, fre tions therein contained, annexed or referre od stated above, and of its income and ded Practices and Procedures manual except to procedures, according to the best of their i | e and clear from any liens of d to, is a full and true stater uctions therefrom for the o the extent that: (1) state la nformation, knowledge and when required, that is an ex- | or claims thereon, except ment of all the assets an eriod ended, and have be two may differ; or, (2) the belief, respectively. Fact copy (except for for | ty, and that on the reporting period stated above, all of the pt as herein stated, and that this statement, together with all liabilities and of the condition and affairs of the said been completed in accordance with the NAIC Annual nat state rules or regulations require differences in reporting furthermore, the scope of this attestation by the described matting differences due to electronic filing) of the enclosed |
| Donald Warner Schmidt | | Eric Jacob Wexler | | Carol Ann Gothard |
| President | | Secretary | | Chief Financial Officer |
| Subscribed and sworn to before me this | Subscribed | and sworn to before me thi | c | Subscribed and sworn to before me this |
| day of | | day of | | day of |
| | | | | |
| | | | If no, 1. State the amendme | g? Yes [X] No [] nt number |
| | | | 3 Number of pages a | |

ASSETS

| | | | Current Statement Date |) | 4 |
|-------|--|-------------|-------------------------|---|--|
| | | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | December 31 Prior Year Net Admitted Assets |
| 1. | Bonds | 36,153,852 | | 36,153,852 | 33,576,606 |
| 2. | Stocks: | | | | |
| | 2.1 Preferred stocks | | | 0 | 0 |
| | 2.2 Common stocks | | | 0 | 0 |
| 3. | Mortgage loans on real estate: | | | | |
| | 3.1 First liens | | | 0 | 0 |
| | 3.2 Other than first liens | | | 0 | 0 |
| 4. | Real estate: | | | | |
| | 4.1 Properties occupied by the company (less \$ | | | | |
| | encumbrances) | | | 0 | 0 |
| | 4.2 Properties held for the production of income (less | | | | |
| | \$ encumbrances) | | | 0 | 0 |
| | 4.3 Properties held for sale (less \$ | | | | |
| | encumbrances) | | | 0 | 0 |
| 5. | Cash (\$ | | | - | _ |
| ٥. | (\$1,449,683) and short-term | | | | |
| | investments (\$138,731,266) | 146 260 700 | | 146,268,709 | 100 504 250 |
| • | Contract loans (including \$ premium notes) | | | 0 | |
| | · · · · · · · · · · · · · · · · · · · | | | | 0 |
| 7. | Derivatives | | | 0 | 0 |
| 8. | | | | 0 | 0 |
| 9. | Receivables for securities | | | 0 | 0 |
| 10. | Securities lending reinvested collateral assets | | | 0 | 0 |
| 11. | Aggregate write-ins for invested assets | | | 0 | 0 |
| 12. | Subtotals, cash and invested assets (Lines 1 to 11) | 182,422,561 | 0 | 182,422,561 | 162,100,965 |
| 13. | Title plants less \$ charged off (for Title insurers | | | _ | _ |
| | only) | | | 0 | |
| | Investment income due and accrued | 641,260 | | 641,260 | 594,532 |
| 15. | Premiums and considerations: | | | | |
| | 15.1 Uncollected premiums and agents' balances in the course of collection | 2,059,322 | | 2,059,322 | 1,945,285 |
| | 15.2 Deferred premiums, agents' balances and installments booked but | | | | |
| | deferred and not yet due (including \$ | | | | |
| | earned but unbilled premiums) | | | 0 | 0 |
| | 15.3 Accrued retrospective premiums | | | 0 | 0 |
| 16. | Reinsurance: | | | | |
| | 16.1 Amounts recoverable from reinsurers | | | 0 | 111,062 |
| | 16.2 Funds held by or deposited with reinsured companies | | | 0 | |
| | 16.3 Other amounts receivable under reinsurance contracts | | | 0 | |
| | Amounts receivable relating to uninsured plans | | | | |
| | Current federal and foreign income tax recoverable and interest thereon | | | 0 | 0 |
| 18.2 | Net deferred tax asset | 2,135,473 | 506,391 | | |
| 19. | Guaranty funds receivable or on deposit | | | | 0 |
| 20. | Electronic data processing equipment and software | | | 0 | 0 |
| 21. | Furniture and equipment, including health care delivery assets | | | | |
| | (\$) | | | 0 | 0 |
| 22. | Net adjustment in assets and liabilities due to foreign exchange rates | | | 0 | 0 |
| 23. | Receivables from parent, subsidiaries and affiliates | | | 0 | 499,023 |
| 24. | Health care (\$5,407,287) and other amounts receivable | | | | 7,296,420 |
| 25. | Aggregate write-ins for other than invested assets | | | | 1,485,498 |
| 26. | Total assets excluding Separate Accounts, Segregated Accounts and | | | | |
| | Protected Cell Accounts (Lines 12 to 25) | 195,692,696 | 2,934,554 | 192,758,142 | 175,574,273 |
| 27. | From Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | 0 | 0 |
| 28. | Total (Lines 26 and 27) | 195,692,696 | 2,934,554 | 192,758,142 | 175,574,273 |
| | DETAILS OF WRITE-INS | | | | |
| 1101. | | | | | |
| 1102. | | | | | |
| 1103. | | | | | |
| | Summary of remaining write-ins for Line 11 from overflow page | | 0 | 0 | 0 |
| | Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) | 0 | 0 | 0 | 0 |
| | Performance Bonus Receivable | | - | 0 | 1,450,657 |
| | | | | | , , |
| | State Income Taxes Receivable | , | | 597,515 | 34,841 |
| 2503. | Cumpany of completion with ins for Line 25 from everylow page. | | | | Λ |
| | Summary of remaining write-ins for Line 25 from overflow page | | | 0 | 1 495 409 |
| 2599. | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 597,515 | 0 | 597,515 | 1,485,498 |

LIABILITIES, CAPITAL AND SURPLUS

| | | | Current Period | | Prior Year |
|----------------|---|--------------|----------------|-------------|-------------|
| | | 1 Covered | 2 Uncovered | 3 Total | 4 Total |
| | Claims unpaid (less \$ | | | 114,083,362 | 94,932,263 |
| 1. 2. | Accrued medical incentive pool and bonus amounts | | | 2,508,623 | 1,561,142 |
| 3. | Unpaid claims adjustment expenses | | | 1,651,070 | , , |
| 3. 4. | | 1,031,070 | | 1,051,070 | 1,351,404 |
| 4. | Aggregate health policy reserves, including the liability of \$ for medical loss ratio rebate per the Public | | | | |
| | Health Service Act | 900 835 | | 900,835 | 943,947 |
| _ | | | | 0 | 0 |
| 5. e | Aggregate life policy reserves | | | 0 | 0 |
| 6. 7. | Property/casualty unearned premium reserve | | | 0 | 0 |
| | Aggregate health claim reserves | | | 0 | 0 |
| 8. | Premiums received in advance | | | 3,951,340 | 4,167,837 |
| 9. | General expenses due or accrued | | | | 4, 107,037 |
| 10.1 | 5 , , | 100 007 | | 100 007 | 700 107 |
| 40.0 | (including \$ | | | 166,867 | · |
| | Net deferred tax liability | | | 0 | 0 |
| 11. | Ceded reinsurance premiums payable | | | | 0 |
| 12. | Amounts withheld or retained for the account of others | | | | 0 |
| 13. | Remittances and items not allocated | | | 0 | 0 |
| 14. | Borrowed money (including \$ current) and | | | | |
| | interest thereon \$ (including | | | | |
| | \$ current) | | | 0 | 0 |
| 15. | Amounts due to parent, subsidiaries and affiliates | 662,916 | | 662,916 | 0 |
| 16. | Derivatives | | | | 0 |
| 17. | Payable for securities | | | | 0 |
| 18. | Payable for securities lending | | | 0 | 0 |
| 19. | Funds held under reinsurance treaties (with \$ | | | | |
| | authorized reinsurers, \$ unauthorized | | | | |
| | reinsurers and \$ certified reinsurers) | | | 0 | 0 |
| 20. | Reinsurance in unauthorized and certified (\$ | | | | |
| | companies | | | | 0 |
| 21. | Net adjustments in assets and liabilities due to foreign exchange rates | | | 0 | 0 |
| 22. | Liability for amounts held under uninsured plans | 1,957,824 | | 1,957,824 | 1,052,492 |
| 23. | Aggregate write-ins for other liabilities (including \$ | | | | |
| | current) | | 0 | | 61,541 |
| 24. | Total liabilities (Lines 1 to 23) | 125,887,638 | | 125,887,638 | 104,840,823 |
| 25. | Aggregate write-ins for special surplus funds | XXX | xxx | 0 | 0 |
| 26. | Common capital stock | xxx | XXX | | |
| 27. | Preferred capital stock | XXX | XXX | | |
| 28. | Gross paid in and contributed surplus | XXX | XXX | 49,003,392 | 47,003,392 |
| 29. | Surplus notes | XXX | XXX | | |
| 30. | Aggregate write-ins for other than special surplus funds | xxx | XXX | 0 | 0 |
| 31. | Unassigned funds (surplus) | xxx | XXX | 17,867,112 | 23,730,058 |
| 32. | Less treasury stock, at cost: | | | | |
| | 32.1 shares common (value included in Line 26 | | | | |
| | \$ | xxx | XXX | | |
| | 32.2 shares preferred (value included in Line 27 | | | | |
| | \$ | xxx | XXX | | |
| 33. | Total capital and surplus (Lines 25 to 31 minus Line 32) | XXX | XXX | 66,870,504 | 70,733,450 |
| 34. | Total liabilities, capital and surplus (Lines 24 and 33) | XXX | xxx | 192,758,142 | 175,574,273 |
| | DETAILS OF WRITE-INS | | | | |
| 2301. | Unclaimed Property | 4.801 | | 4,801 | 61,541 |
| 2302. | | | | | |
| 2303. | | | | | |
| 2398. | Summary of remaining write-ins for Line 23 from overflow page | | 0 | 0 | 0 |
| 2399. | Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) | 4.801 | 0 | 4.801 | 61,541 |
| 2501. | Totals (Lines 2001 timough 2000 plus 2000)(Line 20 above) | , | | , | |
| 2501. 2502. | | | | | |
| | | | | | |
| 2503. | Summary of romaining write ine for Line 25 from everflow page | | | | |
| 2598. | Summary of remaining write-ins for Line 25 from overflow page | | | 0 | 0 |
| 2599. | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | XXX | XXX | 0 | 0 |
| 3001. | | | | | |
| 3002. | | | | | |
| 3003. | | | | | |
| 3098. | Summary of remaining write-ins for Line 30 from overflow page | | | | 0 |
| 3099. | Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) | XXX | XXX | 0 | 0 |

STATEMENT OF REVENUE AND EXPENSES

| | | Current Year To Date | | Prior Year To Date | Prior Year Ended December 31 |
|-----------|---|----------------------|-------------|-----------------------|---------------------------------|
| | | 1 Uncovered | 2 Total | 3 Total | 4 Total |
| 1. | Member Months | XXX | 1,427,466 | 1,479,602 | 2,932,420 |
| 2. | Net premium income (including \$ non-health | | | | |
| | premium income) | | | | |
| 3. | Change in unearned premium reserves and reserve for rate credits | | | | |
| 4. | Fee-for-service (net of \$ medical expenses) | | | | |
| 5. | Risk revenue | | | | |
| 6. | Aggregate write-ins for other health care related revenues | | | | |
| 7. | Aggregate write-ins for other non-health revenues | | | | |
| 8. | Total revenues (Lines 2 to 7) | XXX | 444,994,994 | 445, 109,308 | 5/3,429,004 |
| 9. | Hospital and Medical: Hospital/medical benefits | | 226 265 546 | 215 049 295 | 629 067 202 |
| 9. 10. | Other professional services | | | | 5,604,677 |
| 11. | Outside referrals | | | | |
| 12. | Emergency room and out-of-area | | | 17,237,124 | |
| 13. | Prescription drugs | | | ' ' | |
| 14. | Aggregate write-ins for other hospital and medical | | | | 0 |
| 15. | Incentive pool, withhold adjustments and bonus amounts | | | | 4.785.633 |
| 16. | Subtotal (Lines 9 to 15) | | | | 770,281,860 |
| | Less: | | | , , | , , , |
| 17. | Net reinsurance recoveries | | 125,479 | 199,581 | 1, 124,570 |
| 18. | Total hospital and medical (Lines 16 minus 17) | 0 | 412,510,678 | 393,303,188 | 769 , 157 , 290 |
| 19. | Non-health claims (net) | | | | |
| 20. | Claims adjustment expenses, including \$5,303,711 cost | | | | |
| | containment expenses | | 11,323,348 | 6, 182, 252 | 27,790,047 |
| 21. | General administrative expenses | | 30,416,926 | 50,933,759 | 74,649,991 |
| 22. | Increase in reserves for life and accident and health contracts | | | | |
| | (including \$ increase in reserves for life only) | | | | |
| 23. | Total underwriting deductions (Lines 18 through 22) | | | | |
| 24. | Net underwriting gain or (loss) (Lines 8 minus 23) | | | | |
| 25. | Net investment income earned | | 541,535 | 693,113 | 1,314,772 |
| 26. | Net realized capital gains (losses) less capital gains tax of | | | | |
| | \$47,388 | | | 317,607 | |
| 27. | Net investment gains (losses) (Lines 25 plus 26) | 0 | 629,334 | 1,010,720 | 1,573,758 |
| 28. | Net gain or (loss) from agents' or premium balances charged off [(amount | | | | |
| | recovered \$) | | | | |
| | (amount charged off \$)] | | | | /0F 000\ |
| 29. | Aggregate write-ins for other income or expenses | 0 | | 0 | (25,000) |
| 30. | Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) | XXX | (8,626,624) | (4,239,171) | 5,381,094 |
| 31. | Federal and foreign income taxes incurred | | | (1,362,460) | 1, 188, 072 |
| 32. | Net income (loss) (Lines 30 minus 31) | XXX | (5,470,906) | (2,876,711) | 4,193,022 |
| | DETAILS OF WRITE-INS | | | | |
| 0601. | Performace Bonus | XXX | | | 1,450,657 |
| 0602. | | XXX | | | |
| 0603. | | XXX | | | |
| 0698. | | XXX | 0 | 0 | 0 |
| 0699. | Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) | XXX | 0 | 0 | 1,450,657 |
| 0701. | | XXX | | | |
| 0702. | | | | | |
| 0703. | | | | | |
| 0798. | Summary of remaining write-ins for Line 7 from overflow page | | 0 | 0 | n |
| 0799. | Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) | XXX | 0 | 0 | 0 |
| 1401. | Totale (Emice of a fill anough of oc place of co)(Emic 1 above) | ,,,,, | <u> </u> | , | <u> </u> |
| 1402. | | | | | |
| 1403 | | | | | |
| 1498. | Summary of remaining write-ins for Line 14 from overflow page | 0 | 0 | 0 | Λ |
| 1496. | Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) | 0 | 0 | | ۰ |
| 2901. | Fines and Penalties | • | Ŭ | 0 | (25,000) |
| 2902. | | | | | (23,000) |
| | | | | | |
| 2903 | Summary of rampining write ine for Line 20 from everflow page | ^ | | | ^ |
| 2998. | Summary of remaining write-ins for Line 29 from overflow page | 0 | 0 | 0 | /0E 000\ |
| 2999. | Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) | Ü | 0 | 0 | (25,000) |

STATEMENT OF REVENUE AND EXPENSES (Continued)

| | STATEMENT OF REVENUE AND EX | PENSES (C | ontinuec | , |
|-------|--|------------------------------|----------------------------|---|
| | | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
| | CAPITAL AND SURPLUS ACCOUNT | | | |
| 33. | Capital and surplus prior reporting year | 70,733,450 | 67,380,569 | 67,380,569 |
| 34. | Net income or (loss) from Line 32 | (5,470,906) | (2,876,711) | 4, 193,022 |
| 35. | Change in valuation basis of aggregate policy and claim reserves | | | |
| 36. | Change in net unrealized capital gains (losses) less capital gains tax of \$ | | | |
| 37. | Change in net unrealized foreign exchange capital gain or (loss) | | | |
| 38. | Change in net deferred income tax | 87,594 | 1,300,555 | 833,814 |
| 39. | Change in nonadmitted assets | (479,634) | (4,011,558) | 1,483,957 |
| 40 | Change in unauthorized and certified reinsurance | 0 | 0 | 0 |
| 41. | Change in treasury stock | 0 | 0 | 0 |
| 42. | Change in surplus notes | 0 | 0 | 0 |
| 43. | Cumulative effect of changes in accounting principles | | | |
| 44. | Capital Changes: | | | |
| | 44.1 Paid in | 0 | 0 | 0 |
| | 44.2 Transferred from surplus (Stock Dividend) | 0 | 0 | 0 |
| | 44.3 Transferred to surplus | | | |
| 45. | Surplus adjustments: | | | |
| | 45.1 Paid in | 2,000,000 | 0 | 0 |
| | 45.2 Transferred to capital (Stock Dividend) | | | |
| | 45.3 Transferred from capital | | | |
| 46. | Dividends to stockholders | | | |
| 47. | Aggregate write-ins for gains or (losses) in surplus | 0 | (3, 157, 912) | (3, 157, 912) |
| 48. | Net change in capital & surplus (Lines 34 to 47) | (3,862,946) | (8,745,626) | 3,352,881 |
| 49. | Capital and surplus end of reporting period (Line 33 plus 48) | 66,870,504 | 58,634,943 | 70,733,450 |
| | DETAILS OF WRITE-INS | | | |
| 4701. | Correction of error | | (3, 157, 912) | (3,157,912) |
| 4702. | | | | |
| 4703. | | | | |
| 4798. | Summary of remaining write-ins for Line 47 from overflow page | 0 | 0 | 0 |
| 4799. | Totals (Lines 4701 through 4703 plus 4798)(Line 47 above) | 0 | (3, 157, 912) | (3, 157, 912) |

CASH FLOW

| | CASH FLOW | - | 1 | |
|-----|---|------------------------------|---|--------------------------------------|
| | | 1 Current Year To Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
| | Cash from Operations | | | |
| 1. | Premiums collected net of reinsurance | 446,288,502 | 448,858,527 | 872,537,346 |
| 2. | Net investment income | 627,677 | 90 , 149 | 1,015,576 |
| 3. | Miscellaneous income | 0 | 0 | 1,450,657 |
| 4. | Total (Lines 1 to 3) | 446,916,179 | 448,948,676 | 875,003,579 |
| 5. | Benefit and loss related payments | 390,891,412 | 382,455,444 | 767,853,145 |
| 6. | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | |
| 7. | Commissions, expenses paid and aggregate write-ins for deductions | 41,298,665 | 58,067,632 | 101,255,957 |
| 8. | Dividends paid to policyholders | | | |
| 9. | Federal and foreign income taxes paid (recovered) net of \$ tax on capital | (2.495.000) | 2 154 141 | 1 265 022 |
| 4.0 | gains (losses) | (2,485,000) | 2,154,141 | 1,365,932 |
| 10. | Total (Lines 5 through 9) | 429,705,077 | 442,677,217 | 870,475,034 |
| 11. | Net cash from operations (Line 4 minus Line 10) | 17,211,102 | 6,271,459 | 4,528,545 |
| | Cash from Investments | | | |
| 12. | Proceeds from investments sold, matured or repaid: | | | |
| | 12.1 Bonds | 9,935,311 | 12,882,947 | 23,548,650 |
| | 12.2 Stocks | 0 | 0 | 0 |
| | 12.3 Mortgage loans | 0 | 0 | 0 |
| | 12.4 Real estate | 0 | 0 | 0 |
| | 12.5 Other invested assets | 0 | 0 | 0 |
| | 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | 756 | 0 | 2,508 |
| | 12.7 Miscellaneous proceeds | 0 | 1,631,511 | 0 |
| | 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 9,936,067 | 14,514,458 | 23,551,158 |
| 13. | Cost of investments acquired (long-term only): | | | |
| | 13.1 Bonds | 12,508,018 | 12,298,723 | 17, 174,437 |
| | 13.2 Stocks | 0 | 0 | 0 |
| | 13.3 Mortgage loans | 0 | 0 | 0 |
| | 13.4 Real estate | 0 | 0 | 0 |
| | 13.5 Other invested assets | 0 | 0 | 0 |
| | 13.6 Miscellaneous applications | 0 | 0 | 0 |
| | 13.7 Total investments acquired (Lines 13.1 to 13.6) | 12,508,018 | 12,298,723 | 17, 174, 437 |
| 14. | Net increase (or decrease) in contract loans and premium notes | 0 | 0 | 0 |
| 15. | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | (2,571,951) | 2,215,735 | 6,376,721 |
| | Cash from Financing and Miscellaneous Sources | | | |
| 16. | Cash provided (applied): | | | |
| | 16.1 Surplus notes, capital notes | 0 | 0 | 0 |
| | 16.2 Capital and paid in surplus, less treasury stock | | | 0 |
| | 16.3 Borrowed funds | | 0 | 0 |
| | 16.4 Net deposits on deposit-type contracts and other insurance liabilities | | 0 | 0 |
| | 16.5 Dividends to stockholders | | 0 | 0 |
| | 16.6 Other cash provided (applied) | 1,105,199 | (5,179,842) | (5,671,734) |
| 17. | | 3, 105, 199 | (5,179,842) | (5,671,734) |
| ı | | 2,0, .00 | (-,, -, -, -, -, -, -, -, -, -, -, -, -, -, | (=,5,.01) |
| | RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) | 17,744,350 | 3,307,352 | 5,233,532 |
| 19. | Cash, cash equivalents and short-term investments: | | | |
| | 19.1 Beginning of year | 128,524,359 | 123,290,827 | 123,290,827 |
| | 19.2 End of period (Line 18 plus Line 19.1) | 146,268,709 | 126,598,179 | 128,524,359 |

| Note: Supplemental disclosures of cash flow information for non-cash transactions: | | |
|--|--|--|
| | | |

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

| | 1 | Comprehe (Hospital & I | ensive Medical) | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|-------------|---------------------------|--------------------|------------------------|----------------|----------------|--|-------------------------|-----------------------|-------|
| | Total | 2 Individual | 3 Group | Medicare Supplement | Vision Only | Dental Only | Federal Employees Health Benefit Plan | Title XVIII Medicare | Title XIX Medicaid | Other |
| Total Members at end of: | | | | | | | | | | |
| 1. Prior Year | 243,545 | 0 | 968 | 0 | 0 | 0 | 0 | 4,537 | 238,040 | |
| 2. First Quarter | 239,775 | 0 | 1,022 | 0 | 0 | 0 | 0 | 4,775 | 233,978 | |
| Second Quarter | 237,899 | | 1,062 | | | | | 5,171 | 231,666 | |
| 4. Third Quarter | 0 | | | | | | | | | |
| 5. Current Year | 0 | | | | | | | | | |
| 6. Current Year Member Months | 1,427,466 | | 6,178 | | | | | 29,160 | 1,392,128 | |
| Total Member Ambulatory Encounters for Period: | | | | | | | | | | |
| 7 Physician | | | 1,603 | | | | | 37,628 | 770,726 | |
| 8. Non-Physician | 414,772 | | 412 | | | | | 17,345 | 397,015 | |
| 9. Total | 1,224,729 | 0 | 2,015 | 0 | 0 | 0 | 0 | 54,973 | 1,167,741 | |
| 10. Hospital Patient Days Incurred | 66,631 | | 19 | | | | | 8,216 | 58,396 | |
| 11. Number of Inpatient Admissions | 13,754 | | 8 | | | | | 1,127 | 12,619 | |
| 12. Health Premiums Written (a) | 445,867,858 | | 494,261 | | | | | 29,211,896 | 416,161,701 | |
| 13. Life Premiums Direct | 0 | | | | | | | | | |
| 14. Property/Casualty Premiums Written | 0 | | | | | | | | | |
| 15. Health Premiums Earned | 445,910,970 | | 494,261 | | | | | 29,255,008 | 416,161,701 | |
| 16. Property/Casualty Premiums Earned | 0 | | | | | | | | | |
| 17. Amount Paid for Provision of Health Care Services | 391,572,689 | | 275,086 | | | | | 24,249,299 | 367,048,304 | |
| 18. Amount Incurred for Provision of Health Care Services | 412,636,157 | | 208,775 | | | | | 26, 154, 183 | 386,273,199 | |

⁽a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$29,211,896

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

| Aging Analysis of Unpaid Claims | | | | | | |
|--|-------------|--------------|--------------|---------------|---------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Account | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | 91 - 120 Days | Over 120 Days | Total |
| Claims Unpaid (Reported) | | | | | | |
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| | | | | | T | |
| 0299999 Aggregate accounts not individually listed-uncovered | | | | | | |
| 0399999 Aggregate accounts not individually listed-covered | 3,050,832 | 320, 139 | 318,331 | | 29,352,594 | 33,041,896 |
| 0399999 Aggregate accounts not individually listed-covered 0499999 Subtotals | 3,050,832 | 320, 139 | 318,331 | 0 | | 33,041,896 |
| 0599999 Unreported claims and other claim reserves | 0,000,002 | 020,100 | 010,001 | | 20,002,001 | 81,986,098 |
| 0699999 Total amounts withheld | | | | | | 34,200 |
| 0799999 Total claims unpaid | | | | | | 115,062,194 |
| 0899999 Accrued medical incentive pool and bonus amounts | | | | | | 2,508,623 |
| oobabab Accided incentive pool and bonds amounts | | | | | | ∠,508,62₹ |

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

| | AIMS UNPAID - PRIOR YEAR - NET OF REINSU Claims | | Liab | ility | 5 | 6 |
|---|--|--|---|--|--|---|
| | | Year to Date End of Current Quarter | | | | · · |
| | 1 | 2 | 3 | 4 | | |
| Line of Business | On Claims Incurred Prior to January 1 of Current Year | On Claims Incurred During the Year | On Claims Unpaid Dec. 31 of Prior Year | On Claims Incurred During the Year | Claims Incurred in Prior Years (Columns 1 + 3) | Estimated Claim Reserve and Claim Liability December 31 of Prior Year |
| | | | | | (| |
| Comprehensive (hospital and medical) | | 238,769 | 110,999 | 60,663 | 147,316 | 232,85 |
| Medicare Supplement | | | | | 0 | |
| 3. Dental Only | | | | | 0 | |
| 4. Vision Only | | | | | 0 | |
| 5. Federal Employees Health Benefits Plan | | | | | 0 | |
| 6. Title XVIII - Medicare | 5,748,015 | 18,483,697 | 484,967 | 9,190,823 | 6,232,982 | 7,598,8 |
| 7 Title XIX - Medicaid | | 313,033,997 | 39 , 193 , 173 | 65,042,737 | 91,399,471 | 87,100,5 |
| 8. Other health | | | | | 0 | |
| 9. Health subtotal (Lines 1 to 8) | | 331,756,463 | 39,789,139 | 74,294,223 | 97,779,769 | 94,932,2 |
| 10. Healthcare receivables (a) | 5,049,267 | 2,236,553 | | 549,505 | 5,049,267 | 9,244,94 |
| 11. Other non-health | | | | | 0 | |
| 12. Medical incentive pools and bonus amounts | 1,255,381 | | 527,233 | 1,981,390 | 1,782,614 | 1,561,14 |
| 13. Totals (Lines 9-10+11+12) | 54,196,744 | 329,519,910 | 40,316,372 | 75,726,108 | 94,513,116 | 87,248,4 |

NOTES TO FINANCIAL STATEMENTS

(In Thousands)

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Accounting Practices

The financial statements of UnitedHealthcare Community Plan, Inc. (the "Company") are presented on the basis of accounting practices prescribed or permitted by the State of Michigan Department of Insurance and Financial Services (the "Department").

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Michigan, for determining and reporting the financial condition and results of operations of a health maintenance organization, for determining its solvency under Michigan Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the financial statement.

No significant differences exist between the practices prescribed or permitted by the State of Michigan and those prescribed or permitted by the NAIC SAP which materially affect the statutory basis net (loss) income and capital and surplus as illustrated in the table below:

| | State of Domicile | June 30, 2013 | December 31, 2012 | |
|---|-------------------|------------------|----------------------|--|
| NET (LOSS) INCOME | | | | |
| (1) Company state basis | Michigan | \$ (5,471) | \$ 4,193 | |
| (2) State Prescribed Practices that increase/(decrease) NAIC SAP: | | | | |
| Not Applicable | M ichigan | | | |
| (3) State Permitted Practices that increase/(decrease) NAIC SAP: | Mishian | | | |
| Not Applicable | Michigan | | | |
| (4) NAIC SAP | Michigan | \$ (5,471) | \$ 4,193 | |
| SURPLUS | | | | |
| (5) Company state basis | Michigan | \$ 66,871 | \$ 70,733 | |
| (6) State Prescribed Practices that increase/(decrease) NAIC SAP: | | | | |
| Not Applicable | M ichigan | _ | _ | |
| (7) State Permitted Practices that increase/(decrease) NAIC SAP: | | | | |
| Not Applicable | Michigan | | | |
| (8) NAIC SAP | Michigan | \$ 66,871 | \$ 70,733 | |

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

C. Accounting Policy

No significant change.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. No significant change.

4. DISCONTINUED OPERATIONS

(1–5) No significant change.

5. INVESTMENTS AND OTHER INVESTED ASSETS

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt restructuring

No significant change.

C. Reverse Mortgages

No significant change.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for single class and multiclass mortgage-backed/asset-backed securities were obtained from an external data source vendor.
- (2) The Company did not recognize any other-than-temporary impairments on mortgage-type investments as of June 30, 2013.
- (3) As of June 30, 2013, the Company did not have mortgage-type investments with any other-than-temporary impairment to report by CUSIP.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the mortgage-backed securities have been in a continuous unrealized loss position at June 30, 2013 and December 31, 2012:

| | June | 30, 2013 |
|--|-------|--------------|
| The aggregate amount of unrealized losses: 1. Less than 12 months 2. 12 Months or longer | \$ | (96) - |
| The aggregate related fair value of securities with unrealized losses: 1. Less than 12 months 2. 12 Months or longer | | 4,638 |
| | Decem | ber 31, 2012 |
| The aggregate amount of unrealized losses: | | |
| Less than 12 months 12 Months or longer | \$ | (1) - |
| The aggregate related fair value of securities with unrealized losses: 1. Less than 12 months 2. 12 Months or longer | | 532 |

E. Repurchase Agreements and/or Securities Lending Transactions

No significant change.

F. Real Estate

No significant change.

G. Investments in Low-Income Housing Tax Credits

No significant change.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. No significant change.

7. INVESTMENT INCOME

A–B. No significant change.

8. **DERIVATIVE INSTRUMENTS**

A–F. No significant change.

9. INCOME TAXES

A–G. No significant change.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A-L. Material Related Party Transactions

Effective June 1, 2013, the Company entered into a revised management agreement with United HealthCare Services, Inc. ("UHS"). This agreement has been approved by the Department. UHS will continue to provide management services to the Company under a revised fee structure which is changing from a percentage of premiums to a direct charge based on UHS' expenses for services or use of assets provided to the Company.

11. **DEBT**

A–B. No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–F. No significant change.

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

- (1–3) No significant change.
- (4) The Company received a capital infusion of \$2,000 on June 25, 2013 from AmeriChoice Corporation ("AmeriChoice"), which was recorded as an increase to gross paid-in and contributed surplus.
- (5–13) No significant change.

14. CONTINGENCIES

A–E. No significant change.

15. LEASES

A–B. No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1-4) No significant change.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

- **A.** The Company did not have any transfers of receivables reported as sales as of June 30, 2013 or December 31, 2012.
- **B.** The Company did not have any transfer and servicing of financial assets as of June 30, 2013 or December 31, 2012.
- C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of June 30, 2013 or the year ended December 31, 2012.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A–B. No significant change.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a payable of \$1,957 and \$1,048 as of June 30, 2013 and December 31, 2012, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a receivable of \$1 and \$0 and a payable of \$2 and \$3 as of June 30, 2013 and December 31, 2012, respectively, for the Medicare Part D Coverage Gap Discount Program.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments ("investments") are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service ("pricing service"), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services, the Company has not historically adjusted the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value

(1) Fair Value Measurements at Reporting Date

The Company does not have any financial assets that are measured and reported at fair value on the statutory basis statements of admitted assets, liabilities, and capital and surplus at June 30, 2013 and December 31, 2012.

- (2) The Company does not have any financial assets with a fair value hierarchy of level 3.
- **B.** Fair Value Combination Not applicable.

C. The aggregate fair value by hierarchy of all financial instruments as of June 30, 2013 and December 31, 2012, is presented in the table below:

| | | June 30, 2013 | | | | | | | | | | |
|--|-------------------------|-------------------------|--------------------|------------------------|-----------|-------------------|-----------|-----------------------|-----------|-------------|----|------------------------------------|
| Types of Financial Investment | Aggregate Fair Value | | Admitted Assets | | (Level 1) | | (Level 2) | | (Level 3) | | Ca | Not actical arrying /alue |
| Bonds Common stock Perpetual preferred stock Mortgage loans | \$ | 175,346 | \$ | 174,885 - - - | \$ | 109,687 - - | \$ | 65,659 - - - | \$ | - - - | \$ | - - - |
| | | | | | | December 3 | 1, 20 | 12 | | | | |
| Types of Financial Investment | | Aggregate Fair Value | | Admitted Assets | | (Level 1) | | (Level 2) | | evel 3) | Ca | Not actical arrying /alue |
| Bonds | \$ | 160,436 | \$ | 158,926 | \$ | 97,649 | \$ | 62,787 | \$ | - | \$ | - |
| Common stock | | - | | - | | - | | - | | - | | - |
| Perpetual preferred stock | | - | | - | | - | | - | | - | | - |
| Mortgage loans | | - | | - | | - | | - | | - | | - |

Included as Level 1 in bonds in the fair value hierarchy table above is money market instruments of \$92,724 and \$78,131 as of June 30, 2013 and December 31, 2012, respectively. Commercial paper of \$2,195 and \$8,394 is included as Level 2 in bonds in the fair value hierarchy table above as of June 30, 2013 and December 31, 2012, respectively. Commercial paper and money market instruments are reflected in cash, cash equivalents and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

A–H. No significant change.

22. EVENTS SUBSEQUENT

No significant change.

23. REINSURANCE

A–C. No significant change.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

- **A–B.** No significant change.
- C. The Company has Medicare Part D program business which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Part D earned premiums subject to retrospective rating was \$3,153 and \$4,887 representing 0.71% and 0.56% of total net premium income as of June 30, 2013 and December 31, 2012, respectively.
- **D.** Pursuant to the Health Reform Legislation, the Company does not have any business subject to specific minimum loss ratio requirements as of June 30, 2013 and December 31, 2012.

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables at June 30, 2013 and December 31, 2012:

| | | June 30, 2013 | |
|--|------------------------------------|-----------------------------------|-------------|
| | Current Year Incurred Claims | Prior Years Incurred Claims | Total |
| Beginning of year claim reserve Paid claims, net of health care receivables and | \$ - | \$ (96,493) | \$ (96,493) |
| reinsurance recoveries collected | 331,756 | 59,135 | 390,891 |
| End of year claim reserve | 76,276 | 40,316 | 116,592 |
| Incurred claims excluding the change in health care receivables and reinsurance recoverables as presented below | 408,032 | 2,958 | 410,990 |
| Beginning of year health care receivables and reinsurance recoverables End of year health care receivables | - | 9,356 | 9,356 |
| and reinsurance recoverables | (2,786) | (5,049) | (7,835) |
| Total incurred claims | \$ 405,246 | \$ 7,265 | \$ 412,511 |
| | | December 31, 2012 | 2 |
| | Current Year Incurred Claims | Prior Years Incurred Claims | Total |
| Beginning of year claim reserve Paid claims, net of health care receivables and | \$ - | \$ (95,318) | \$ (95,318) |
| reinsurance recoveries collected | 694,967 | 72,886 | 767,853 |
| End of year claim reserve | 87,651 | 8,842 | 96,493 |
| Incurred claims excluding the change in health care receivables and reinsurance recoverables as presented below | 782,618 | (13,590) | 769,028 |
| Beginning of year health care receivables and reinsurance recoverables End of year health care receivables | - | 9,485 | 9,485 |
| and reinsurance recoverables | (9,262) | (94) | (9,356) |
| Total incurred claims | \$ 773,356 | \$ (4,199) | \$ 769,157 |

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables as of December 31, 2012 were \$87,137. As of June 30, 2013, \$59,135 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now \$35,267 as a result of re-estimation of unpaid claims. Therefore, there has been \$7,265 unfavorable prior year development since December 31, 2012 to June 30, 2013. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of \$3,373 offset by unfavorable development of \$10,166 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2012, the Company recorded \$4,199 of favorable development. The primary drivers consist of favorable development as a result of a change in provision for adverse deviations in experience of \$3,782 and \$234 favorable retroactivity for pharmacy rebates. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The unfavorable development also impacts the original estimates and calculations for retrospectively rated policies. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding receivable related to retrospectively rated policies, and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses of \$11,323 and \$27,790 for the six months ended June 30, 2013 and the year ended December 31, 2012, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement (see Note 10). The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for the six months ended June 30, 2013 and the year ended December 31, 2012:

| | • | June 30, 2013 | December 31, 2012 | | |
|--|----|----------------------------|----------------------|----------------------------|--|
| Total claims adjustment expenses incurred Less current year unpaid claims adjustment expenses Add prior year unpaid claims adjustment expenses | \$ | 11,323 (1,651) 1,331 | \$ | 27,790 (1,331) 1,271 | |
| Total claims adjustment expenses paid | \$ | 11,003 | \$ | 27,730 | |

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. No significant change.

27. STRUCTURED SETTLEMENTS

A–B. No significant change.

28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLES

A. Pharmaceutical rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions. The Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For pharmacy rebates that meet the admissibility criteria, the collection history of pharmacy rebates is summarized as:

| Quarter | Pr Re Re _l | stimated narmacy ebates as ported on inancial atements | Pharmacy Rebates as Billed or Otherwise Confirmed | | R W | Actual Rebates eceived Vithin 90 Days of Billing | Re With 180 | Actual ebates eceived hin 91 to Days of Billing | Actual Rebates Received More Than 180 Days After Billing | | |
|------------|-----------------------------|---|---|-------|--------|---|-------------------|--|---|-----|--|
| 6/30/2013 | \$ | 1,613 | \$ | - | \$ | - | \$ | - | \$ | - | |
| 3/31/2013 | | 1,430 | | 1,384 | | 421 | | - | | - | |
| 12/31/2012 | | 1,732 | | 1,898 | | 758 | | 662 | | - | |
| 9/30/2012 | | 1,661 | | 1,718 | | 752 | | 910 | | 41 | |
| 6/30/2012 | | 1,550 | | 1,705 | | 676 | | 934 | | 73 | |
| 3/31/2012 | | 1,356 | | 1,614 | | 534 | | 637 | | 377 | |
| 12/31/2011 | | 1,285 | | 1,439 | | 566 | | 842 | | 22 | |
| 9/30/2011 | | 809 | | 1,318 | | 536 | | 606 | | 166 | |
| 6/30/2011 | | 761 | | 1,145 | | 517 | | 569 | | 44 | |
| 3/31/2011 | | 532 | | 907 | | 404 | | 162 | | 309 | |
| 12/31/2010 | | - | | 1 | | - | | - | | 1 | |
| 9/30/2010 | | - | | - | | - | | - | | - | |

Of the amount reported as health care receivables, \$2,026 and \$2,243 relates to pharmaceutical rebate receivables as of June 30, 2013 and December 31, 2012, respectively. Admitted health care and other amounts receivable also includes maternity case receivables due from the Department of Community Health, reclassed from uncollected premiums per the State of Michigan. This amount totaled \$3,378 and \$5,051 as of June 30, 2013 and December 31, 2012, respectively. In 2012, admitted health care receivable also includes an amount for plan to plan receivables of \$2.

B. No significant change.

29. PARTICIPATING POLICIES

No significant change.

30. PREMIUM DEFICIENCY RESERVES

No significant change.

31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

| 1.1 | Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? | Yes [|] | No [X |] |
|-----|--|-------|-------|--------|-------|
| 1.2 | If yes, has the report been filed with the domiciliary state? | |] | No [|] |
| 2.1 | Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? | Yes [|] | No [X |] |
| 2.2 | If yes, date of change: | | | | |
| 3.1 | Have there been any substantial changes in the organizational chart since the prior quarter end? | Yes [| Х] | No [|] |
| 3.2 | If the response to 3.1 is yes, provide a brief description of those changes. April 2, 2013 FrontierMEDEX Group Limited, a United Kingdom private limited company, was dissolved. | | | | |
| | April 2, 2013 FrontierMEDEX UK Limited, a United Kingdom private limited company, was dissolved. | | | | |
| | May 2, 2013 Paularino Third Party Administrators, Inc., a California corporation, was dissolved. | | | | |
| | May 9, 2013 Lifeprint Accountable Care Organization, LLC, a Delaware limited liability company, was formed as a wholly owned subsidiary of Collaborative Care Holdings, LLC. | | | | |
| | June 4, 2013 Frontier Medex Tanzania Limited, was formed as a private company limited by shares under the laws of the United Republic of Tanzania. Frontier Medex Tanzania Limited is 99% owned by FrontierMedex Limited, a UK company. | | | | |
| | June 25, 2013 Exploration Logistics Limited, a UK company, was dissolved. | | | | |
| | June 25, 2013 Medekit.com Limited, a UK company, was dissolved. | | | | |
| | June 25, 2013 Frontier Medical Services Limited, a UK company, was dissolved. | | | | |
| | June 30, 2013 HIT Securities Corporation, a Massachusetts corporation, merged with and into Humedica, Inc., a Delaware corporation. | | | | |
| | June 30, 2013 Mohave Valley Hospital, Inc., an Arizona corporation, merged with and into Sierra Health Services, Inc. a Nevada corporation. | | | | |
| 4.1 | July 4, 2013 Amil Participações S.A., a Brazilian joint stock company, and Mind Solutions, S.A., a Brazilian joint stock company, simultaneously merged with and into Amil Assistência Médica Internacional S.A. This resulted in Polar II Fundo de Investimento em Participações owning 90.226% of Amil Assistência Médica Internacional S.A. Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? | Yes [|] | No [X |] |
| 4.2 | If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation. | | | | |
| | 1 Name of Entity NAIC Company Code State of Domicile | | | | |
| 5. | If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? | X] No |] |] N/A | [] |
| 6.1 | 2013. State as of what date the latest financial examination of the reporting entity was made or is being made | 12 | 2/31/ | 2010 | |
| 6.2 | State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. | 1; | 2/31/ | 2010 | |
| 6.3 | State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). | 0; | 3/20/ | 2012 | |
| 6.4 | By what department or departments? | | | | |
| 6.5 | The State of Michigan Department of Insurance and Financial Services Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? |] No | [|] N/A | [X] |
| 6.6 | Have all of the recommendations within the latest financial examination report been complied with? |] No | [|] N/A | [X] |
| 7.1 | Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? | Yes [|] | No [X |] |
| 7.2 | If yes, give full information: | | | | |
| 8.1 | Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? | Yes [|] | No [X |] |
| 8.2 | If response to 8.1 is yes, please identify the name of the bank holding company. | | | | |
| 8.3 | Is the company affiliated with one or more banks, thrifts or securities firms? | Yes [| Х] | No [|] |
| 8.4 | If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator. | | | | |

| 1 | 2 | 3 | 4 | 5 | 6 |
|------------------------|------------------------|-----|-----|------|-----|
| Affiliate Name | Location (City, State) | FRB | OCC | FDIC | SEC |
| OptumHealth Bank, Inc. | Salt Lake City, Utah | NO | NO | YES | NO |
| | ** | | | | |

GENERAL INTERROGATORIES

| 9.1 | Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controll similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? | ersonal and professional | Yes [X] No | [] |
|--------------|---|--|---|------------------|
| 9.11 | If the response to 9.1 is No, please explain: | | | |
| 9.2 | Has the code of ethics for senior managers been amended? | | Yes [] No | [X] |
| 9.21 | If the response to 9.2 is Yes, provide information related to amendment(s). | | | |
| 9.3 9.31 | Have any provisions of the code of ethics been waived for any of the specified officers? | | Yes [] No | [X] |
| | FINANCIAL | | | |
| 10.1 10.2 | Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement from parent included in the Page 2 amount: | | | |
| 11.1 | use by another person? (Exclude securities under securities lending agreements.) | | | [X] |
| 11.2 12. | If yes, give full and complete information relating thereto: Amount of real estate and mortgages held in other invested assets in Schedule BA: | | \$ | |
| 13. | Amount of real estate and mortgages held in short-term investments: | | | |
| 14.1 | Does the reporting entity have any investments in parent, subsidiaries and affiliates? | | Yes [] No | [X] |
| | Bonds | 1 Prior Year-End Book/Adjusted Carrying Value | 2 Current G Book/Ad Carrying \$ | ljusted Value |
| | Preferred Stock | | \$ | |
| | Common Stock | | \$ | |
| 14.24 | Short-Term Investments | \$ | \$ | |
| | Mortgage Loans on Real Estate | | \$ | |
| | All Other | | \$ | |
| | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | | \$ | |
| 14.28 | Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ | |
| 15.1 15.2 | Has the reporting entity entered into any hedging transactions reported on Schedule DB? | | | |

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

18.2 If no, list exceptions:

| | 16.2 Total book ac | ne of reinvested collateral assets report djusted/carrying value of reinvested coll of for securities lending reported on the | lateral asset | s reported on Sche | edule DL, Parts 1 and 2 | \$ | 0 |
|--------------|---|--|--|--|--|--------------|-------|
| 17. | offices, vaults or safety deposit be custodial agreement with a qualific Outsourcing of Critical Functions, | art 3 - Special Deposits, real estate, mo exes, were all stocks, bonds and other ed bank or trust company in accordance Custodial or Safekeeping Agreements | securities, ov ce with Secti of the NAIC | wned throughout th on 1, III - General Financial Condition | he current year held pursuant to a Examination Considerations, F. on Examiners Handbook? | • | [] |
| 17.1 | For all agreements that comply with | n the requirements of the NAIC Financi | ai Condition | Examiners Hands | book, complete the following: | | |
| | Nome of | 1 Custodian(s) | | 0 | 2 ustodian Address | | |
| | | Custodian(s) | 50 S LaSa | | | | |
| | | | | | | | |
| 17.2 | For all agreements that do not com location and a complete explanati | ply with the requirements of the NAIC on: | Financial Co | ndition Examiners | Handbook, provide the name, | | |
| | 1 Name(s) | 2 Location(s) | | Co | 3 omplete Explanation(s) | | |
| 17.3 17.4 | Have there been any changes, incl If yes, give full information relating | uding name changes, in the custodian(thereto: | s) identified | in 17.1 during the | current quarter? | Yes [] No | [X] |
| | 1 Old Custodian | 2 New Custodian | Da | 3 te of Change | 4 Reason | | |
| 17.5 | | kers/dealers or individuals acting on b ity to make investments on behalf of th | | | ve access to the investment accoun | nts, | |
| | 1 | 2 | | | 3 | | |
| | Central Registration Depository | Name(s) | | | Address | | |
| | 107038 | | | 245 Park Avenue | New York, NY 10167 | | |
| | 106595 | Wellington Management Company, LLP | | SS ST | reet, Boston MA 02210 | | |
| 18.1 | Have all the filing requirements of t | he Purposes and Procedures Manual o | of the NAIC | Securities Valuation | on Office been followed? | Yes [X] No | [] |

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

| | 1.1 A&H loss percent | 93.9 % |
|-----|---|------------------|
| | 1.2 A&H cost containment percent | 1.2 % |
| | 1.3 A&H expense percent excluding cost containment expenses | 8.2 % |
| 2.1 | Do you act as a custodian for health savings accounts? | Yes [] No [X] |
| 2.2 | If yes, please provide the amount of custodial funds held as of the reporting date | \$ |
| 2.3 | Do you act as an administrator for health savings accounts? | Yes [] No [X] |
| 2.4 | If yes, please provide the balance of the funds administered as of the reporting date | . |

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

| 1 NAIC | 2 | 3 | Snowing All New Reinsurance Treaties 4 | 5 | 6 7 Type of Is Insurer |
|-----------------|---------------------------------------|-------------------|--|---------------------------------------|---|
| NAIC | _ | - | | _ | Type of Is Insurer |
| Compony | Endoral | Effoctivo | | | Poincurance Authorized? |
| Company Code | Federal ID Number | Effective Date | Name of Deinastra | Dennisilian, Indiediation | Reinsurance Authorized? Ceded (Yes or No) |
| Code | ID Number | Date | Name of Reinsurer | Domiciliary Jurisdiction | Ceded (Yes or No) |
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SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

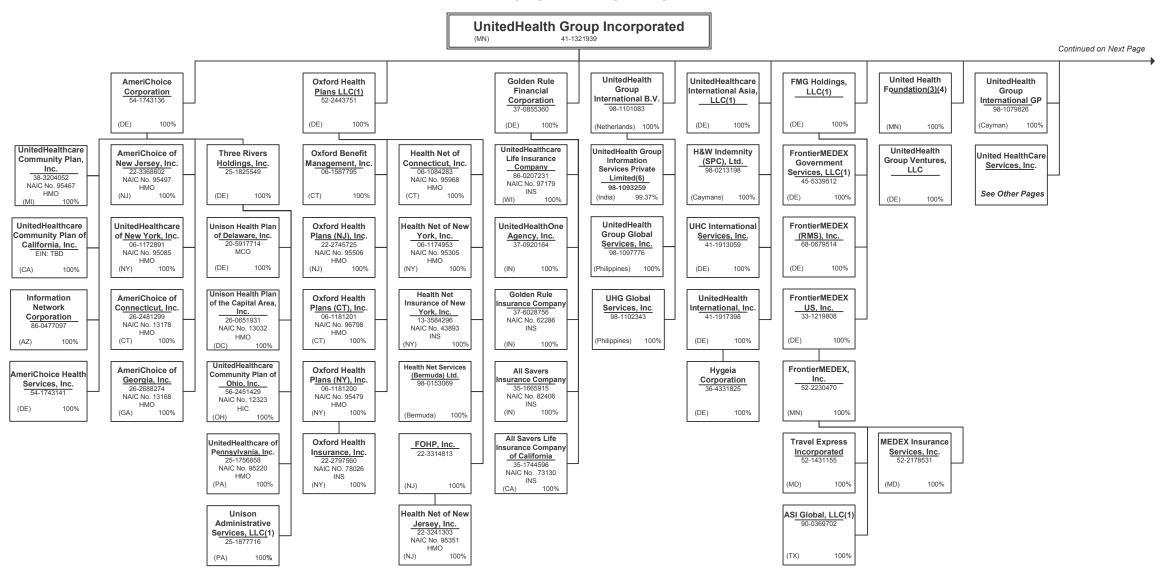
| | | 4 | Current Ye | ear to Date - A | Allocated by S | | | | | |
|------------|---|------------------|------------------------------|-------------------------|-----------------------|--|--|-----------------------------------|---------------------------|---------------------------|
| | | 1 | 2 | 3 | 4 | Direct Bus 5 | siness Only 6 | 7 | 8 | 9 |
| | States, etc. | Active Status | Accident and Health Premiums | Medicare Title XVIII | Medicaid Title XIX | Federal Employees Health Benefits Program Premiums | Life and Annuity Premiums & Other Considerations | Property/ Casualty Premiums | Total Columns 2 Through 7 | Deposit-Type Contracts |
| 1. | Alabama AL | N | 1 Tomanio | Tido XVIII | THE THE | Tromanio | Considerations | 1 TOTTIIGHTO | 0 | Contracto |
| 2. | Alaska AK | N | | | | | | | 0 | |
| 3. | Arizona AZ | N | | | | | | | 0 | |
| 4. | Arkansas AR | N | | | | | | | 0 | |
| 5. | California CA | N | | | | | | | 0 | |
| 6. | Colorado CO | N | | | | | | | 0 | |
| 7. | Connecticut CT | N | | | | | | | 0 | |
| 8. | Delaware DE | N | | | | | | | 0 | |
| 9. | District of Columbia DC | N | | | | | | | 0 | |
| | Florida FL | N | | | | | | | 0 | |
| 11. | Georgia GA | N | - | | | | | | 0 | |
| 12. 13. | Hawaii HI | NN | | | | | | | 0 | |
| 14. | Idaho ID | NN. | - | | | | | | 0 | |
| 15. | Indiana IN | N | - | | | | | | 0 | |
| 16. | lowa IA | NN. | † | | | | | | 0 | |
| 17. | Kansas KS | NN | <u> </u> | | | | | | n | |
| | Kentucky KY | N | | | | | | | 0 | |
| 19. | Louisiana LA | N | | | | | | | 0 | |
| 20. | Maine ME | N | | | | | | | 0 | |
| 21. | Maryland MD | N | | | | | ļ | | 0 | |
| | Massachusetts MA | N | | | | | | | 0 | |
| 23. | Michigan MI | L | 494,261 | 29,211,896 | 416, 161, 701 | | | | 445,867,858 | |
| 24. | Minnesota MN | N | 4 | | | | | | 0 | ļ |
| 25. | Mississippi MS | N | | | | | | | 0 | |
| 26. | Missouri MO | N | - | | | | | | 0 | |
| 27. | Montana MT | N | - | | | | | | 0 | |
| | Nebraska NE | N | - | | | | | | 0 | |
| l l | Nevada NV New Hampshire NH | NN. | | | | | | | 0 | |
| 30. 31. | New Jersey NJ | NN | -† | | | | | | 0 | |
| 32. | New Mexico NM | NN. | † | | | | | | 0 | |
| 33. | New York NY | NN | † | · | | | | | 0 | |
| | North Carolina NC | NN | | | | | | | n | |
| 35. | North Dakota ND | N | | | | | | | 0 | |
| 36. | Ohio OH | N | | | | | | | 0 | |
| 37. | Oklahoma OK | N | | | | | | | 0 | |
| 38. | Oregon OR | N | | | | | | | 0 | |
| 39. | PennsylvaniaPA | N | | | | | | | 0 | |
| 40. | Rhode Island RI | N | | | | | ļ | | 0 | |
| 41. | South Carolina SC | N | | | | | | | 0 | ļ |
| 42. | South Dakota SD | N | - | | | | | | 0 | |
| 43. | Tennessee TN | N | | | | | | | 0 | |
| 44. | Texas TX | N | | | | | | | 0 | |
| 45. | Utah UT | N | | | | | | | 0 | |
| 46. | Vermont VT | N | | | | | | | 0 | |
| 47. | Virginia VA | N | + | | l | | | | 0 | |
| | Washington WA | N | - | | | | | | 0 | |
| 49. 50. | West Virginia WV | NN | - | | | | | L | 0 | |
| | Wisconsin WI Wyoming WY | NN. | - | | 1 | | | | 0 | |
| 51. 52. | American Samoa AS | NN | | | | | | | 0 | |
| 53. | Guam GU | NN | | | | | | | n | |
| | Puerto Rico PR | NN | | | | | | | 0 | |
| | U.S. Virgin Islands VI | N | | | | | | | 0 | |
| 56. | Northern Mariana | | | | | | | | | |
| | Islands MP | N | - | | | | | | 0 | |
| 57. | Canada CAN | N | - | | | | | | 0 | |
| 58. | Aggregate Other Aliens OT | xxx | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. | Subtotal | | 494,261 | 29,211,896 | 416,161,701 | 0 | 0 | 0 | 445,867,858 | 0 |
| 60. | Reporting Entity Contributions for Employe Benefit Plans | е | | | | | | | 0 | |
| 61. | Totals (Direct Business) | (a) 1 | 494,261 | 29,211,896 | 416,161,701 | 0 | 0 | 0 | 445,867,858 | 0 |
| | DETAILS OF WRITE-INS | | | | | | | | , | |
| 58001. | | | | | | | | | | _ |
| 58002. | | | | | | | | | | <u> </u> |
| 58003. | | XXX | | | | | - | | | |
| 58998. | Summary of remaining write-ins for Line 58 from | VVV | 0 | 0 | 0 | 0 | 0 | 0 | 0 | _ |
| 58999. | Totals (Lines 58001 through | XXX | 0 | 0 | 0 | 0 | | 0 | 0 | 0 |
| (I.) Licon | 58003 plus 58998)(Line 58 above) sed or Chartered - Licensed In | XXX | 0 or Domiciled PPC | 0 3: (P) Pegistered | 0 Non-domiciled | DBCs: (O) Onal | _ | 0 | 0 | 0 Departing |

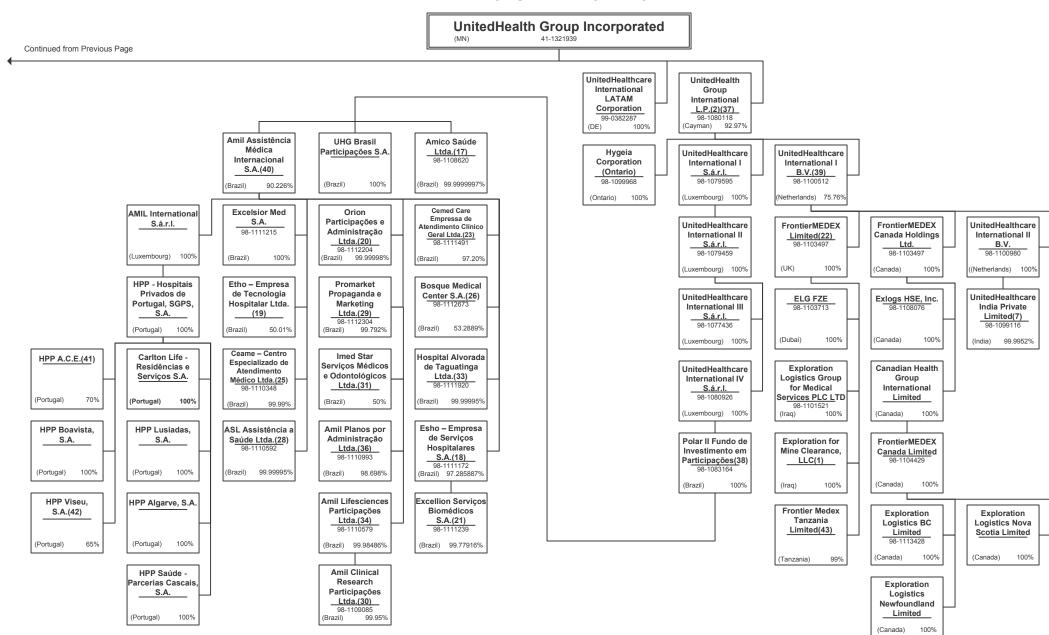
⁽L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Premiums allocated by state based upon Geographic Market.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

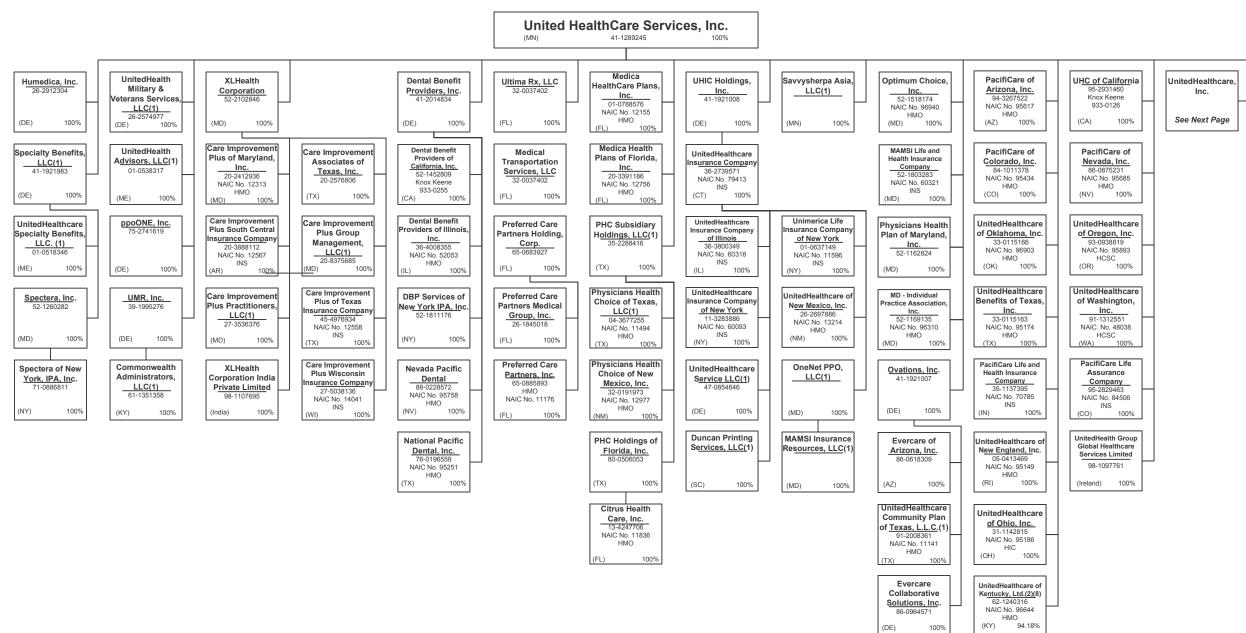




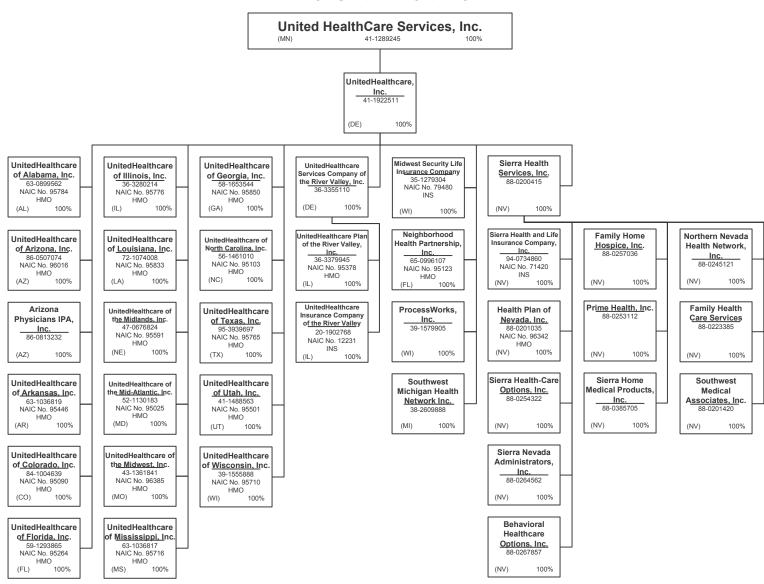
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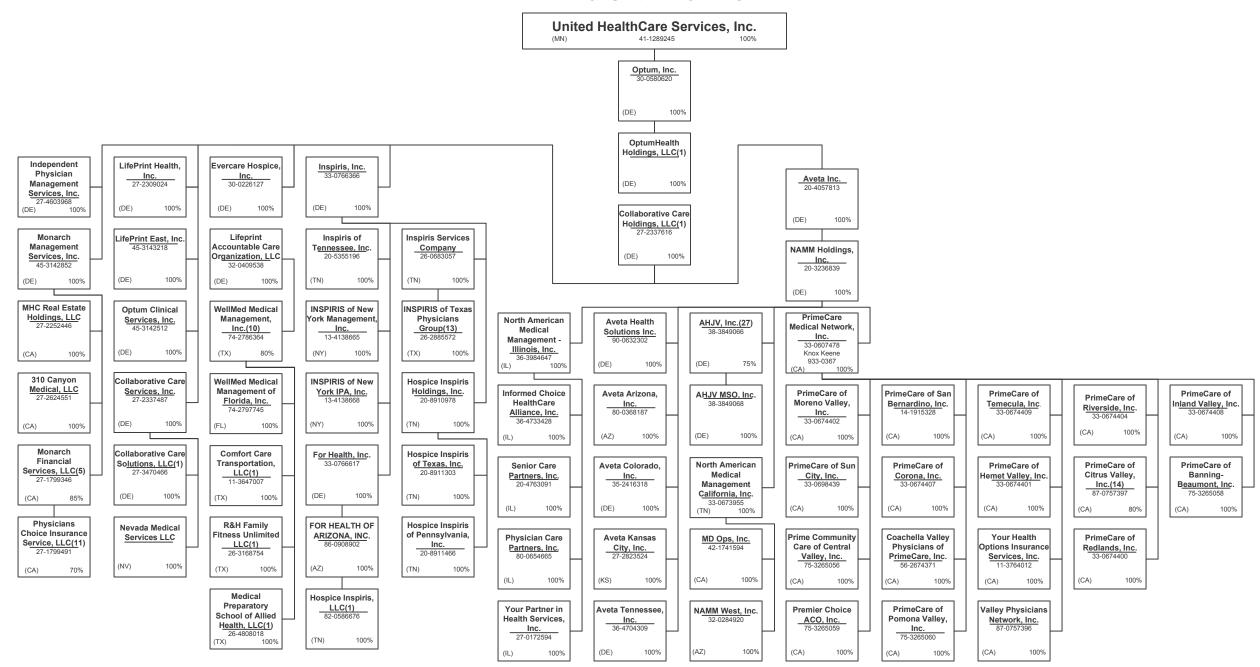
STATEMENT AS OF JUNE 30, 2013 OF THE UnitedHealthcare Community Plan, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

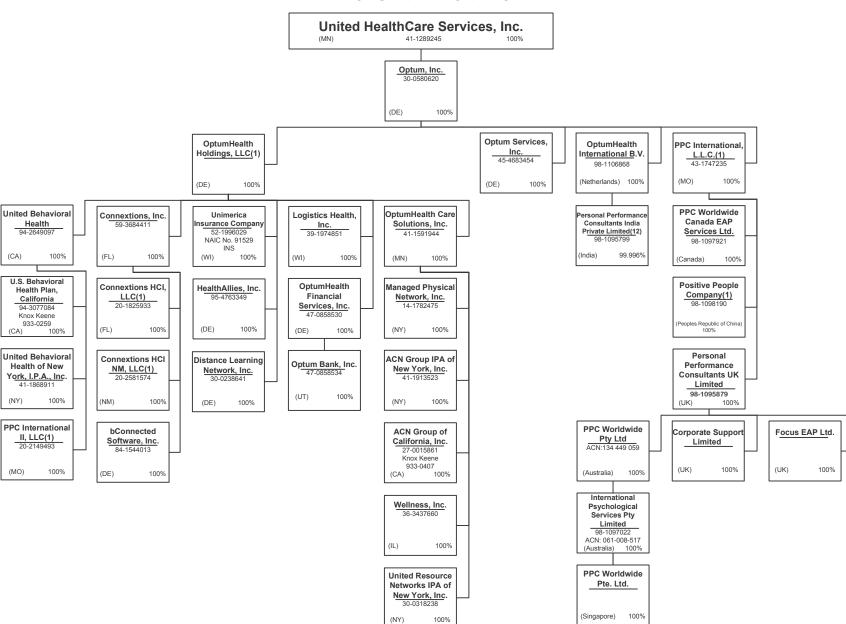


PART 1 - ORGANIZATIONAL CHART

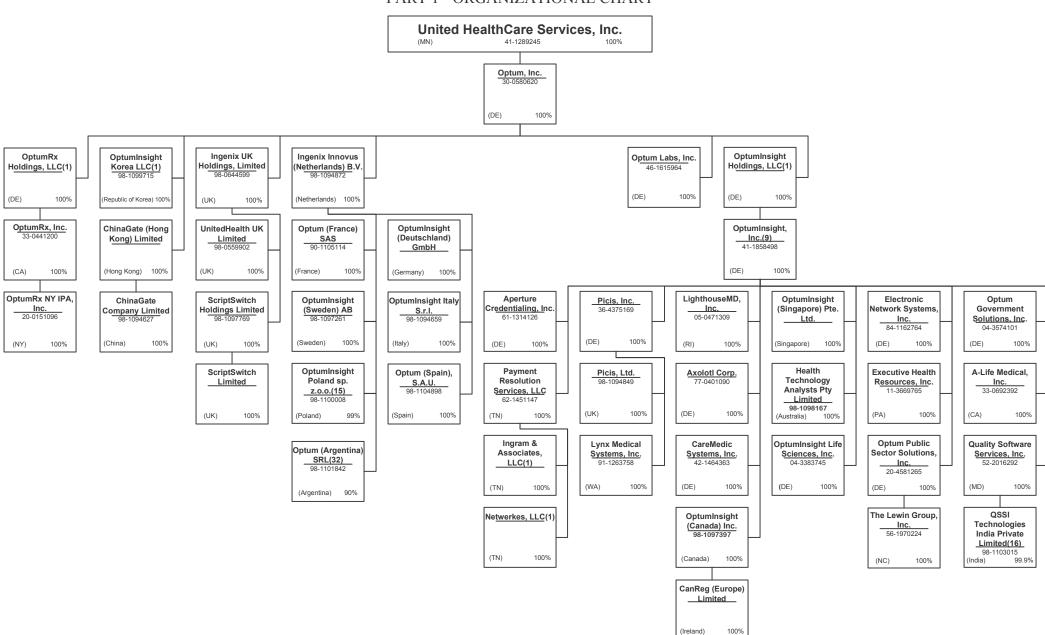




PART 1 - ORGANIZATIONAL CHART



PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) Monarch Financial Services, LLC is 85% owned by Monarch Management Services, Inc. and 15% owned by external shareholders.
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International. Inc.
- (7) United Healthcare India Private Limited is 99.9952% owned by UnitedHealthcare International II B.V. and 0.0048% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Established a branch, Ingenix, Inc. Abu Dhabi, located in Abut Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Physicians Choice Insurance Service, LLC is 70% owned by Monarch Financial Services, LLC and 30% owned by external shareholders.
- (12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
- (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
- (15) OptumInsight Poland sp. z.o.o. is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.
- (16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.
- (17) Amico Saúde Ltda. is 99.9999997% owned by Amil Participações S.A. and 0.0000003% owned by and officer of Amil.

- (18) Esho Empresa de Serviços Hospitalares S.A is 97.285887% owned by Amil Assistência Médica Internacional S.A.; 0.224917% owned by Amico Saúde Ltda.; 0.094901% owned by Treasury Shares and 2.4% owned by external shareholders.
- (19) Etho Empresa de Technologia Hospitalar Ltda. 50.01% owned by Amil Assistência Médica Internacional S.A.and 49.99% owned by an external shareholder.
- (20) Orion Participações e Administração Ltda. is 99.99998% owned by Amil Assistência Médica Internacional S.A. and 0.00002% owned by Amico Saúde Ltda.
- (21) Excellion Serviços Biomédicos S.A.is 99.77916% owned by Esho Empresa de Serviços Hospitalares S.A and 0.220844% owned by three external shareholders.
- (22) Branch offices in Iraq and Uganda
- (23) Cemed Care Empressa de Atendimento Clínico Geral Ltda. Is 97.20% owned by Amil Assistência Médica Internacional S.A. and 2.8% owned by Amico Saúde Ltd.
- (24) [TBD]
- (25) Ceame Centro Especializado de Atendimento Médico S/C Ltda. Is 99.99% onwed by Amil Assistência Médica Internacional S.A. and 0.01% owned by Cemed Care Empressa de Atendimento Clínico Geral Ltda.
- (26) Bosque Medical Center S.A. is 53.2889% owned by Amil Assistência Médica Internacional S.A.; 33.7727% owned by Amico Saúde Ltd. and 12.9384% owned by Esho – Empresa de Servicos Hospitalares S.A.
- (27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.
- (28) ASL Assistência a Saúde Ltda. Is 99.99995% owned by Amil Assistência Médica Internacional S.A and 0.00005% owned by an officer of Amil.
- (29) Promarket Propaganda e Marketing Ltda.is 99.792% owned by Amil Assistência Médica Internacional S.A and 0.208% owned by Amico Saúde Ltd.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and .05% owned by an officer of Amil.
- (31) Imed Star Serviços Médicos e Odontológicos Ltda.is 50% owned by Amil Assistência Médica Internacional S.A and 50% owned by Amico Saúde Ltd.
- (32) Optum Argentina is 90% owned by Ingenix Innovus (Netherlands) BV and 10% owned by ScriptSwitch Holdings Limited.
- (33) Hospital Alvorada Taguatinga Ltda. Is 99.99995% owned by Amil Assistência Médica Internacional S.A. and 0.00005% owned by an officer of Amil.
- (34) Amil Lifesciences Participações Ltda. Is 99.98486% owned by Amil Assistência Médica Internacional S.A and 0.01514% owned by an officer of Amil.
- (35) TBD

- (36) Amil Planos por Administração Ltda. is 98.698% owned by Amil Assistência Médica Internacional S.A; 1.302% owned by Amico Saúde Ltd.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (4.41%), UnitedHealthcare International LATAM Corporation (2.3%), Hygeia Corporation (DE) (0.33%) and UnitedHealth Group Incorporated (92.97%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International I, B.V. is 75.76% owned by UnitedHealth Group International L.P. and 24.24% owned by UnitedHealth Group International B.V.
- (40) Amil Assistência Médica Internacional S.A. is 90.226% owned by Polar II Fundo de Investimento em Participações and the remaining 9.774% is owned by the former controlling shareholders of Amil Participações S.A.
- (41) HPP A.C.E. is 70% owned by HPP Hospitais Privados de Portugal, SGPS, S.A. The remaining 30% is owned by (1) HPP Boavista, S.A.,(2) HPP Lusiadas, S.A., (3) HPP Algarve, S.A., (4) HPP Saúde - Parcerias Cascais, S.A., and (5) HPP Viseu, S.A.; each owning 6%.
- (42) HPP Viseu, S.A. is 65% owned by HPP Hospitais Privados de Portugal, SGPS, S.A.. The remaining 35% is jointly owned VISABEIRA Saúde Serviços de Saúde, S.A., VISABEIRA Participações Financeiras, SGPS, S.A., VISABEIRA Investimentos Financeiros SGPS, S.A. and Ciclorama Estudos, Projectos e Produções, Lda.
- (43) Frontier Medex Tanzania Limited is 99% owned by FrontierMEDEX Limited. The remaining 1% is owned by an officer of FrontierMEDEX Limited.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| | | | | 1 /11/ | | | . OF INSURANCE | | | 10 00mi Aiti C | | | | |
|-------|---------------------------------|---------|--------------|---------|-----|--------------------|--|---------|-----------|---|-------------------|----------|---------------------------------|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| | | | | | | | | | | | Type | If | | |
| | | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | | (Ownership, | is | | |
| | | | | | | Name of Securities | | | Relation- | | Board. | Owner- | | |
| | | | | | | Exchange | | Domi- | ship | | Management, | ship | | |
| | | NAIC | Federal | | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | | |
| Group | | Company | ID | Federal | | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD | CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| | | 00000 | | | | , | 310 Canyon Medical, LLC | CA | NIA | Monarch Management Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | 1 |
| | | 00000 | 41-1913523 | | | | ACN Group IPA of New York, Inc. | NY | NIA | OptumHealth Care Solutions, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 27-0015861 . | | | | ACN Group of California, Inc. | CA | IA | OptumHealth Care Solutions, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 38-3849068 | | | | AHJV MSO, Inc. | DE | NIA | AHJV, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 38-3849066 | | | | AHJV. Inc. | CA. | NIA | NAMM Holdings, Inc. | Ownership. | 75.000 | UnitedHealth Group Incorporated | 1 |
| | | 00000 | 33-0692392 | | | | A-Life Medical, Inc. | CA | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 82406 | 35-1665915 | | | | All Savers Insurance Company | IN | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | 1 | | | | | | All Savers Life Insurance Company of | | | | | - |] | |
| 0707 | UnitedHealth Group Incorporated | 73130 | 35-1744596 | | | | California | CA | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 54-1743136 . | | | | AmeriChoice Corporation | DE | UDP | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 54-1743141 . | | | | AmeriChoice Health Services, Inc. | DE | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 13178 | 26-2481299 | | | | AmeriChoice of Connecticut, Inc. | CT | IA | AmeriChoice Corporation | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | UnitedHealth Group Incorporated | 13168 | 26-2688274 | | | | AmeriChoice of Georgia, Inc. | GA | IA | AmeriChoice Corporation | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | UnitedHealth Group Incorporated | 95497 | 22-3368602 | | | | AmeriChoice of New Jersey, Inc. | NJ | IA | AmeriChoice Corporation | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | ,, | | | Polar II Fundo de Investimento em | ' | - |] | |
| | | 00000 | 98-1108620 | | | | Amico Saúde Ltda. | BRA | NIA | Participações | Ownership | _100.000 | UnitedHealth Group Incorporated | 2 |
| | | | | | | | Amil Assistência Médica Internacional S.A. | | | Polar II Fundo de Investimento em | • | | | |
| | | 00000 | | | | | | BRA | NI A | Participações | Ownership | 90.226 | UnitedHealth Group Incorporated | 3 |
| | | | | | | | Amil Clinical Research Participações Ltda. | | | | | | | |
| | | 00000 | 98-1109085 . | | | | , | BRA | NIA | Amil Lifesciences Participações Ltda | Ownership | 99.950 | UnitedHealth Group Incorporated | 2 |
| | | | | | | | | | | Amil Assistência Médica Internacional | ' | | | |
| | | 00000 | | | | | AMIL International S.á.r.I. | _LUX | NIA | S.A | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | Amil Assistência Médica Internacional | • | | | |
| | | 00000 | 98-1110579 . | | | | Amil Lifesciences Participações Ltda | _BRA | NIA | S.A | Ownership | 99.985 | UnitedHealth Group Incorporated | 2 |
| | | | | | | | . , | | | Amil Assistência Médica Internacional | | | | |
| | | 00000 | 98-1110993 | | | | Amil Planos por Administração Ltda | BRA | NI A | S.A | Ownership | 98.689 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1110993 . | | | | Amil Planos por Administração Ltda | BRA | NI A | Amico Saúde Ltda. | Ownership | 1.311 | UnitedHealth Group Incorporated | |
| | | 00000 | 61-1314126 . | | | | Aperture Credentialing, Inc. | DE | NI A | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 86-0813232 . | | | | Arizona Physicians IPA, Inc | AZ | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 90-0369702 . | | | | ASI Global, LLC | TX | NI A | FrontierMEDEX, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | Amil Assistência Médica Internacional | | | | |
| | | 00000 | 98-1110592 . | | | | ASL Assistência a Saúde Ltda | BRA | NIA | S.A | Ownership | 100.000 | UnitedHealth Group Incorporated | 2 |
| | | 00000 | 80-0368187 . | | | | Aveta Arizona, Inc. | AZ | NIA | NAMM Holdings, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 35-2416318 . | | | | Aveta Colorado, Inc. | DE | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 90-0632302 . | | | | Aveta Health Solutions Inc. | DE | NIA | NAMM Holdings, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-4057813 . | | | | Aveta Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 27-2823524 . | | | | Aveta Kansas City, Inc. | KS | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 36-4704309 . | | | | Aveta Tennessee, Inc. | DE | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 77-0401090 . | | | | Axoloti Corp. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 84-1544013 . | | | | bConnected Software, Inc. | DE | NIA | Connextions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 88-0267857 . | | | | Behavioral Healthcare Options, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 1 | | | | | | | | Amil Assistência Médica Internacional | | | | |
| | | 00000 | 98-1112673 . | | | | Bosque Medical Center S.A. | BRA | NIA | S.A | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1112673 . | | | | Bosque Medical Center S.A. | BRA | NIA | Amico Saúde Ltda | Ownership | 33.773 | UnitedHealth Group Incorporated | |
| | | 1 | | | | | | | | Esho — Empresa de Serviços Hospitalares | · | | | |
| | | 00000 | 98-1112673 . | | | | Bosque Medical Center S.A. | BRA | NIA | S.A | Ownership | 12.938 | UnitedHealth Group Incorporated | |
| | | 1 | | | | | Canadian Health Group International | | | | · | | | |
| | | 00000 | | | | | Limited | CAN | NIA | FrontierMEDEX Canada Holdings Ltd | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | . | | | | CanReg (Europe) Limited | JRL | NIA | OptumInsight (Canada) Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 1 | | | | | Care Improvement Associates of Texas, Inc. | | | | | | | |
| | | 00000 | 20-2576806 | 1 | | 1 | | TX | NIA | XLHealth Corporation | Ownership | 100 000 | UnitedHealth Group Incorporated | 1 |

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| | | | | PAR | RT 1A | A - DETAIL | . OF INSURANCE | : HC |)LDII | NG COMPANY S | YSTEM | | | |
|-------|----------------------------------|---------|----------------|---------|-------|--------------------|---|---------|-----------|---|-------------------|---------|--|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| | | | | | | | | | | | Type | If | | |
| | | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | | (Ownership, | is | | |
| | | | | | | Name of Securities | | | Relation- | | Board, | Owner- | | |
| | | | | | | Exchange | | Domi- | ship | | Management, | ship | | |
| | | NAIC | Federal | | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | | |
| Group | | Company | ID | Federal | | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD | CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| | | | | | | | Care Improvement Plus Group Management, | | | | | | | |
| | | 00000 | 20-8375685 . | | | | LLC | MD | NI A | XLHealth Corporation | Ownership | | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 12313 | . 20-2412936 . | | | | Care Improvement Plus of Maryland, Inc | MD | I A | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Care Improvement Plus of Texas Insurance | | | | | | | |
| 0707 | UnitedHealth Group Incorporated | 12558 | 45-4976934 . | | | | Company | TX | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 27-3536376 . | | | | Care Improvement Plus Practitioners, LLC | MD | NIA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Care Improvement Plus South Central | | | | | | | |
| 0707 | UnitedHealth Group Incorporated | 12567 | 20-3888112 . | | | | Insurance Company | AR | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Care Improvement Plus Wisconsin Insurance | | | | | | | |
| 9707 | UnitedHealth Group Incorporated | 14041 | . 27-5038136 . | | | | Company | WI | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 42-1464363 . | | | | CareMedic Systems, Inc. | CA | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | Carlton Life - Residências e Serviços S.A. | DDT | | HPP - Hospitais Privados de Portugal, | | 400.000 | | |
| | | 00000 | | | | | | PRT | NIA | SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 00 4440040 | | | | Ceame — Centro Especializado de | BRA | NIA | Amil Assistência Médica Internacional S.A. | 0h: | 00.000 | Haita allia dala Anno di Lanco di Anno | |
| | | 00000 | 98-1110348 . | | | | Atendimento Médico Ltda | BHA | NIA | Cemed Care Empressa de Atendimento | Ownership | 99.990 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1110348 | | | | Atendimento Médico Ltda. | BRA | NIA | Clínico Geral Ltda. | Ownership | 0.010 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1110348 | | | | | DHA | NIA | | Owner Sn I p | 0.010 | UnitedHearth Group Incorporated | |
| | | 00000 | 98-1111491 . | | | | Cemed Care Empressa de Atendimento Clínico Geral Ltda. | BRA | NIA | Amil Assistência Médica Internacional S A | Ownership | 97.200 | UnitedHealth Group Incorporated | |
| | | 00000 | . 90-1111491 . | | | | Cemed Care Empressa de Atendimento Clínico | DNA | NIA | S.A | Owner Strip | 97 .200 | on teunearth Group incorporated | |
| | | 00000 | 98-1111491 | | | | Geral Ltda. | BRA | NIA | Amico Saúde Ltda. | Ownership | 2.800 | UnitedHealth Group Incorporated | |
| | | 00000 | . 30-1111431 . | | | | ChinaGate (Hong Kong) Limited | HKG | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1094627 | | | | ChinaGate Company Limited | CHN | NIA | ChinaGate (Hong Kong) Limited | Ownership | | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 11836 | 13-4247706 | | | | Citrus Health Care, Inc. | FL | IA | PHC Holdings of Florida, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0101 | Jointedilearth droup moorporated | 11000 | . 13 4247700 . | | | | Coachella Valley Physicians of PrimeCare, | | | The horalings of Frontaa, the. | Owner Sirrp | | on tealearth droup moorporated | |
| | | 00000 | 56-2674371 . | | | | Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 27-2337616 | | | | Collaborative Care Holdings, LLC | DE | NIA | OptumHealth Holdings, LLC | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 27-2337487 | | | | Collaborative Care Services. Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 27-3470466 . | | | | Collaborative Care Solutions, LLC | DE | NIA | Collaborative Care Services, Inc. | Ownership. | | UnitedHealth Group Incorporated | |
| | | 00000 | 11-3647007 | | | | Comfort Care Transportation, LLC | TX | NIA | WellMed Medical Management, Inc. | Ownership. | | UnitedHealth Group Incorporated | |
| | | 00000 | 61-1351358 | | | | Commonwealth Administrators, LLC | KY | NIA | UMR, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-2581574 | | | | Connextions HCI NM, LLC | NM | NIA | Connextions, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-1825933 | | | | Connextions HCI, LLC | FL | NIA | Connextions, Inc. | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 59-3684411 | | | | Connextions. Inc. | FL | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | 00011111 | | | | | | | Personal Performance Consultants UK | 0 | | om tourself in oup moorporated | |
| | | 00000 | | 1 | | | Corporate Support Limited | GBR | NIA | Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 52-1811176 | | | | DBP Services of New York IPA. Inc. | NY | NIA | Dental Benefit Providers. Inc. | Ownership. | | UnitedHealth Group Incorporated | |
| | | | | | | | Dental Benefit Providers of California, | | | | | | The same of the sa | |
| | | 00000 | 52-1452809 | | | | Inc. | CA | IA | Dental Benefit Providers, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Dental Benefit Providers of Illinois, Inc. | | | | 1 | | | |
| 0707 | UnitedHealth Group Incorporated | 52053 | 36-4008355 . | | | | | IL | IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-2014834 | | | | Dental Benefit Providers, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 30-0238641 | | | | Distance Learning Network, Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | Duncan Printing Services, LLC | SC | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 84-1162764 . | | | | Electronic Network Systems, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1103713 . | | | | ELG FZE | ARE | NIA | FrontierMEDEX Limited | Ownership | | UnitedHealth Group Incorporated | |
| | | | | | | | Esho — Empresa de Serviços Hospitalares | | | Amil Assistência Médica Internacional | , | | | |
| | | 00000 | 98-1111172 . | | | | S.A | BRA | NIA | S.A | Ownership | 97.286 | UnitedHealth Group Incorporated | 3 |
| | | | | | | | Esho — Empresa de Serviços Hospitalares | | | | | | , , , | |
| | | 00000 | 98-1111172 | | | | S.A | BRA | NIA | Amico Saúde Ltda. | Ownership | 0.225 | UnitedHealth Group Incorporated | 3 |
| | * | | | | | • | | | | | | | | |

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | • | _ | - 10 | 1 | | | |
|-------|--|---------|------------------------------|---------|-----|--------------------|--|-----------|------------|--|-------------------|-----------|--|
| | | | | | | / | 8 | 9 | 10 | 11 | 12 | 13 | 14 15 |
| | | | | | | • | _ | _ | | | Type | If | |
| | | | | | | | | | | | of Control | Control | |
| | | | | | | | | | | | (Ownership, | is | |
| | | | | | | Name of Securities | | | Relation- | | Board, | Owner- | |
| | | | | | | Exchange | | Domi- | ship | | Management, | ship | |
| | | NAIC | Federal | | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | |
| Group | | Company | ID | Federal | | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling |
| Code | Group Name | Code | Number | RSSD | CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) * |
| | | | | | | | Etho — Empresa de Tecnologia Hospitalar | | | Amil Assistência Médica Internacional | | | |
| | | 00000 | | | | | Ltda. | BRA | NI A | S.A | Ownership | 50.010 | UnitedHealth Group Incorporated4 |
| | | 00000 | 86-0964571 . | | | | Evercare Collaborative Solutions, Inc | DE | NIA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 30-0226127 . | | | | Evercare Hospice, Inc | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 86-0618309 . | | | | Evercare of Arizona, Inc | AZ | IA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | | | | | | | | | Esho — Empresa de Serviços Hospitalares | | | |
| | | 00000 | 98-1111239 . | | | | Excellion Serviços Biomédicos S.A | BRA | NIA | S.A | Ownership | 99.779 | UnitedHealth Group Incorporated4 |
| | | 00000 | 00 1111015 | | | | 5 1 . 11 . 12 . 1 | DD 4 | | Amil Assistência Médica Internacional | | 400 000 | |
| | | 00000 | 98-1111215 . 11-3669765 . | | | | Excelsior Med S.A | BRA | NIA NIA | S.A. OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated |
| | | 00000 | 98-1108076 | | | | Exlogs HSE Inc. (Canada) | PA CAN | NIA NIA | FrontierMEDEX Canada Holdings Ltd | Ownership | | UnitedHealth Group Incorporated |
| | | 00000 | 30-11080/0 . | | | | Exploration for Mine Clearance, LLC | JRQ | NIA NIA | FrontierMEDEX Limited | Ownership | | UnitedHealth Group Incorporated |
| | | 00000 | 98-1113428 . | | | | Exploration Logistics BC Limited | | NIA | FrontierMEDEX Canada Limited | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 30-1113420 . | | | | Exploration Logistics Group for Medical | VAIV | NIA | Frontierwedex canada Eninted | Owner Sirrp | | Jointedilearth Group Mcorporated |
| | | 00000 | 98-1101521 | | | | Services PLC LTD | JRQ | NIA | FrontierMEDEX Limited | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | | 100 1101021 | | | | Exploration Logistics Newfoundland Limited | | | TTOTICIONEDEX ETITICO | υπιοι 3πτρ | | Som teancarth aroup moorporated |
| | | 00000 | | | | | Exproration Edgistics New Identified | _CAN | NIA | FrontierMEDEX Canada Limited | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | | | | | Exploration Logistics Nova Scotia Limited | CAN | NIA | FrontierMEDEX Canada Limited | Ownership | . 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 88-0223385 . | | | | Family Health Care Services | NV | NIA | Sierra Health Services, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 88-0257036 | | | | Family Home Hospice, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 35-2456267 | | | | FMG Holdings, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | | | | | | | | | Personal Performance Consultants UK | | | |
| | | 00000 | | | | | Focus EAP Ltd. | GBR | NIA | Limited | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 22-3314813 . | | | | FOHP, Inc | NJ | NIA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 86-0908902 | | | | FOR HEALTH OF ARIZONA, INC. | AZ | NIA | For Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 33-0766617 . | | | | For Health, Inc. | DE | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | | | | | Frontier Medex Tanzania Limited | TZA | NIA | FrontierMEDEX Limited | Ownership | 99.000 | UnitedHealth Group Incorporated2 |
| | | 00000 | 68-0679514 . | | | | FrontierMEDEX (RMS), Inc. | DE | NI A | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 98-1103497 . | | | | FrontierMEDEX Canada Holdings Ltd | CAN | NIA | . UnitedHealthcare International I B.V | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | | | | | | | | | Canadian Health Group International | | | |
| | | 00000 | 98-1104429 . | | | | FrontierMEDEX Canada Limited | CAN | NIA | Limited | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 45-5339512 . | | | | FrontierMEDEX Government Services, LLC | DE | NIA | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | | | | | FrontierMEDEX Limited | GBR | NIA | UnitedHealthcare International I B.V | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 33-1219808 . | | | | FrontierMEDEX US, Inc. | DE | NIA | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 52-2230470 . | | | | FrontierMEDEX, Inc. | MN | NIA | FrontierMEDEX US, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| 0707 | Illa i ta all la a l the Court of Instance and ta al | 00000 | 37-0855360 . | 2057202 | | | Golden Rule Financial Corporation | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated |
| 0707 | UnitedHealth Group Incorporated | 62286 | 37-6028756 . 98-0213198 . | 3057283 | | | Golden Rule Insurance Company | CYM | IA NIA | Golden Rule Financial Corporation UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated |
| 0707 | UnitedHealth Group Incorporated | 43893 | 13-3584296 | | | | Health Net Insurance of New York, Inc. | NY NY | IA | OnitedHealth Group Incorporated | Ownership | | UnitedHealth Group Incorporated |
| | UnitedHealth Group Incorporated | 95968 | 06-1084283 | | | | Health Net of Connecticut, Inc. | CT | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | UnitedHealth Group Incorporated | 95351 | 22-3241303 | | | | Health Net of New Jersey, Inc. | NJ | IA | FOHP. Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | UnitedHealth Group Incorporated | 95305 | 06-1174953 | | | | Health Net of New York, Inc. | NY | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 98-0153069 | | | | Health Net Services (Bermuda) Ltd. | BMU | NIA | Oxford Health Plans LLC | Ownership. | . 100.000 | UnitedHealth Group Incorporated |
| 0707 | UnitedHealth Group Incorporated | 96342 | 88-0201035 | | | | Health Plan of Nevada, Inc. | NV | IA | Sierra Health Services, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 98-1098167 | | | | Health Technology Analysts Pty Limited | AUS | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 95-4763349 . | | | | HealthAllies, Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 20-8910978 | | | | Hospice Inspiris Holdings, Inc. | TN | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 20-8911466 | | | | Hospice Inspiris of Pennsylvania, Inc. | TN | NIA | Hospice Inspiris Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 20-8911303 _ | | | | Hospice Inspiris of Texas, Inc. | TN | NIA | Hospice Inspiris Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated |
| | | 00000 | 82-0586676 _ | | | | Hospice Inspiris, LLC | TN | NIA | FOR HEALTH OF ARIZONA, INC. | Ownership | 100.000 | UnitedHealth Group Incorporated |

| | | | | | | | . OI INSURANCE | | | | | | | |
|-------|------------|---------|----------------|---------|-----|--------------------|--|---------|-----------|--|-------------------|----------|---|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| | | | | | | | | | | | Type | If | | |
| | | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | | (Ownership, | is | | |
| | | | | | | Name of Securities | | | Relation- | | Board. | Owner- | | |
| | | | | | | Exchange | | Domi- | ship | | Management, | ship | | |
| | | NAIC | Federal | | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | | |
| Group | | Company | ID | Federal | | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling | |
| Code | Croup Name | | | RSSD | CIK | International) | Or Affiliates | | Entity | | Other) | | | * |
| Code | Group Name | Code | Number | KSSD | CIK | international) | Of Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | |
| | | | | | | | | | | Amil Assistência Médica Internacional | | | | |
| | | 00000 | 98-1111920 . | | | | Hospital Alvorada de Taguatinga Ltda | BRA | NI A | S.A | Ownership | 100.000 | UnitedHealth Group Incorporated | 2 |
| | | | | | | | HPP - Hospitais Privados de Portugal, | | | | | | | |
| | | 00000 | | | | | SGPS, S.A. | PRT | NI A | Amil International S.á.r.I. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | HPP - Hospitais Privados de Portugal, | | | | |
| | | 00000 | | | | | HPP A.C.E. | PRT | | SGPS, S.A | Ownership | 70.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | HPP A.C.E. | PRT | NIA | HPP Viseu, S.A. | Ownership | 6.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | HPP A.C.E. | PRT | NIA | HPP Boavista, S.A. | Ownership | 6.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | HPP A.C.E. | PRT | | HPP Algarve, S.A. | Ownership | 6.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | HPP A.C.E. | PRT | NIA | HPP Lusiadas. S.A. | Ownership | 6.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | HPP A.C.E. | PRT | | HPP Saúde - Parcerias Cascais, S.A | Ownership. | 6.000 | UnitedHealth Group Incorporated | |
| | | | | | | | III 1 1.V.L. | | | HPP - Hospitais Privados de Portugal, | omior dirip | | John touriourth droup intorporated | |
| | | 00000 | | | | | HPP Algarve, S.A. | PRT | NIA | SGPS, S.A. | Ownership | 100 000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | nrr Algaive, S.A | rnı | NIA | | Owner Strip | 100.000 | John tedhearth Group Incorporated | |
| | | 00000 | | | | | LIDD D O A | PRT | | HPP - Hospitais Privados de Portugal, | 0 1: | 400 000 | | |
| | | 00000 | | | | | HPP Boavista, S.A. | PR1 | NIA | SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | HPP - Hospitais Privados de Portugal, | | | l | |
| | | 00000 | | | | | HPP Lusiadas, S.A. | PRT | NIA | SGPS, S.A | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | HPP - Hospitais Privados de Portugal, | | | | |
| | | 00000 | | | | | HPP Saúde - Parcerias Cascais, S.A | PRT | NIA | SGPS, S.A | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | HPP - Hospitais Privados de Portugal, | | | | |
| | | 00000 | | | | | HPP Viseu, S.A. | PRT | NIA | SGPS, S.A. | Ownership. | 65.000 | UnitedHealth Group Incorporated | 11 |
| | | 00000 | 26-2912304 . | | | | Humedica. Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | .100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 36-4331825 | | | | Hygeia Corporation | DE | NIA | UnitedHealth International, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1099968 | | | | Hygeia Corporation (Ontario) | CAN | | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | . 00 1000000 . | | | | Imed Star Serviços Médicos e Odontológicos | | | on touriourth droup international E.i. | - Carrior Grifp | | om touriourth droup moorporated | |
| | | 00000 | | | | | Ltda. | BRA | NIA | Amico Saúde Ltda. | Ownership | 50.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Imed Star Serviços Médicos e Odontológicos | | | Amil Assistência Médica Internacional | - Owner strip | | Jointedhearth Group Theorporated | |
| | | 00000 | | | | | Ltda. | BRA | NIA | S A | Ownership | 50.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | Independent Physician Management Services, | DNA | NIA | o.n | - Owner Strip | 000.00 | on tedhearth droup incorporated | |
| | | 00000 | 07 4000000 | | | | | DE | | 0.11.1 1: 0 11.11: 11.0 | 0 1: | 400 000 | | |
| | | 00000 | 27-4603968 . | | | | Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 86-0477097 _ | | | | Information Network Corporation | AZ | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | North American Medical Management - | | | | |
| | | 00000 | 36-4733428 | | | | Informed Choice HealthCare Alliance, Inc | IL | NIA | Illinois, Inc. | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1094872 | | | | Ingenix Innovus (Netherlands) B.V | NLD | NI A | Optum, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 98-0644599 . | | | | Ingenix UK Holdings Limited | GBR | NI A | Optum, Inc | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 62-1641102 . | | | | Ingram & Associates, LLC | TN | NIA | Payment Resolution Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 13-4138668 . | | | | INSPIRIS of New York IPA, Inc. | NY | NI A | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 13-4138665 | | | | INSPIRIS of New York Management, Inc. | NY | NIA | Inspiris, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-5355196 | | | | Inspiris of Tennessee, Inc. | TN | NIA | Inspiris, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 26-2885572 | | | | INSPIRIS of Texas Physician Group | TX | NIA | Inspiris Services Company | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 26-0683057 | | | | Inspiris Services Company | TN | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0766366 | | | | Inspiris, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | _100.000 | UnitedHealth Group Incorporated | [|
| | | 00000 | | | | | International Psychological Services Pty | UL | NI // | our aborative vale illiumys, LLO | _ omior offip | | John Councartii Group Incorporated | |
| 1 | | 00000 | 98-1097022 | | | | Limited | ALIC | NII A | DDC Wandanida Dt., I td | O-manah i- | 100,000 | Haitedhe ha | |
| | | | . 35-109/022 . | | | | | AUS | NIA | PPC Worldwide Pty Ltd | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 1 | | | | | | | Lifeprint Accountable Care Organization, | | | | | | l | |
| | | 00000 | 32-0409538 . | | | | LLC | DE | | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 45-3143218 . | | | | Lifeprint East, Inc. | DE | | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 27-2309024 . | | | | Lifeprint Health, Inc. | DE | | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 05-0471309 . | | | | LighthouseMD, Inc. | RI | | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 39-1974851 . | | | | Logistics Health, Inc. | WI | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | 91-1263758 | 1 | | 1 | Lvnx Medical Systems. Inc. | WA | | Picis, Inc. | Ownership | | UnitedHealth Group Incorporated | 1 |

16.4

SCHEDULE Y

| 1 | 2 | 3 | 4 | 5 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
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| | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | (Ownership, | is | | |
| | | | | | Name of Securities | | | Relation- | | Board, | Owner- | | |
| | | | | | Exchange | | Domi- | ship | | Management, | ship | | |
| | | NAIC | Federal | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | | |
| Group | | Company | ID | Federal | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| Code | Gloup Name | 00000 | 52-2129787 | NOOD CIN | international) | MAMSI Insurance Resources. LLC | MD | NIA | OneNet PPO. LLC | Ownership | .100.000 | UnitedHealth Group Incorporated | + |
| 0707 | itadilaalth Caasa laaanaantad | | | | | | | NIA IA | | | | | |
| Unii | itedHealth Group Incorporated | 60321 | . 52-1803283 . . 14-1782475 . | | | MAMSI Life and Health Insurance Company | MD NY | | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 14-1/824/5 . | | | Managed Physical Network, Inc. | INT | NIA | OptumHealth Care Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 42-1741594 | | | MD Ops. Inc. | CA | NIA | North American Medical Management California, Inc. | Ownership | .100.000 | III. I A SHI SHA ASSAULT ASSAULT | |
| 0707 | | 96310 | | | | MD-Individual Practice Association. Inc. | | NIA IA | | | | UnitedHealth Group Incorporated | |
| 0707 Unit | itedHealth Group Incorporated | | 52-1169135 . | | | | | | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | | 00000 | 52-2178531 . | | | MEDEX Insurance Services, Inc. | MD | NIA | FrontierMEDEX, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | tedHealth Group Incorporated | | 20-3391186 | | | Medica Health Plans of Florida, Inc. | FL | IA | United HealthCare Services, Inc. | | 100.000 | UnitedHealth Group Incorporated | |
| 0707 Unit | itedHealth Group Incorporated | 12155 | 01-0788576 | | | Medica HealthCare Plans, Inc. | FL | IA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 00 4000040 | | | Medical Preparatory School of Allied | TV | NILA | W. LIM. d. M. di I. M | 0 | 100 000 | III.: A. Aller IAI. On In | |
| | | 00000 | 26-4808018 . | | | Health, LLC | TX | NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 32-0037402 . | | | Medical Transportation Services, LLC | FL | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | MHC Real Estate Holdings, LLC | CA | NIA | Monarch Management Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0/0/ Unit | itedHealth Group Incorporated | 79480 | 35-1279304 . | | | Midwest Security Life Insurance Company | WI | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | Monarch Financial Services, LLC | CA | NIA | Monarch Management Services, Inc. | Ownership | 85.000 | UnitedHealth Group Incorporated | 4 |
| | | 00000 | 45-3142852 | | | Monarch Management Services, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-3236839 | | | NAMM Holdings, Inc. | DE | NIA | Aveta Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | North American Medical Management | | | | |
| | | 00000 | 32-0284920 . | | | NAMM West, Inc. | AZ | NIA | California, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | tedHealth Group Incorporated | 95251 | 76-0196559 . | | | National Pacific Dental, Inc | TX | IA | Dental Benefit Providers, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 Unit | itedHealth Group Incorporated | 95123 | 65-0996107 . | | | Neighborhood Health Partnership, Inc | FL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-4755277 . | | | Netwerkes, LLC | TN | NIA | Payment Resolution Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | Nevada Medical Services LLC | NV | NIA | Collaborative Care Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| <u>0</u> 707 Uni† | itedHealth Group Incorporated | 95758 | 88-0228572 . | | | Nevada Pacific Dental | NV | IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | North American Medical Management - | | | | | | | |
| | | 00000 | 36-3984647 | | | Illinois, Inc. | IL | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | North American Medical Management | | | | | | | |
| | | 00000 | . 33-0673955 . | | | California, Inc. | TN | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 88-0245121 . | | | Northern Nevada Health Network, Inc | NV | | Sierra Health Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 52-2129786 . | | | OneNet PPO, LLC | MD | | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 Unit | tedHealth Group Incorporated | 96940 | 52-1518174 . | | | Optimum Choice, Inc. | MD | IA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1101842 . | | | Optum (Argentina) SRL | ARG | NIA | Ingenix Innovus (Netherlands) B.V | Ownership | 90.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1101842 | | | Optum (Argentina) SRL | ARG | NIA | ScriptSwitch Holdings Limited | Ownership | 10.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 90-1105114 | | | Optum (France) SAS | FRA | NIA | Ingenix Innovus (Netherlands) B.V | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1104898 . | | | Optum (Spain), S.A.U. | ESP | NIA | Ingenix Innovus (Netherlands) B.V | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 47-0858534 . | 3202702 | | Optum Bank, Inc. | UT | NIA | OptumHealth Financial Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 45-3142512 . | | | Optum Clinical Services, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 04-3574101 . | | | Optum Government Solutions, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 46-1615964 . | | | Optum Labs, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-4581265 . | | | Optum Public Sector Solutions, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 45-4683454 | | | Optum Services, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 30-0580620 | 3119994 | | Optum, Inc. | DE | NIA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1591944 . | | | OptumHealth Care Solutions, Inc. | MN | NI A | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 47-0858530 . | | | OptumHealth Financial Services, Inc | DE | NI A | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | OptumHealth Holdings, LLC | DE | NIA | Optum, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1106868 . | | | OptumHealth International B.V. | NLD | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1097397 . | | | OptumInsight (Canada) Inc. | CAN | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | OptumInsight (Deutschland) GmbH | DEU | NIA | Ingenix Innovus (Netherlands) B.V | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | OptumInsight (Singapore) Pte. Ltd | SGP | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1097261 | | | OptumInsight (Sweden) AB | SWE | NIA | Ingenix Innovus (Netherlands) B.V | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | OptumInsight Holdings, LLC | DE | NIA | Optum, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |

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SCHEDULE Y

| | | | | PAR | 1 1/- | A - DE I AIL | . OF INSURANCE | = n(| ノレレニ | NG COMPANT S | O I O I E IVI | | | |
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| | | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | | (Ownership, | is | | |
| | | | | | | Name of Constition | | | Deletion | | | - | | |
| | | | | | | Name of Securities | | | Relation- | | Board, | Owner- | | |
| | | | | | | Exchange | | Domi- | ship | | Management, | ship | | |
| | | NAIC | Federal | | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | | |
| Group | | Company | ID | Federal | | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD | CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| | | 00000 | . 98-1094659 | | | | OptumInsight Italy S.r.I. | AT L | NIA | Ingenix Innovus (Netherlands) B.V | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1099715 | | | . | OptumInsight Korea LLC | KOR | NIA | Optum. Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | . |
| | | 00000 | . 04-3383745 | | | . | OptumInsight Life Sciences, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | . |
| | | 00000 | . 98-1100008 . | | | | OptumInsight Poland sp. z.o.o. | P0L | NIA | Ingenix Innovus (Netherlands) B.V | Ownership. | 99.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1100008 | | | | OptumInsight Poland sp. z.o.o. | POL | NIA | OptumInsight, Inc. | Ownership | 1.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1858498 | | | | OptumInsight, Inc. | DE | NIA | OptumInsight Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | OptumRx Holdings, LLC | DE | NIA | Optum, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-0151096 | | | | OptumRx NY IPA. Inc. | NY | NIA | OptumRx. Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0441200 | | | | OptumRx. Inc. | CA | NIA | OptumRx Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | - | | . 00 074 1200 . | | | | Optumit, IIIo. | on | | Amil Assistência Médica Internacional | omici sirip | | John tourieartii uroup illoorporated | |
| | | 00000 | 98-1112204 | | | | Orion Participações e Administração Ltda. | BRA | NIA | S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1112204 | | | | | | | Amico Saúde Ltda. | Ownership | | | |
| | | | | | | | Orion Participações e Administração Ltda. | BRA | NIA | | | | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1921007 | | | | Ovations, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 06-1587795 . | | | | Oxford Benefit Management, Inc. | CT | NIA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 78026 | . 22-2797560 . | | | | Oxford Health Insurance, Inc | NY | IA | Oxford Health Plans (NY), Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 96798 | . 06-1181201 . | | | | Oxford Health Plans (CT), Inc. | CT | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95506 | 22-2745725 | | | | Oxford Health Plans (NJ), Inc. | NJ | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95479 | . 06-1181200 | | | | Oxford Health Plans (NY), Inc | NY | I A | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 52-2443751 . | | | | Oxford Health Plans LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | PacifiCare Life and Health Insurance | | | | · | | i i | |
| 0707 | UnitedHealth Group Incorporated | 70785 | 35-1137395 | | | | Company | IN | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 84506 | 95-2829463 | | | | PacifiCare Life Assurance Company | CO | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95617 | 94-3267522 | | | | PacifiCare of Arizona. Inc. | AZ | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95434 | 84-1011378 | | | | PacifiCare of Colorado, Inc. | CO | I A | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95685 | 86-0875231 | | | | PacifiCare of Nevada, Inc. | NV | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 9101 | John teuricar in Group Theorperated | 00000 | 62-1451147 | | | | Payment Resolution Services, LLC | TN | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 02-1431147 | | | | Personal Performance Consultants India | | | optumnistgirt, file. | owner strip | 100.000 | Join teurieartii droup incorporated | |
| | | 00000 | 98-1095799 | | | | Private Limited | JND | NIA | OptumHealth International B.V. | Ownership | 99.996 | UnitedHealth Group Incorporated | |
| | | 00000 | . 90-1093/99 | | | | Personal Performance Consultants India | UIIU | NIA | optumeatth international b.v. | Owner Strip | 99.990 | John tedhearth droup incorporated | |
| | | 00000 | 98-1095799 | | | | | IND | NIA | United Behavioral Health | Ownership. | 0.004 | Haita alle alth Access to a control | |
| | | 00000 | . 98-1095/99 | | | | Private Limited | UND | NIA | United Benavioral Health | Uwnersnip | 0.004 | UnitedHealth Group Incorporated | |
| | | | | | | | Personal Performance Consultants UK | | | | | | | |
| | | 00000 | 98-1095879 | | | | Limited | GBR | | PPC International, L.L.C. | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 80-0506053 | | | | PHC Holdings of Florida, Inc. | TX | NIA | PHC Subsidiary Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 35-2288416 | | | | PHC Subsidiary Holdings, LLC | TX | NIA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | . |
| | | 1 | | | | | | | | North American Medical Management - | | 1 | | |
| | | 00000 | . 80-0654665 . | | | | Physician Care Partners, Inc. | IL | NI A | Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | , |
| | | 00000 | | | | | Physicians Choice Insurance Service, LLC | CA | NI A | Monarch Financial Services, LLC | Ownership | 70.000 | UnitedHealth Group Incorporated | 4 |
| | | 1 | | | | | Physicians Health Choice of New Mexico, | | | | | | | |
| 0707 | UnitedHealth Group Incorporated | 12977 | . 32-0191973 | | | | Inc | NM | IA | PHC Subsidiary Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 11494 | 04-3677255 . | | | | Physicians Health Choice of Texas, LLC | TX | IA | PHC Subsidiary Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 52-1162824 | | | | Physicians Health Plan of Maryland, Inc | MD | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 36-4375169 | 3886791 | | | Picis, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | _100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1094849 | | | | Picis, Ltd. | GBR | | Picis, Inc. | Ownership | | UnitedHealth Group Incorporated | . |
| | | | 1 | | | | Polar II Fundo de Investimento em | | | UnitedHealthcare International IV | | | The state of the s | |
| | | 00000 | 98-1083164 | | | | Participações | BRA | NIA | S.á.r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1098190 | | | | Positive People Company | | NIA | PPC International, L.L.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-2149493 | | | | PPC International II, LLC | MO | NIA | United Behavioral Health | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 43-1747235 | | | | PPC International, L.L.C. | MO | NIA | Optum, Inc. | Ownership. | | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1097921 | | | | PPC Uniternational, L.L.C. | CAN | NIA NIA | PPC International, L.L.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | _ 30-103/321 | | | | FFO WOLLUWING CARROLL EAF SELVICES LIG | VAIV | NIA | | | 100.000 | Join teunearth droup incorporated | |
| | | 00000 | | | | | DDO Woodal ido Day 141 | SGP | NII 4 | International Psychological Services Pt | | 400.000 | Heiterstein Constitution | |
| | | 00000 | . | | | . [| PPC Worldwide Pte. Ltd | SGP | NIA | Limited | Ownership | 100 . 000 | UnitedHealth Group Incorporated | . |

SCHEDULE Y

| | PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM | | | | | | | | | | | | | |
|-------|--|---------|--|---------|------|--------------------------------|---|------------------|----------------|--|---------------------------------|--------------------|--|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| | | | | | | | | | | | Туре | If | | |
| | | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | _ | | (Ownership, | is | | |
| | | | | | | Name of Securities | | D: | Relation | - | Board, | Owner- | | |
| | | NAIC | Federal | | | Exchange if Publicly Traded | Names of | Domi- ciliary | ship | | Management, | ship | | |
| Group | | Company | ID | Federal | | (U.S. or | Names of Parent, Subsidiaries | Loca- | to Reportin | g Directly Controlled by | Attorney-in-Fact, Influence, | Provide Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD | CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| 0000 | Group Harrie | Code | Hamboi | NOOD | Oiix | intornational) | OT 7 timilates | tion | Linary | Personal Performance Consultants UK | outor) | lage | Emary(100)/1 crosm(c) | _ |
| | | 00000 | | | | | PPC Worldwide Ptv Ltd | AUS | NIA | Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 75-2741619 | | | | ppoONE, Inc. | DE | NIA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 65-0683927 . | | | | Preferred Care Partners Holding, Corp | FL | NIA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Preferred Care Partners Medical Group, | | | | | | | |
| | | 00000 | 26-1845018 . | | | | Inc | FL | NIA | Preferred Care Partners Holding, Corp | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Preferred Care Partners Medical Group, | _ | | | | | | |
| | | 00000 | 26-1845018 | | | | Inc | FL | NIA | Preferred Care Partners Holding, Corp | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 11176 | . 65-0885893 . | | | | Preferred Care Partners, Inc. | FL | IA | Preferred Care Partners Holding, Corp | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 75-3265059 | | | | Premier Choice ACO, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 75-3265056 . | | | | Prime Community Care of Central Valley, | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 88-0253112 | | | | Prime Health, Inc. | NV | NIA | Sierra Health Services. Inc. | Ownership | . 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0607478 | | | | PrimeCare Medical Network, Inc. | CA | IA | NAMM Holdings. Inc. | Ownership. | . 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 75-3265058 | | | | PrimeCare of Banning-Beaumont, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 87-0757397 | | | | PrimeCare of Citrus Valley, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 80.000 | UnitedHealth Group Incorporated | 1 |
| | | 00000 | 33-0674407 | | | | PrimeCare of Corona, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0674401 | | | | PrimeCare of Hemet Valley, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0674408 | | | | PrimeCare of Inland Valley, Inc | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0674402 . | | | | PrimeCare of Moreno Valley, Inc | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 75-3265060 . | | | | PrimeCare of Pomona Valley, Inc | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0674400 . | | | | PrimeCare of Redlands, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0674404 . | | | | PrimeCare of Riverside, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 14-1915328 | | | | PrimeCare of San Bernardino, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0698439 | | | | PrimeCare of Sun City, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 33-0674409 39-1579905 | | | | PrimeCare of Temecula, Inc. | CA W I | NIA NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | |
| | | 00000 | . 39-13/9903 . | | | | ProcessWorks, Inc. | I W I | NIA | UnitedHealthcare, Inc Amil Assistência Médica Internacional | Ownership | 100.000 | Unitednealth Group Incorporated | |
| | | 00000 | 98-1112304 | | | | Promarket Propaganda e Marketing Ltda | BRA | NIA | S.A. | Ownership | 99.792 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1112304 | | | | Promarket Propaganda e Marketing Ltda | BRA | NIA | Amico Saúde Ltda. | Ownership | 0.208 | UnitedHealth Group Incorporated | |
| | | 00000 | 00 1112001 | | | | QSSI Technologies India Private Limited | IND | NIA | Quality Software Services, Inc. | Ownership | 99.900 | UnitedHealth Group Incorporated | 4 |
| | | 00000 | 52-2016292 | | | | Quality Software Services, Inc. | MD | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 26-3168754 | | | | R&H Family Fitness Unlimited LLC | TX | NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | Savvysherpa Asia, LLC | MN | NIA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1097769 | | | | ScriptSwitch Holdings Limited | GBR | NIA | Ingenix UK Holdings Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | | ScriptSwitch Limited | GBR | NIA | ScriptSwitch Holdings Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | | North American Medical Management - | | 1 | | |
| | | 00000 | 20-4763091 . | | | | Senior Care Partners, Inc. | IL | NIA | Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | III. I A CHILL LAID OCCUPANT | 74400 | 04 0704000 | | | | Sierra Health and Life Insurance Company, | p. 11. | | Oissens Harlith Osses | 0 | 400 000 | III. I A. III. I I I I I I I I I I I I I | |
| 0/0/ | UnitedHealth Group Incorporated | 71420 | 94-0734860 | | | | Inc. | NV NV | IA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 88-0200415 ₂ 88-0254322 ₂ | | | | Sierra Health Services, Inc. | NV | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | |
| | - | 00000 | 88-0254322 ₋ 88-0385705 ₋ | | | | Sierra Health-Care Options, Inc | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | - |
| | | 00000 | . 88-0363705 . . 88-0264562 . | | | | Sierra Nevada Administrators, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 88-0201420 | | | | Southwest Medical Associates, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 38-2609888 | | | | Southwest Michigan Health Network Inc. | MI | NIA | UnitedHealthcare, Inc. | Ownership. | . 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1921983 | | | | Specialty Benefits, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 71-0886811 | | | | Spectera of New York, IPA, Inc. | NY | NIA | Spectera, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 52-1260282 | | | | Spectera, Inc. | MD | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 56-1970224 | | | | The Lewin Group, Inc. | NC | NIA | Optum Public Sector Solutions, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 25-1825549 | | | | Three Rivers Holdings, Inc. | DE | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |

SCHEDULE Y

| | PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM | | | | | | | | | | | | |
|-------|--|---------|--------------|----------|----------------------------|--|---------|-----------|---|-------------------|----------|----------------------------------|----|
| 1 | 2 | 3 | 4 | 5 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| | | | | | | | | | | Type | If | | |
| | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | (Ownership, | is | | |
| | | | | | Name of Securities | | | Relation- | | Board, | Owner- | | |
| | | | | | Exchange | | Domi- | ship | | Management, | ship | | |
| | | NAIC | Federal | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | | |
| Group | | Company | ID | Federal | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD CI | | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| | 5.54pa5 | 00000 | 52-1431155 | | international) | Travel Express Incorporated | MD | NIA | FrontierMEDEX. Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | 1 |
| | | 00000 | 94-3077084 | | | U.S. Behavioral Health Plan, California | CA | IA | United Behavioral Health | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1913059 | | | UHC International Services, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 95-2931460 | | | UHC of California | CA | IA | United HealthCare Services, Inc. | Ownership. | .100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | | | Polar II Fundo de Investimento em | | | | |
| | | 00000 | | | | UHG Brasil Participações S.A. | BRA | NIA | Participações | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | | | | UHG Global Services, Inc. | PHL | NIA | UnitedHealth Group International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1921008 | | | UHIC Holdings, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 14-1892398 | | | Ultima Rx, LLC | FL | NIA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 39-1995276 | | | UMR, Inc | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 91529 | 52-1996029 | . | | Unimerica Insurance Company | WI | IA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 1 | | | | Unimerica Life Insurance Company of New | | | | | | | |
| 0707 | UnitedHealth Group Incorporated | 11596 | 01-0637149 . | | | York | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 25-1877716 . | | | Unison Administrative Services, LLC | PA | NIA | Three Rivers Holdings, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 20-5917714 . | | | Unison Health Plan of Delaware, Inc | DE | IA | Three Rivers Holdings, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | Unison Health Plan of the Capital Area, | | | | | | | |
| 0707 | UnitedHealth Group Incorporated | 13032 | 26-0651931 | | | Inc | DC | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 94-2649097 | | | United Behavioral Health | CA | NI A | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | United Behavioral Health of New York, | | | | | | | |
| | | 00000 | 41-1868911 . | | | I.P.A., Inc. | NY | NI A | United Behavioral Health | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1941615 . | | | United Health Foundation | MN | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1289245 . | 3410132 | | United HealthCare Services, Inc. | MN | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | United Resource Networks IPA of New York, | | | | | | | |
| | | 00000 | 30-0318238 | | | Inc. | NY | NIA | OptumHealth Care Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 01-0538317 | | | UnitedHealth Advisors, LLC | ME | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | UnitedHealth Group Global Healthcare | | | | | | | |
| | | 00000 | 98-1097761 . | | | Services Limited | JRL | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1097776 . | | No. Verde Oberde Frederica | UnitedHealth Group Global Services, Inc | PHL | NIA | UnitedHealth Group International B.V | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1321939 | 000073 | New York Stock Exchange | | MN | UIP | | | | | |
| | | 00000 | 41-1321939 | | 1/00 . | UnitedHealth Group Incorporated UnitedHealth Group Information Services | MIN | 01P | | | | | |
| | | 00000 | 98-1093259 | | | Private Limited | JND | NIA | UnitedHealth Group International B.V. | Ownership | 99.370 | UnitedHealth Group Incorporated | |
| | | 00000 | 30-1033233 | | | UnitedHealth Group Information Services | UND | NIA | On teulearth droup international b.v. | Owner strip | | Jointeunearth Group Theorporated | |
| | | 00000 | 98-1093259 | | | Private Limited | IND | NIA | UnitedHealth International, Inc. | Ownership | 0.630 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1101083 | | | UnitedHealth Group International B.V. | NLD | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1101003 . | | | UnitedHealth Group International GP | CYM | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1079020 | | | UnitedHealth Group International L.P. | CYM | NIA | UnitedHealth Group Incorporated | Ownership | 92.970 | UnitedHealth Group Incorporated | 5 |
| | | 00000 | 98-1080118 | | | UnitedHealth Group International L.P. | CYM | NIA | FMG Holdings, LLC | Ownership. | 4.410 | UnitedHealth Group Incorporated | 5 |
| | | | | | | | | | UnitedHealthcare International LATAM | | | | |
| | | 00000 | 98-1080118 | <u> </u> | | UnitedHealth Group International L.P. | CYM | NIA | Corporation | Ownership | 2.300 | UnitedHealth Group Incorporated | 5 |
| | | 00000 | 98-1080118 | | | UnitedHealth Group International L.P. | CYM | NIA | Hygeia Corporation | Ownership | 0.330 | UnitedHealth Group Incorporated | 5 |
| | | 00000 | | l | | UnitedHealth Group Ventures, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1917398 | l | | UnitedHealth International, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 1 | | | | UnitedHealth Military & Veterans Services, | 1 | | , | , | | | |
| | | 00000 | 26-2574977 . | | | LLC | DE | NIA | United HealthCare Services, Inc | Ownership | 100.000 | _UnitedHealth Group Incorporated | |
| | | 00000 | 98-0559902 | | | UnitedHealth UK Limited | GBR | NIA | Ingenix UK Holdings Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95174 | 33-0115163 . | | | UnitedHealthcare Benefits of Texas, Inc | TX | IA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 1 | | I | | | | UnitedHealthcare Community Plan of | | | | | | | |
| | | 00000 | | | | California, Inc. | CA | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | I | | | | UnitedHealthcare Community Plan of Ohio, | | | | | | | |
| 0707 | UnitedHealth Group Incorporated | 12323 | 56-2451429 | | | Inc | H | I A | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |

SCHEDULE Y

| | PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM | | | | | | | | | | | | | |
|----------------------|--|-----------------|----------------------------------|---------|-----|--------------------------------|---|------------------|-----------------|--|---------------------------------|--------------------|--|------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| | | | | | | | | | | | Type | If | | |
| | | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | | (Ownership, | is | | |
| | | | | | | Name of Securities | | D: | Relation- | | Board, | Owner- | | |
| | | NAIC | Codorol | | | Exchange | Names of | Domi- | ship | | Management, | ship | | |
| Group | | NAIC Company | Federal ID | Federal | | if Publicly Traded (U.S. or | Names of Parent, Subsidiaries | ciliary Loca- | to Reporting | Directly Controlled by | Attorney-in-Fact, Influence, | Provide Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD | CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| | Croup Hamo | 5545 | | 11002 | | international) | UnitedHealthcare Community Plan of Texas. | | | (rtamo er zmatyrr ereem) | 0 | iago i | | 1 |
| 0707 | UnitedHealth Group Incorporated | 11141 | 91-2008361 | | | | L.L.C. | TX | IA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95467 | . 38-3204052 . | | | | UnitedHealthcare Community Plan, Inc | MI | | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 98-1099116 . | | | | UnitedHealthcare India Private Limited | I ND | NIA | UnitedHealthcare International II B.V. | Ownership | 99.995 | UnitedHealth Group Incorporated | |
| | | 00000 | . 98-1099116 . | | | | UnitedHealthcare India Private Limited | IND | NIA | UnitedHealth International, Inc. | Ownership | 0.005 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 79413 | . 36-2739571 . | | | | UnitedHealthcare Insurance CompanyUnitedHealthcare Insurance Company of | CT | IA | UHIC Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 60318 | 36-3800349 | | | | Illinois | п | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0101 | | 00010 | _ 30 3000343 . | | | | UnitedHealthcare Insurance Company of New | ۱ | | Offited leaf theare modified company | Owner strip. | | on tealearth aroup moorporated | |
| 0707 | UnitedHealth Group Incorporated | 60093 | . 11-3283886 . | | | | York | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | on touriour in aroup morporatou | | 0200000 : | | | | UnitedHealthcare Insurance Company of the | | | UnitedHealthcare Services Company of th | | | | |
| 0707 | UnitedHealth Group Incorporated | 12231 | 20-1902768 . | | | | River Valley | IL | IA | River Valley, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 41-1988797 . | | | | UnitedHealthcare International Asia, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 98-1100512 . | | | | UnitedHealthcare International I B.V | NLD | | UnitedHealth Group International L.P | Ownership | 75.760 | UnitedHealth Group Incorporated | |
| | | 00000 | . 98-1100512 . | | | | UnitedHealthcare International I B.V. | NLD | NIA | UnitedHealth Group International B.V. | Ownership | 24.240 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1079595 . | | | | UnitedHealthcare International I S.á.r.l. | _LUX | NIA | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1100980 | | | | UnitedHealthcare International II B.V UnitedHealthcare International II S.á.r.l. | NLD | NIA | UnitedHealth Group International B.V. UnitedHealthcare International I | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 98-1079459 | | | | Unitedhearthcare international II S.a.r.i. | _LUX | NIA | S.á.r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | . 30 10/3433 . | | | | UnitedHealthcare International III | LUX | | UnitedHealthcare International II | Owner 3111p | | on teurearth droup moorporated | |
| | | 00000 | 98-1077436 | | | | S.á.r.l. | LUX | NIA | S.á.r.I. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | UnitedHealthcare International IV S.á.r.I. | | | UnitedHealthcare International II | | | | |
| | | 00000 | 98-1080926 . | | | | | LUX | NIA | S.á.r.I | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | UnitedHealthcare International LATAM | | | | | | | |
| | | 00000 | 99-0382287 | | | | Corporation | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 97179 | . 86-0207231 . | | | | UnitedHealthcare Life insurance Company | WI | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 0707 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | 95784 | . 63-0899562 . . 86-0507074 . | | | | UnitedHealthcare of Alabama, Inc UnitedHealthcare of Arizona, Inc | AL AZ | IA IA | UnitedHealthcare, Inc UnitedHealthcare. Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95446 | . 63-1036819 . | | | | UnitedHealthcare of Arkansas, Inc. | AZ AR | IA | UnitedHealthcare, Inc. | Ownership | | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95090 | 84-1004639 | | | | UnitedHealthcare of Colorado, Inc. | CO | IA | UnitedHealthcare, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95264 | 59-1293865 | | | | UnitedHealthcare of Florida, Inc. | FL | IA | UnitedHealthcare, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95850 | 58-1653544 | | | | UnitedHealthcare of Georgia, Inc. | GA | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95776 | 36-3280214 | | | | UnitedHealthcare of Illinois, Inc | IL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 96644 | 62-1240316 | | | | UnitedHealthcare of Kentucky, Ltd | KY | IA | United HealthCare Services, Inc | Ownership | 94 . 180 | UnitedHealth Group Incorporated | . 6 |
| 0707 | UnitedHealth Group Incorporated | 96644 | . 62-1240316 . | | | | UnitedHealthcare of Kentucky, Ltd | KY | IA | UnitedHealthcare, Inc. | Ownership | 5.820 | UnitedHealth Group Incorporated | . 6 |
| 0707 | UnitedHealth Group Incorporated | 95833 95716 | . 72-1074008 . 63-1036817 . | | | | UnitedHealthcare of Louisiana, Inc. | LA MS | IAIA | UnitedHealthcare, Inc UnitedHealthcare. Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | 95716 | . 05-0413469 . | | | | UnitedHealthcare of Mississippi, Inc UnitedHealthcare of New England, Inc | MS RI | IA IA | United HealthCare Services, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 13214 | 26-2697886 | | | | UnitedHealthcare of New Mexico, Inc. | NM | IA | United Healthcare Insurance Company | Ownership. | . 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95085 | . 06-1172891 . | | | | UnitedHealthcare of New York, Inc. | NY | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95103 | 56-1461010 | | | | UnitedHealthcare of North Carolina, Inc. | NC | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95186 | 31-1142815 | | | | UnitedHealthcare of Ohio, Inc. | OH | I A | United HealthCare Services, Inc. | Ownership | .100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 96903 | . 33-0115166 . | | | | UnitedHealthcare of Oklahoma, Inc | 0K | IA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | . |
| 0707 | UnitedHealth Group Incorporated | 95893 | 93-0938819 | | | | UnitedHealthcare of Oregon, Inc. | OR | IA | United HealthCare Services, Inc | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95220 | . 25-1756858 . | | | | UnitedHealthcare of Pennsylvania, Inc | PA | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95765 | . 95–3939697 . | | | | UnitedHealthcare of Texas, Inc. | TX | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | United Health Crown Incorporated | 05005 | 52-1130183 | | | 1 | UnitedHealthcare of the Mid-Atlantic, Inc. | MD | IA | United Heart Inc | Ownership | 100,000 | United Health Crown Incorporated | |
| <u>0</u> 707 0707 | UnitedHealth Group Incorporated | 95591 | 47-0676824 | | | | UnitedHealthcare of the Midlands, Inc. | MD NE | ΙΑ ΙΔ | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 96385 | 43-1361841 | | | | UnitedHealthcare of the Midwest, Inc. | MO | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95501 | 41-1488563 | | | | UnitedHealthcare of Utah, Inc. | UT | | UnitedHealthcare, Inc. | Ownership | | UnitedHealth Group Incorporated | |

SCHEDULE Y

| | | | | - | | · | OI INCORANCE | | | to comi Aiti c | | | T | |
|-------|---------------------------------|---------|----------------|---------|-----|--------------------|--|---------|-----------|--|-------------------|----------|---------------------------------|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | _12 | 13 | 14 | 15 |
| | | | | | | | | | | | Туре | lf | | |
| | | | | | | | | | | | of Control | Control | | |
| | | | | | | | | | | | (Ownership, | is | | |
| | | | | | | Name of Securities | | | Relation- | | Board, | Owner- | | |
| | | | | | | Exchange | | Domi- | ship | | Management, | ship | | |
| | | NAIC | Federal | | | if Publicly Traded | Names of | ciliary | to | | Attorney-in-Fact, | Provide | | |
| Group | | Company | ID | Federal | | (U.S. or | Parent, Subsidiaries | Loca- | Reporting | Directly Controlled by | Influence, | Percen- | Ultimate Controlling | |
| Code | Group Name | Code | Number | RSSD | CIK | International) | Or Affiliates | tion | Entity | (Name of Entity/Person) | Other) | tage | Entity(ies)/Person(s) | * |
| 0707 | UnitedHealth Group Incorporated | 48038 | 91-1312551 . | | | | UnitedHealthcare of Washington, Inc | WA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| 0707 | UnitedHealth Group Incorporated | 95710 | . 39-1555888 . | | | | UnitedHealthcare of Wisconsin, Inc. | WI | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | UnitedHealthcare Plan of the River Valley, | | | UnitedHealthcare Services Company of the | · | | · | |
| 0707 | UnitedHealth Group Incorporated | 95378 | . 36-3379945 . | | | | Inc. | IL | IA | River Valley, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 47-0854646 . | | | | UnitedHealthcare Service LLC | DE | NIA | UnitedHealthcare Insurance Company | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | UnitedHealthcare Services Company of the | | | . , | · · | | · | |
| | | 00000 | 36-3355110 . | | | | River Valley, Inc. | DE | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 01-0518346 | | | | UnitedHealthcare Specialty Benefits, LLC | ME | NIA | Specialty Benefits, LLC | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 41-1922511 . | | | | UnitedHealthcare, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 37-0920164 . | | | | UnitedHealthOne Agency, Inc. | IN | NIA | Golden Rule Financial Corporation | Ownership | | UnitedHealth Group Incorporated | |
| | | 00000 | 87-0757396 . | | | | Valley Physicians Network, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | | UnitedHealth Group Incorporated | |
| | | | | | | | WellMed Medical Management of Florida, | | | · | · · | | | |
| | | 00000 | 74-2797745 . | | | | Inc. | FL | NIA | WellMed Medical Management, Inc. | Ownership. | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 74-2786364 . | | | | WellMed Medical Management, Inc. | TX | NIA | Collaborative Care Holdings, LLC | Ownership. | 80.000 | UnitedHealth Group Incorporated | 1 |
| | | 00000 | 36-3437660 . | | | | Wellness, Inc. | IL | NIA | OptumHealth Care Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | 00000 | 52-2102846 | | | | XLHealth Corporation | MD | | United HealthCare Services, Inc. | Ownership | | UnitedHealth Group Incorporated | |
| | | | | | | | XLHealth Corporation India Private Limited | | | , | · · | | · | |
| | | 00000 | 98-1107695 . | | | | ' | JND | NIA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | | | | | Your Health Options Insurance Services. | | | | | | | |
| | | 00000 | 11-3764012 | | | | Inc. | CA | NIA | PrimeCare Medical Network. Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | |
| | | | 1 | | | | | | | North American Medical Management - | | | | |
| | | 00000 | 27-0172594 | | | | Your Partner in Health Services, Inc. | IL | | Illinois. Inc. | Ownership | .100.000 | UnitedHealth Group Incorporated | |
| | | |] | | | | | | | , | | | | |
| | | 1 | | | | | | | | | | | | |

| Asterisk | Explanation |
|----------------------------------|---|
| The remaining percentage is ow | ned by one or more non-affiliated companies. |
| ? The remaining percentage is ow | ned by one or more officers of the Company. |
| The remaining percentage is ow | ned by the former controlling shareholders of Amil Participações S.A. |
| The remaining percentage is ow | ned by one or more external shareholders. |
| The limited partners include F | MG Holdings, LLC (4.41%), UnitedHealthcare International LATAM Corporation (2.3%), Hygeia Corporation (DE) (0.33%) and UnitedHealth Group Incorporated (92.97%). The general partner is UnitedHealth Group International GP. |
| The general partnership intere | st of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.83% |

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | | = | Response |
|----|--|-----------------------------|----------|
| 1. | Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the | e NAIC with this statement? | NO |
| | Explanation: | | |
| 1. | The Company does not have any Medicare Part D Coverge to be reported on the supple | ement. | |
| 1. | Bar Code: Medicare Part D Coverage Supplement [Document Identifier 365] | | |

OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

| | | 1 | 2 |
|-----|--|--------------|------------------|
| | | | Prior Year Ended |
| | | Year to Date | December 31 |
| 1. | Book/adjusted carrying value, December 31 of prior year | | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Current year change in encumbrances | | |
| 4. | Total gain (loss) on disposals | | |
| 5. | Deduct amounts received on disposals | | |
| 6. | Total foreign exchange change in book/adjusted a rrying to a summer to a summe | | |
| 7. | Deduct current year's other than temporary impairment recognized | | |
| 8. | Deduct current year's depreciation | | |
| 9. | Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) | | |
| 10. | Deduct total nonadmitted amounts | | |
| 11. | Statement value at end of current period (Line 9 minus Line 10) | | |

SCHEDULE B - VERIFICATION

Mortgage Loans

| | Mortgage Loans | 1 | 2 |
|-----|---|--------------|------------------|
| | | | Prior Year Ended |
| | | Year to Date | December 31 |
| 1. | Book value/recorded investment excluding accrued interest, December 31 of prior year | - | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Capitalized deferred interest and other | | |
| 4. | Accrual of discount | | |
| 5. | Unrealized valuation increase (decrease) | | |
| 6. | Total gain (loss) on disposals | | |
| 7. | Deduct amounts received on disposals | | |
| 8. | Deduct amortization of premium and mortgage in trest political and ammitmer dees | | |
| 9. | Total foreign exchange change in book value/recorded investment excretely accrued interest | | |
| 10. | Deduct current year's other than temporary impairment recognized | | |
| 11. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. | Total valuation allowance | | |
| 13. | Subtotal (Line 11 plus Line 12) | | |
| 14. | Deduct total nonadmitted amounts | | |
| 15. | Statement value at end of current period (Line 13 minus Line 14) | | |

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | Other Long-Term invested Assets | | , |
|-----|--|--------------|------------------|
| | | 1 | 2 |
| | | | Prior Year Ended |
| | | Year to Date | December 31 |
| 1. | Book/adjusted carrying value, December 31 of prior year | | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Capitalized deferred interest and other | | |
| 4. | Accrual of discount | | |
| 5. | Unrealized valuation increase (decrease) | | |
| 6. | Total gain (loss) on disposals | | |
| 7. | Deduct amounts received on disposals | | |
| 8. | Deduct amortization of premium and depreciation | | |
| 9. | Total foreign exchange change in book/adjusted carrying value | | |
| 10. | Deduct current year's other than temporary impairment recognized | | |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. | Deduct total nonadmitted amounts | | |
| 13. | Statement value at end of current period (Line 11 minus Line 12) | | |

SCHEDULE D - VERIFICATION

Bonds and Stocks

| | | 1 | 2 |
|-----|---|--------------|------------------|
| | | | Prior Year Ended |
| | | Year to Date | December 31 |
| 1. | Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 33,576,606 | 39,853,474 |
| 2. | Cost of bonds and stocks acquired | | |
| 3. | Accrual of discount | 5,388 | 14,085 |
| 4. | Unrealized valuation increase (decrease) | | |
| 5. | Total gain (loss) on disposals | 134,431 | 400,254 |
| 6. | Deduct consideration for bonds and stocks disposed of | | |
| 7. | Deduct amortization of premium | | |
| 8. | Total foreign exchange change in book/adjusted carrying value | | |
| 9. | Deduct current year's other than temporary impairment recognized | | 1,910 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 36, 153, 852 | 33,576,606 |
| 11. | Deduct total nonadmitted amounts | | |
| 12. | Statement value at end of current period (Line 10 minus Line 11) | 36, 153, 852 | 33,576,606 |

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

| Daning | 1 | for all Bonds and Pr | 3 | 4 | 5 | 6 | 7 | 8 |
|-------------------------------------|---------------------------------|------------------------|------------------------|---------------------------|--------------------------|--------------------------|--------------------------|-------------------------------|
| | Book/Adjusted | _ | Ü | , | Book/Adjusted | Book/Adjusted | Book/Adjusted | Book/Adjusted |
| | Carrying Value | Acquisitions During | Dispositions During | Non-Trading Activity | Carrying Value End of | Carrying Value End of | Carrying Value End of | Carrying Value December 31 |
| | Beginning of Current Quarter | Current Quarter | Current Quarter | During Current Quarter | First Quarter | Second Quarter | Third Quarter | Prior Year |
| | | | | | | | | |
| | | | | | | | | |
| DONDO | | | | | | | | |
| BONDS | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 1. Class 1 (a) | 160,972,628 | 239,892,087 | 229,512,200 | (579,257) | 160,972,628 | 170,773,258 | 0 | 155,092,996 |
| 2. Class 2 (a) | 5,453,828 | 2,014,652 | 2,170,221 | 263,284 | 5,453,828 | 5,561,543 | 0 | 4,935,350 |
| 3. Class 3 (a) | 0 | | | | 0 | 0 | | |
| 4. Class 4 (a) | 0 | | | | 0 | 0 | | |
| 5. Class 5 (a) | 0 | | | | 0 | 0 | | |
| 6. Class 6 (a) | . 0 | | | | 0 | 0 | | |
| 7. Total Bonds | 166,426,456 | 241,906,739 | 231,682,421 | (315,973) | 166,426,456 | 176,334,801 | 0 | 160,028,346 |
| | | | | | | | | |
| | | | | | | | | |
| PREFERRED STOCK | | | | | | | | |
| THE EINED STOOK | | | | | | | | |
| | | | | | | | | |
| | 0 | | | | 0 | 0 | | 0 |
| 8. Class 1 | | | | | | 0 | | 0 |
| 9. Class 2 | 0 | | | | 0 | 0 | | 0 |
| 10. Class 3 | 0 | | | ļ | 0 | 0 | | 0 |
| 11. Class 4 | 0 | | | | 0 | 0 | | 0 |
| 12. Class 5 | 0 | | | | 0 | 0 | | 0 |
| 13. Class 6 | 0 | | | | 0 | 0 | | 0 |
| 14. Total Preferred Stock | . 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock | 166,426,456 | 241,906,739 | 231,682,421 | (315,973) | 166,426,456 | 176,334,801 | 0 | 160,028,346 |

SCHEDULE DA - PART 1

Short-Term Investments

| | 1 Book/Adjusted | 2 | 3 | 4 Interest Collected | 5 Paid for Accrued Interest |
|----------------|--------------------|-----------|-------------|-------------------------|-----------------------------------|
| | Carrying Value | Par Value | Actual Cost | Year-to-Date | Year-to-Date |
| 9199999 Totals | 138,731,266 | xxx | 139,122,659 | 276,825 | 106,535 |

SCHEDULE DA - VERIFICATION

Short-Term Investments

| | | 1 | 2 |
|-----|---|--------------|---------------------------------|
| | | Year To Date | Prior Year Ended December 31 |
| 1. | Book/adjusted carrying value, December 31 of prior year | 125,349,511 | 127,467,826 |
| 2. | Cost of short-term investments acquired | 447,354,357 | 963,438,872 |
| 3. | Accrual of discount | 15,494 | 39,361 |
| 4. | Unrealized valuation increase (decrease) | | |
| 5. | Total gain (loss) on disposals | | 2,509 |
| 6. | Deduct consideration received on disposals | 433,482,939 | 964,595,502 |
| 7. | Deduct amortization of premium | 505 , 157 | 1,003,555 |
| 8. | Total foreign exchange change in book/adjusted carrying value | | |
| 9. | Deduct current year's other than temporary impairment recognized | | |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 138,731,266 | 125,349,511 |
| 11. | Deduct total nonadmitted amounts | | |
| 12. | Statement value at end of current period (Line 10 minus Line 11) | 138,731,266 | 125,349,511 |

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards $N\ O\ N\ E$

Schedule DB - Part B - Verification - Futures Contracts $N\ O\ N\ E$

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

SCHEDULE E - VERIFICATION

(Cash Equivalents)

| | (Odoli Equivalento) | 1 | 2 |
|-----|---|--------------|---------------------------------|
| | | Year To Date | Prior Year Ended December 31 |
| 1. | Book/adjusted carrying value, December 31 of prior year | 1, 102, 231 | 2,003,538 |
| 2. | Cost of cash equivalents acquired | 2,961,513 | 11,004,525 |
| 3. | Accrual of discount | 809 | 2,443 |
| 4. | Unrealized valuation increase (decrease) | | |
| 5. | Total gain (loss) on disposals | 756 | |
| 6. | Deduct consideration received on disposals | 2,600,876 | 11,885,000 |
| 7. | Deduct amortization of premium | 14,750 | 23,275 |
| 8. | Total foreign exchange change in book/adjusted carrying value | | |
| 9. | Deduct current year's other than temporary impairment recognized | | |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 1,449,683 | 1,102,231 |
| 11. | Deduct total nonadmitted amounts | | |
| 12. | Statement value at end of current period (Line 10 minus Line 11) | 1,449,683 | 1,102,231 |

Schedule A - Part 2 - Real Estate Acquired and Additions Made NONE

Schedule A - Part 3 - Real Estate Disposed NONE

Schedule B - Part 2 - Mortgage Loans Acquired NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid $N\ O\ N\ E$

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired \overline{N} \overline{O} \overline{N} \overline{E}

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid $N\ O\ N\ E$

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| | | | Show All L | ong-Term Bonds and Stock Acquired During the Current Quarter | | | | |
|----------------|--|----------|--------------------------|--|-------------|-----------|------------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 7 | 8 | 9 | 10 |
| | | | | | | | | NAIC Desig- |
| | | | | | | | | nation or |
| | | | | Ni web ea | -4 | | Daid for Assured | Market |
| | | | | Number | | | Paid for Accrued | |
| CUSIP | | | Date | Shares | | | Interest and | Indicator |
| Identification | Description | Foreign | Acquired | Name of Vendor Stock | Actual Cost | Par Value | Dividends | (a) |
| 912828-CJ-7 | US Treasury Note 4.750% 05/15/14 | | 05/14/2013 | Morgan Stanley | 1,464,258 | 1,400,000 | 0 | 1 |
| | otal - Bonds - U.S. Governments | | | | 1,464,258 | 1,400,000 | 0 | XXX |
| | NC St GO Non Call 5.000% 05/01/20 | 1 | 06/11/2013 | CitiGroup | 250.088 | | 1,224 | |
| | NC St GO Non Call 5.000% 05/01/20 | | 06/11/2013 | CitiGroup | 250,088 | | 1,672 | |
| | | | 06/11/2013 | CIT (1 Group | | , | | |
| | otal - Bonds - U.S. States, Territories and Possessions | | | | 591,671 | | 2,896 | XXX |
| | Dayton OH Sch Dist GO Non Call 5.000% 11/01/20 | | 04/04/2013 | PNC | 219,692 | 180,000 | 275 | 1FE |
| 2499999, Subto | otal - Bonds - U.S. Political Subdivisions of States. Territories and Possessi | ions | | | 219.692 | 180.000 | 275 | XXX |
| 13078H-AS-1 | CA Statewide Cmntys Dev Rev Bond Man Put 1.375% 04/01/28 | | 04/03/2013 | JP Morgan Chase | | 150,000 | 0 | 1FE |
| | FHLMC Pool G08525 MBS 3.000% 05/01/43 | | 05/31/2013 | Wachovia Bank | 83,206 | | 28 | |
| | FHLMC Pool CO4619 MBS 3.000% 03/01/43 | | 05/31/2013 | Deutsche Bank | 190,378 | | 63 | |
| | FHLMC Pool Q18559 MBS 3.500% 05/01/43 | | 06/26/2013 | Goldman Sachs | 477,300 | | 1.247 | 1 |
| | FHLMC Pool Q18098 MBS 3.000% 05/01/43 | | 05/31/2013 | RBS Securities | 176.829 | | | 1 |
| | FHLMC Pool Q19697 MBS 3.000% 06/01/43 | | 06/26/2013 | JP Morgan Chase | 96.242 | | 225 | |
| | FHLB Note Call 0.250% 04/15/14 | | 03/19/2013 | Morgan Stanley | (750.000 | | 0 | 1FF |
| | FHLB Note Call 0.250% 04/15/14 | | 03/19/2013 | Morgan Stanley | 750.000 | | ٠ | 1FF |
| | FHLB Note Non Call 0.230% 04/17/14 | | 04/15/2013 | HSBC Securities Inc | 749.889 | | 0 | 1 |
| | FL St Hurricane Catast Rev Bond MW 20BP 2.107% 07/01/18 | | 04/10/2013 | Barclays Group Inc. | | | U | 1FE |
| | IL St Sales Tax Rev Bond Non Call 5.000% 06/15/23 | | 04/10/2013 | | | | | 1FE |
| | | | | Barclays Group Inc. | | | | |
| | LA CA Dept Arpts Rev Bond Cont Call 5.000% 05/15/28 | | 06/26/2013 | Morgan Stanley | 311,970 | · · | 1,792 | |
| | otal - Bonds - U.S. Special Revenues | | | | 2,432,328 | | 3,414 | XXX |
| 02666Q-M4-2 | American Honda Fin Corp Note Non Call Prv Plc 0.274% 05/20/14 | | 05/14/2013 | Merrill Lynch | 1,000,000 | 1,000,000 | 0 | |
| | American Intl Group Corp Note Non Call 5.850% 01/16/18 | | 05/21/2013 | Barclays Group Inc. | | 25,000 | 520 | 2FE |
| 02687Q-DG-0 | American Intl Group Corp Note Non Call 5.850% 01/16/18 | | 05/22/2013 | US Bancorp | 52,447 | | 965 | |
| | American Intl Group Corp Note Non Call 5.850% 01/16/18 | | 05/22/2013 | Barclays Group Inc. | 5,827 | 5,000 | 107 | 2FE |
| 05962P-YF-0 | Banco del Estado de Chile Cert of Deposit 0.420% 06/17/14 | | 06/14/2013 | Merrill Lynch | 350,000 | | 0 | 1FE |
| | Boston Prop Corp Note Call MW 25BP 3.800% 02/01/24 | | 06/18/2013 | JP Morgan Chase | 84.740 | | 0 | 2FE |
| | Carmax Auto Own Tr CARMX 2013-2 A2 ABS 0.420% 06/15/16 | | 05/08/2013 | Barclays Group Inc. | 134.996 | | 0 | 1FE |
| | Chevron Corp Note Call MW 10BP 2.427% 06/24/20 | | 06/17/2013 | Barclays Group Inc. | | | 0 | 1FE |
| | First Inv Auto Tr FIAOT 2013-2A A2 ABS Prv Plc 1.230% 03/15/19 | | 06/13/2013 | Wachovia Bank | 99.988 | | 0 | 1FE |
| | Harley Davidson HDMOT 2013-1 B ABS 1.310% 12/15/20 | | 04/16/2013 | CitiGroup | 99.995 | | 0 | 1FE |
| | Honda Auto Rec HAROT 2013-2 A2 ABS | | 04/17/2013 | Credit Suisse | 139.988 | | 0 | 1FE |
| | Hyundai Auto Rec Tr HART 2013-B B ABS 1.450% 02/15/19 | | 06/19/2013 | Credit Suisse | 24.999 | | 0 | 1FE |
| | JP Morgan Chase Corp Note Non Call 3.375% 05/01/23 | | 04/24/2013 | JP Morgan Chase | 49.731 | 50.000 | 0 | 1FE |
| | Mercedes Benz MBALT 2013-A A2 ABS 0.490% 06/15/15 | | 04/16/2013 | Merrill Lynch | | | | 1FE |
| | | | | Morgan Stanley | 129,999 | | | |
| | Morgan Stanley MSRR 2010-GG10 A4A CMBS Prv PI 5.982% 08/12/45 | | 05/03/2013 04/10/2013 | Morgan Stanley KEYBANC CAP/ McDonald | | | 116 0 | 1FE |
| | NSTAR Corp Note MW 12.5BP 4.875% 04/15/14 | | | | | | | 1FE 2FE |
| | Oncor Electric Note Cont Call 7.000% 09/01/22 | E | 04/05/2013 | Deutsche Bank | | | 190 | |
| | PNC Funding Corp Note Non Call 3.000% 05/19/14 | | 05/16/2013 | Nomura Securities | 358,971 | 350,000 | 58 | |
| | Petrobras Intl Corp Note MW 25BP 6.125% 10/06/16 | <u> </u> | 04/23/2013 | Direct Access Brokerage | 11,223 | | 34 | 2FE |
| | Petrobras Intl Corp Note MW 30BP 3.875% 01/27/16 | F | 04/23/2013 | Direct Access Brokerage | 57,729 | | 527 | 2FE |
| | Petrobras Intl Corp Note MW 30BP 3.875% 01/27/16 | F | 04/24/2013 | Direct Access Brokerage | 15,743 | | 149 | 2FE |
| | Reckitt Benckiser Disc Comm Paper 0.400% 04/07/14 | F | 04/04/2013 | Barclays Group Inc. | | 630,000 | ٥ | 1FE |
| 3899999. Subto | otal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | 3,801,758 | 3,740,000 | 2,666 | XXX |
| | - Bonds - Part 3 | | | | 8.509.707 | -7 / | 9,251 | XXX |
| | | | | | -,, | -,, | | |
| | - Bonds - Part 5 | | | | XXX | XXX | XXX | XXX |
| 8399999. Total | - Bonds | | | | 8,509,707 | 8,213,869 | 9,251 | XXX |
| 8999997, Total | - Preferred Stocks - Part 3 | | | | 0 | XXX | 0 | XXX |
| | - Preferred Stocks - Part 5 | | | | XXX | XXX | XXX | XXX |
| | | | | | | | ^^^ | |
| | - Preferred Stocks | | | | 0 | ,,,, | 0 | XXX |
| 9799997. Total | - Common Stocks - Part 3 | | | | 0 | XXX | 0 | XXX |
| 9799998 Total | - Common Stocks - Part 5 | | | | XXX | XXX | XXX | XXX |
| | - Common Stocks | | | | 7000 | XXX | ,,,,, | XXX |
| | | | | | | | 0 | |
| | - Preferred and Common Stocks | | | | 0 | XXX | 0 | XXX |
| 9999999 - Tota | als | | | | 8.509.707 | XXX | 9.251 | XXX |
| | | | | | 0,000,101 | | 0,201 | |

⁽a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

SCHEDULE D - PART 4

| | | | | | Show All Lo | ng-Term Bo | onds and Stoc | k Sold, Red | leemed or C | Otherwise [| Disposed of | of During th | he Current | Quarter | | | | | | | |
|----------------------------|--|-----------|--|----------------------|-------------|-----------------|-----------------|----------------|----------------|-------------|--------------|--------------|-------------|-----------|----------------|-----------|-----------|------------|------------|--------------------------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Ch | nange In Boo | ok/Adjusted | Carrying Va | lue | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | 1 |
| | | | | | | | | | | | | | Total | Total | | | | | | | NAIC |
| | | | | | | | | | | | | Current | Change in | Foreign | | | | | | | Desig- |
| | | | | | | | | | | | | Year's | Book/ | Exchange | Book/ | | | | Bond | | nation |
| | | | | | | | | | Prior Year | | Current | Other Than | | Change in | Adjusted | Foreign | | | Interest/ | Stated | or |
| | | | | | | | | | Book/ | Unrealized | Year's | Temporary | Carrying | Book | Carrying | Exchange | Realized | | Stock | Con- | Market |
| CUSIP | | | | | Number of | | | | Adjusted | Valuation | (Amor- | Impairment | Value | /Adjusted | Value at | Gain | Gain | Total Gain | Dividends | tractual | In- |
| Ident- | | For- [| Disposal | Name | Shares of | Consid- | | Actual | Carrying | Increase/ | tization)/ | Recog- | (11 + 12 - | Carrying | Disposal | (Loss) on | (Loss) on | (Loss) on | Received | Maturity | dicator |
| ification | Description | eian | Date | of Purchaser | Stock | eration | Par Value | Cost | Value | (Decrease) | Accretion | nized | 13) | Value | Disposar | Disposal | Disposal | Disposal | DuringYear | Date | (a) |
| | US Treasury Bond 3.000% 02/28/17 | - 3 | 06/27/2013 . | CitiGroup | SIUCK | 161,063 | 150,000 | 148,816 | 149,263 | (Decrease) | | nizeu ^ | - / | value | 149,344 | Disposai | 11,719 | 11,719 | 3,717 | 02/28/2017 | (a) |
| | US Treasury Bond 3.000% 02/28/17 | | 06/27/2013 . 06/27/2013 . | Deutsche Bank | | 376,031 | 350,000 | 347,238 | 348,279 | ٠ | | | 81 | | 348 . 469 | | 27,562 | 27,562 | | 02/28/2017 | 1 |
| | US Treasury Note 1.500% 06/30/16 | | 06/27/2013 | Barclays Group Inc. | | 230,256 | 225,000 | 225,844 | 225,603 | 0 | (83) | 0 | (83) | 0 | 225,520 | 0 | 4,736 | 4,736 | 1,669 | 06/30/2016 | 1 |
| | US Treasury Note 1.625% 08/15/22 | | 04/24/2013 | | | 200,266 | 200,000 | 197,063 | 197, 164 | 0 | 85 | 0 | 85 | 0 | 197,249 | 0 | 3,017 | 3,017 | 2,244 | 08/15/2022 | 1 |
| | Subtotal - Bonds - U.S. Governments | | | | | 967.616 | 925.000 | 918.961 | 920.309 | 0 | | 0 | 273 | 0 | 920.582 | 0 | 47.034 | 47.034 | 16.304 | XXX | XXX |
| | GA St GO Non Call 5.000% 07/01/21 | 0 | 6/11/2013 . | CitiGroup | | 614,315 | 500,000 | 588,205 | 574,538 | 0 | | 0 | | | 571.020 | 0 | 43,295 | 43,295 | 23.819 | 07/01/2021 | 1FE |
| | Subtotal - Bonds - U.S. States. Territor | | | | | 614.315 | 500,000 | 588,205 | 574.538 | 0 | (3.517) | 0 | (3,517) | | 571.020 | 0 | 43,295 | 43,295 | 23.819 | XXX | XXX |
| | Houston TX Ind Sch GO Non Call Put 1.500% | | | | | , | , | , | 2,230 | | (2,2.7) | | (2,211) | | 2,220 | | , | , | ,-10 | | T |
| 442403-GK-1 | 06/01/28 | | 6/01/2013 _ | Call 100.0000 | | 110,000 | 110,000 | 111, 151 | 111, 113 | 0 | (1, 113) | 0 | (1, 113) | 0 | 110,000 | 0 | 0 | 0 | 825 | 06/01/2028 | . 1FE |
| 2499999. 8 | Subtotal - Bonds - U.S. Political Subdi | visions o | of States. | Territories and Poss | sessions | 110,000 | 110,000 | 111, 151 | 111, 113 | 0 | (1,113) | 0 | (1,113) | 0 | 110,000 | 0 | 0 | 0 | 825 | XXX | XXX |
| 3128MJ-SK-7 | FHLMC Pool G08521 MBS 3.000% 01/01/43 | | 04/01/2013 | Paydown | | 152 | 152 | 156 | 0 | 0 | (4) | 0 | (4) | 0 | 152 | 0 | 0 | 0 | 1 | 01/01/2043 | . 1 |
| 3128MJ-SK-7 | FHLMC Pool G08521 MBS 3.000% 01/01/43 | | 5/01/2013 . | Paydown | - | 184 | 184 | 189 | 0 | 0 | (5) | 0 | (5) | | 184 | 0 | 0 | 0 | 1 | 01/01/2043 | . 1 |
| 3128MJ-SK-7 | FHLMC Pool G08521 MBS 3.000% 01/01/43 | | 06/01/2013 . | Paydown | - | 255 | 255 | 262 | 0 | 0 | (7) | 0 | (7) | | 255 | 0 | 0 | | 3 | 01/01/2043 | . 1 |
| | FHLMC Pool J12429 MBS 4.000% 06/01/25 | | 04/01/2013 . | Paydown | | 3,853 | 3,853 | 4,072 | 4,064 | 0 | (210) | | (210) | | 3,853 | 0 | 0 | 0 | 51 | 06/01/2025 | - 1 |
| 3128PR-VW-6 3128PR-VW-6 | FHLMC Pool J12429 MBS | |)5/01/2013 ₋)6/01/2013 ₋ | Paydown | | 3,035 8,491 | 3,035 8,491 | 3,207 8,973 | 3,201 8,954 | | (166) | 0 | (166) | | 3,035 8,491 | 0 | 0 | | 51 170 | 06/01/2025 06/01/2025 | |
| 31292S-AN-0 | FHLMC Pool C09013 MBS 3.000% 09/01/25 | | 04/01/2013 ₋ . | PaydownPaydown | | 1,726 | 1,726 | 1,784 | 1,783 | ۷ | (404) | | (464) | | 1,726 | | | | 17 | 09/01/2023 | 1 |
| 31292S-AN-0 | FHLMC Pool C09013 MBS 3.000% 09/01/42 | | 5/01/2013 | Pavdown | | 1,497 | 1,497 | 1.547 | 1.546 | 0 | (50) | 0 | (50) | | 1.497 | 0 | 0 | 0 | 19 | 09/01/2042 | 1 |
| 31292S-AN-0 | FHLMC Pool C09013 MBS 3.000% 09/01/42 | | 6/01/2013 | Paydown | | 2,758 | 2,758 | 2,850 | 2,849 | 0 | (91) | 0 | (91) | 0 | 2,758 | 0 | 0 | 0 | 41 | 09/01/2042 | . 1 |
| 31292S-AX-8 | FHLMC Pool C09022 MBS 3.000% 01/01/43 | | 4/01/2013 . | Paydown | | 353 | 353 | 363 | 0 | ٥ | (10) | 0 | (10) | 0 | 353 | 0 | 0 | 0 | 2 | 01/01/2043 | . 1 |
| 31292S-AX-8 | FHLMC Pool C09022 MBS 3.000% 01/01/43 | | 5/01/2013 . | Paydown | | 421 | 421 | 433 | 0 | 0 | (12) | 0 | (12) | | 421 | 0 | 0 | 0 | 3 | 01/01/2043 | . 1 |
| 31292S-AX-8 | FHLMC Pool C09022 MBS 3.000% 01/01/43 | | 6/01/2013 . | Paydown | | 468 | 468 | 481 | 0 | 0 | (13) | 0 | (13) | | 468 | 0 | 0 | 0 | 5 | 01/01/2043 | . 1 |
| 3132HQ-C4-2 3132HQ-C4-2 | FHLMC Pool Q13691 MBS 3.000% 12/01/42 FHLMC Pool Q13691 MBS 3.000% 12/01/42 | | 04/01/2013 ₋ . 05/01/2013 ₋ . | Paydown | | 98 96 | 98 96 | 101 99 | | 0 | (3) | 0 | (3) | | 98 96 | | 0 | | | 12/01/2042 12/01/2042 | |
| 3132HQ-C4-2 | FHLMC Pool Q13691 MBS 3.000% 12/01/42 | | 06/01/2013 ₋ . | Paydown | | 508 | 508 | 523 | ע ח | ۷ | (15) | | (15) | | 508 | 0 | | | | 12/01/2042 | 1 |
| 3132J6-HR-8 | FHLMC Pool Q15239 MBS 3.000% 02/01/43 | | 04/01/2013 | Paydown | - | 424 | 424 | 436 | 0 | 0 | (12) | | (12) | | 424 | 0 | 0 | 0 | 2 | 02/01/2043 | 1 |
| 3132J6-HR-8 | FHLMC Pool Q15239 MBS 3.000% 02/01/43 | | 5/01/2013 | Paydown | | 186 | 186 | 191 | | 0 | (5) | | (5) | | 186 | 0 | 0 | 0 | | 02/01/2043 | . 1 |
| 3132J6-HR-8 | FHLMC Pool Q15239 MBS 3.000% 02/01/43 | | 6/01/2013 | Paydown | | 424 | 424 | 437 | 0 | 0 | (12) | 0 | (12) | 0 | 424 | 0 | 0 | 0 | 4 | 02/01/2043 | . 1 |
| 313382-LR-2 | FHLB Note Call 0.250% 04/15/14 | | 5/15/2013 | Call 100.0000 | | 750,000 | 750,000 | 750,000 | 0 | Ω | 0 | 0 | 0 | 0 | 750,000 | 0 | 0 | 0 | 156 | 04/15/2014 | . 1FE |
| 31376J-VP-1 | FNMA Pool 357122 MBS 6.000% 05/01/16 | | 04/01/2013 . | Paydown | | 296 | 296 | 301 | 298 | 0 | (2) | 0 | (2) | 0 | 296 | 0 | 0 | 0 | 6 | 05/01/2016 | . 1 |
| 31376J-VP-1 31376J-VP-1 | FNMA Pool 357122 MBS 6.000% 05/01/16 FNMA Pool 357122 MBS 6.000% 05/01/16 | | 05/01/2013 ₋ 06/01/2013 | Paydown Paydown | | 273 277 | 273 277 | 278 281 | 275 278 | | (2) | 0 | (2) | 0 | 273 277 | 0 | 0 | | | 05/01/2016 05/01/2016 | |
| 3138A1-J9-3 | FNMA Pool AH0287 MBS 3.500% 12/01/25 | | 04/01/2013 | Paydown | | 77 | 77 | 80 | 79 | ٥ | (2) | | (2) | 0 | 77 | 0 | 0 | | و | 12/01/2010 | 1 |
| 3138A1-J9-3 | FNMA Pool AH0287 MBS 3.500% 12/01/25 | | 5/01/2013 | Pavdown | | 77 | 77 | 80 | 80 | 0 | (2) | 0 | (2) | | 77 | 0 | 0 | 0 | 1 | 12/01/2025 | 1 |
| 3138A1-J9-3 | FNMA Pool AH0287 MBS 3.500% 12/01/25 | 0 | 6/01/2013 _ | Paydown | | 78 | 78 | 80 | 80 | 0 | (2) | 0 | (2) | 0 | 78 | 0 | 0 | 0 | 1 | 12/01/2025 | . 1 |
| 3138A4-C7-8 | FNMA Pool AH2793 MBS 3.500% 01/01/26 | | 04/01/2013 | Paydown | - | 35,263 | 35,263 | 36,350 | 36,290 | 0 | (1,027) | 0 | (1,027) | 0 | 35,263 | 0 | 0 | 0 | 411 | 01/01/2026 | . 1 |
| 3138A4-C7-8 | FNMA Pool AH2793 MBS 3.500% 01/01/26 | | 5/01/2013 . | Paydown | - | 9,371 | 9,371 | 9,659 | 9,643 | ō | (273) | 0 | (273) | 0 | 9,371 | 0 | 0 | <u>0</u> | 137 | 01/01/2026 | . 1 |
| 3138A4-C7-8 3138A7-GY-8 | FNMA Pool AH2793 MBS 3.500% 01/01/26 FNMA Pool AH5614 MBS 3.500% 02/01/26 | | 06/01/2013 . 04/01/2013 . | Paydown | - | 32,608 | 32,608 6,722 | 33,613 | | | (950) | 0 | (950) | 0 | 32,608 | ļ | 0 | 0 | 571 78 | 01/01/2026 02/01/2026 | |
| 3138A7-G1-8 | FNMA Pool AH5614 MBS 3.500% 02/01/26 | | 05/01/2013 05/01/2013 | Paydown | - | 6, 123 | 6,123 | 6,710 | 6,709 | n | 12 | 0 n | 13 | n | 6,123 | u | 0 n | | | 02/01/2026 | 1 |
| 3138A7-GY-8 | FNMA Pool AH5614 MBS 3.500% 02/01/26 | | 06/01/2013 . | Paydown | | 6.325 | 6.325 | 6,313 | 6.313 | | 12 | 0 | 12 | 0 | 6.325 | n | 0 | 0 | 111 | 02/01/2026 | 1 |
| 3138AB-L3-1 | FNMA Pool AH9345 MBS 3.500% 04/01/26 | | 04/01/2013 . | Paydown | | 13,297 | 13,297 | 13,492 | 13,483 | 0 | (186) | 0 | (186) | 0 | 13,297 | 0 | 0 | 0 | 155 | 04/01/2026 | . 1 |
| 3138AB-L3-1 | FNMA Pool AH9345 MBS 3.500% 04/01/26 | | 5/01/2013 . | Paydown | | 9,081 | 9,081 | 9,215 | 9,209 | 0 | (127) | 0 | (127) | 0 | 9,081 | 0 | 0 | 0 | 132 | 04/01/2026 | . 1 |
| 3138AB-L3-1 | FNMA Pool AH9345 MBS 3.500% 04/01/26 | | 6/01/2013 _ | Paydown | | 5,241 | 5,241 | 5,318 | 5,314 | 0 | (73) | 0 | (73) | | 5,241 | 0 | 0 | 0 | 92 | 04/01/2026 | . 1 |
| 3138AF-G5-3 | FNMA Pool A12019 MBS 3.500% 04/01/26 | | 04/01/2013 | Paydown | - | 22,534 | 22,534 | 22,865 | 22,850 | ō | (316) | | (316) | | 22,534 | ļō | ŏ | ō | 263 | 04/01/2026 | |
| 3138AF-G5-3 3138AF-G5-3 | FNMA Pool Al2019 MBS 3.500% 04/01/26 FNMA Pool Al2019 MBS 3.500% 04/01/26 | | 05/01/2013 . 06/01/2013 . | Paydown | - | 8,088 15,254 | 8,088 15,254 | | | | (113) | | (113) | | | 0 | 0 | 0 | 118 | 04/01/2026 04/01/2026 | · L |
| 3138AF-G5-3 | FNMA Pool A12019 MBS 3.500% 04/01/26 | | 04/01/2013 | Paydown | - | 28,451 | | | 28,850 | n | (399) | 0 n | (399) | | | u | 0 n | o | 332 | 05/01/2026 | 1 |
| 3138AF-KQ-2 | FNMA Pool Al2102 MBS 3.500% 05/01/26 | | 5/01/2013 | Paydown | | 12,945 | 12,945 | 13, 135 | 13, 126 | 0 | (182) | 0 | (182) | | 12,945 | 0 | 0 | 0 | 189 | 05/01/2026 | 1 |
| 3138AF-KQ-2 | FNMA Pool Al2102 MBS 3.500% 05/01/26 | | 6/01/2013 . | Paydown | | 3,292 | 3,292 | 3,340 | 3,338 | 0 | (46) | 0 | (46) | | 3,292 | 0 | 0 | | 58 | 05/01/2026 | . 1 |
| 3138AN-4F-7 | FNMA Pool Al8921 MBS 4.000% 08/01/26 | 0 | 4/01/2013 . | Paydown | | 1,577 | 1,577 | 1,672 | 1,668 | 0 | (91) | 0 | (91) | 0 | 1,577 | 0 | 0 | 0 | 21 | 08/01/2026 | . 1 |
| 3138AN-4F-7 | FNMA Pool Al8921 MBS 4.000% 08/01/26 | | 5/01/2013 | Paydown | - | 6,985 | 6,985 | 7,404 | 7,389 | 0 | (404) | 0 | (404) | 0 | 6,985 | 0 | 0 | 0 | 116 | 08/01/2026 | . 1 |
| 3138AN-4F-7 | FNMA Pool A18921 MBS 4.000% 08/01/26 | | 06/01/2013 _ | Paydown | - | 2,116 | 2,116 | 2,243 | 2,239 | 0 | (122) | 0 | (122) | 0 | 2,116 | 0 | 0 | 0 | 42 | 08/01/2026 | - 1 |
| 3138AQ-B6-2 3138AQ-B6-2 | FNMA Pool Al9960 MBS | | 04/01/2013 . 05/01/2013 . | Paydown | - | 2,714 541 | 2,714 541 | 2,880 574 | 2,874 573 | Q | (159) | 0 | (159) | ļ0 | 2,714 541 | ļ0 | ļ | | 36 | 10/01/2026 | |
| | FNMA Pool A19960 MBS | | 06/01/2013 06/01/2013 | PaydownPaydown | - | 541 | | 8.339 | | n | (32) | 0 | (32) | | 541 | u | 0 n | | 157 | 10/01/2026 10/01/2026 | 1 |
| | FNMA Pool AR9075 MRS 3 500% 03/01/23 | | 10/01/2013 _ 14/01/2013 | Paydown | | 176 | | | ۰,۵۷۱ م | | (401) | | (401) | 0 | 176 | | | | اداا | 10/01/2020 | 1 |

SCHEDULE D - PART 4

| | | | | | Show All Lo | ng-Term Bo | onds and Stoc | ck Sold, Red | deemed or C | | | | ne Current Quarter | | | | | | | |
|----------------------------|---|------|------------------------------|------------------|-------------|-------------|---------------|--------------|-------------|------------|-------------------|-------------------------|----------------------------------|------------------------|---------------------|----------------|------------|------------|--------------------------|--------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Cl | nange In Boo | ok/Adjusted | Carrying Value | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| | | | | | | | | | | 11 | 12 | 13 | 14 15 | | | | | | | |
| | | | | | | | | | | | | | Total Total | | | | | | | NAIC |
| | | | | | | | | | | | | Current | Change in Foreigr | | | | | Bond | | Desig- |
| | | | | | | | | | Prior Year | | Current | Year's | Book/ Exchang | | Eoroian | | | Interest/ | Stated | nation or |
| | | | | | | | | | Book/ | Unrealized | Current Year's | Other Than | Adjusted Change Carrying Book | n Adjusted Carrying | Foreign Exchange | Realized | | Stock | Stated Con- | Market |
| CUSIP | | | | | Number of | | | | Adjusted | Valuation | (Amor- | Temporary Impairment | Value /Adjuste | , , , | Gain | Gain | Total Gain | Dividends | tractual | In- |
| Ident- | | For- | Disposal | Name | Shares of | Consid- | | Actual | Carrying | Increase/ | tization)/ | Recog- | (11 + 12 - Carrying | | (Loss) on | | (Loss) on | Received | Maturity | dicator |
| ification | Description | eign | | of Purchaser | Stock | eration | Par Value | Cost | Value | (Decrease) | Accretion | nized | 13) Value | Date | Disposal | Disposal | Disposal | DuringYear | Date | (a) |
| 3138W7-CM-4 | FNMA Pool AR9075 MBS 3.500% 03/01/43 | | 05/01/2013 . | Paydown | | 172 | 172 | 183 | Ω | 0 | (11) | 0 | (11) | 0172 | | 0 | 0 | 1 | 03/01/2043 | 1 |
| 3138W7-CM-4 | FNMA Pool AR9075 MBS 3.500% 03/01/43 | | 06/01/2013 . | Paydown | - | 172 | 172 | 182 | 0 | 0 | (10) | 0 | (10) | 0172 | 0 | 0 | 0 | 2 | 03/01/2043 | 1 |
| 31411H-MZ-0 31411H-MZ-0 | FNMA Pool 908576 MBS 6.000% 01/01/22 FNMA Pool 908576 MBS 6.000% 01/01/22 | | 04/01/2013 _ 05/01/2013 _ | Paydown Paydown | | 46 5,912 | 46 5,912 | 46 6,009 | 46 5,993 | | (1) (80) | 0 | (1) | 046 05,912 | 0 | 0 | | 1 | 01/01/2022 01/01/2022 | 1 |
| 31419F-2L-9 | FNMA Pool AE5278 MBS 3.500% 08/01/25 | | 04/01/2013 | Paydown | - | 147 | 147 | 151 | 151 | 0 | (4) | | (4) | 0147 | | 0 | 0 | 2 | 08/01/2025 | 1 |
| 31419F-2L-9 | FNMA Pool AE5278 MBS 3.500% 08/01/25 | | 05/01/2013 . | Paydown | | 147 | 147 | 152 | 152 | 0 | (4) | 0 | (4) | 0147 | 0 | 0 | 0 | 2 | 08/01/2025 | 1 |
| 31419F-2L-9 | FNMA Pool AE5278 MBS 3.500% 08/01/25 MO St Hsg Dev Comm Sgl Rev Bond Call Sink | | 06/01/2013 . | Paydown | | 148 | 148 | 152 | 152 | 0 | (4) | 0 | (4) | 0148 | 0 | 0 | 0 | 3 | 08/01/2025 | 1 |
| 60636X-E2-5 | 5.050% 03/01/38 | | 05/01/2013 | Call 100.0000 | | 10.000 | 10.000 | 10.438 | 10.309 | 0 | (309) | 0 | (309) | 010.000 | 0 | 0 | 0 | 337 | _03/01/2038 | 1FF |
| | Sedgwick & Shawnee Cntys KS Rev Bond Cont | | | | | , | , | , , | , | | , | | , | , | | | | | | 2 |
| 815698-BF-1 | Call Sink 5.875% 06/01/39 | | 04/01/2013 . | . Call 100.0000 | | 25,000 | 25,000 | 26,545 | 26,126 | 0 | (1, 126) | 0 | (1,126) | 025,000 | 0 | 0 | 0 | 490 | 06/01/2039 | 1FE |
| 815698-BF-1 | Sedgwick & Shawnee Cntys KS Rev Bond Cont Call Sink 5.875% 06/01/39 | | 06/03/2013 . | Call 100,0000 | | 10.000 | 10.000 | 10,618 | 10.450 | n | (450) | n | (450) | 0 10.000 | n | 0 | n | 297 | 06/01/2039 | 1FE |
| | Subtotal - Bonds - U.S. Special Reven | ues | | 100.0000 | | 1,064,315 | 1,064,315 | 1,073,659 | 318,398 | 0 | | 0 | | 0 1,064,320 | 0 | 0 | 0 | 5,225 | XXX | XXX |
| | ABBVIE Inc Corp Note MW 25BP Prv Plc 2.900% | | | | | | | | | | , , , , , , | | , , , | | | | | | | |
| 00287Y-AC-3 | | | 04/05/2013 . | Merrill Lynch | | 15,225 | 15,000 | 14,903 | 14,905 | 0 | 2 | 0 | 2 | 014,907 | 0 | 318 | 318 | 184 | 11/06/2022 | 2FE |
| 00287Y-AC-3 | ABBVIE Inc Corp Note MW 25BP Prv Plc 2.900% 11/06/22 | | 04/05/2013 | Bank of New York | | 50.749 | 50.000 | 49.678 | 49.682 | 0 | g g | 0 | 8 | 049.690 | 0 | 1.059 | 1.059 | 612 | 11/06/2022 | 2FF |
| | Ally Auto Rec Tr ALLYA 2012-5 A2 ABS 0.450% | | | Dank of New York | | | | | | | | | | 0 | | 1,000 | 1,000 | 210 | 11/00/2022 | 21 |
| 02005B-AB-2 | 07/15/15 | | 06/15/2013 . | Paydown | | 1,401 | 1,401 | 1,401 | 1,401 | 0 | 0 | 0 | 0 | 01,401 | 0 | 0 | 0 | 3 | 07/15/2015 | 1FE |
| 02005E-AB-6 | Ally Auto Rec Tr ALLYA 2012-3 A2 ABS 0.700% 01/15/15 | | 04/15/2013 _ | Pavdown | | 10,795 | 10.795 | 10,795 | 10.795 | | | 0 | | 010.795 | 0 | | , | 25 | 01/15/2015 | 1FE |
| D2003E-AB-0 | Ally Auto Rec Tr ALLYA 2012-3 A2 ABS 0.700% | | | . rayuowii | | 10,793 | | | | | | | | 0 | | | | 20 | 10/2015 | IFE |
| 02005E-AB-6 | 01/15/15 | | 05/15/2013 . | Paydown | | 11,089 | 11,089 | 11,089 | 11,089 | 0 | 0 | 0 | 0 | 011,089 | 0 | 0 | 0 | 32 | 01/15/2015 | 1FE |
| 02005E-AB-6 | Ally Auto Rec Tr ALLYA 2012-3 A2 ABS 0.700% 01/15/15 | | 06/15/2013 _ | Paydown | | 10,374 | 10,374 | 10,373 | 10,374 | | | 0 | | 010,374 | 0 | | , | 36 | _01/15/2015 | 100 |
| 02003L-AD-0 | Americredit Auto Rec Tr AMCAR 2012-3 A2 ABS | | 90/ 13/2013 _ | _ rayuuwii | | 10,374 | 10,374 | | | | | | | 0 | | | | | 10/2013 | II L |
| 03061U-AB-9 | 0.710% 12/08/15 | | 04/08/2013 . | Paydown | | 3,978 | 3,978 | 3,978 | 3,978 | 0 | 0 | 0 | 0 | 03,978 | 0 | 0 | 0 | 9 | 12/08/2015 | 1FE |
| 03061U-AB-9 | Americredit Auto Rec Tr AMCAR 2012-3 A2 ABS 0.710% 12/08/15 | | 05/08/2013 _ | Paudawa | | 3,775 | 3,775 | 3,775 | 3,775 | | | 0 | | 03,775 | 0 | | , | 11 | 12/08/2015 | 100 |
| U300 IU-AB-9 | Americredit Auto Rec Tr AMCAR 2012-3 A2 ABS | | 93/06/2013 _ | Paydown | | | | | | | 0 | 0 | ļ | 0 | | | | '' | 12/06/2013 | IFE |
| 03061U-AB-9 | 0.710% 12/08/15 | | 06/08/2013 . | Paydown | | 3,987 | 3,987 | 3,986 | 3,986 | 0 | 0 | 0 | 0 | 03,987 | 0 | 0 | 0 | 14 | 12/08/2015 | 1FE |
| 12591A-AB-3 | CNH Equip Tr CNH 2012-B A2 ABS | | 04/15/2013 _ | Davidania | | 22,520 | 22,520 | 22,519 | 22,519 | | | | | 022,520 | | | | 49 | 10/15/2015 | 1FE |
| 1209 IA-AD-0 | CNH Equip Tr CNH 2012-B A2 ABS 0.650% | | 04/ 13/2013 . | Paydown | | 22,320 | 22,320 | 22,319 | 22,319 | | ' | 0 | · | 022,320 | | | | 49 | 10/ 13/ 20 13 | IFE |
| 12591A-AB-3 | 10/15/15 | | 05/15/2013 . | Paydown | | 18,910 | 18,910 | 18,908 | 18,909 | 0 | 1 | 0 | 1 | 018,910 | 0 | 0 | 0 | 51 | 10/15/2015 | 1FE |
| 12591A-AB-3 | CNH Equip Tr CNH 2012-B A2 ABS | | 06/15/2013 _ | Paydawa | | 11,026 | 11,026 | 11,025 | 11,025 | _ | | _ | | 011,026 | | _ | _ | 36 | 10/15/2015 | 100 |
| 1209 IA-AB-3 | CVS Corp Note Sink Prv Plc 5.298% 01/11/27 | | 00/10/2013 _ | Paydown | | 11,020 | 11,020 | 11,025 | 11,025 | | | 0 | · | 011,020 | | | | 30 | 10/ 15/ 20 15 | IFE |
| 126650-AW-0 | | | 04/10/2013 . | . Call 100.0000 | | 207 | 207 | 225 | 225 | 0 | (18) | 0 | (18) | 0207 | 0 | 0 | 0 | 4 | 01/11/2027 | 2FE |
| 126650-AW-0 | CVS Corp Note Sink Prv Plc 5.298% 01/11/27 | | 05 /10 /0010 | 0-11 400 0000 | | 208 | 208 | 226 | 226 | | (40) | | (18) | 0208 | | | | - | 04/44/0007 | 2FF |
| 12003U-AW-U | CVS Corp Note Sink Prv Plc 5.298% 01/11/27 | | 05/10/2013 _ | _ Call 100.0000 | | 208 | 208 | 220 | 220 | | (18) | 0 | (18) | 0208 | | | | | 01/11/2027 | 2FE |
| 126650-AW-0 | | | 06/10/2013 . | . Call 100.0000 | | 209 | 209 | 227 | 227 | 0 | (18) | 0 | (18) | 0209 | 0 | 0 | 0 | 6 | 01/11/2027 | 2FE |
| 13974Y-AB-6 | Capital Auto Rec CARAT 2008-2 B ABS Prv Plc 6.460% 12/15/14 | | 04/15/0010 | Paydawn | | 7,440 | 7.440 | 7,759 | 7,486 | _ | //01 | _ | (46) | 0 | | _ | _ | 160 | 10/15/0014 | 1FF |
| 139/41-AB-6 | Capital Auto Rec CARAT 2008-2 B ABS Prv Plc | | 04/15/2013 _ | Paydown | | | | | /,486 | l | (46) | 0 | (40) | v,, ,440 | 0 | l | 0 | 160 | 12/15/2014 | IFC |
| 13974Y-AB-6 | 6.460% 12/15/14 | | 05/15/2013 . | Paydown | _ | 2,411 | 2,411 | 2,514 | 2,426 | 0 | (15) | 0 | (15) | 02,411 | 0 | 0 | 0 | 65 | 12/15/2014 | 1FE |
| 14010 AP 7 | Carmax Auto Own Tr CARMX 2012-2 A2 ABS | | 04/45/0040 | Davidania | | 0.050 | 0.050 | 0.054 | 6 050 | _ | • | _ | | 0 000 | _ | | _ | 14 | 05/45/0045 | 1FF |
| 14313J-AB-7 | 0.640% 05/15/15 | | 04/15/2013 _ | Paydown | | 6,652 | 6,652 | 6,651 | 6,652 | 0 | 0 | 0 | u | 06,652 | 0 | ļ ⁰ | 0 | 14 | 05/15/2015 | IFE |
| 14313J-AB-7 | 0.640% 05/15/15 | | 05/15/2013 . | Paydown | | 6,315 | 6,315 | 6,315 | 6,315 | ٥ | 0 | ٥ | 0 | 0 | 0 | 0 | 0 | 17 | 05/15/2015 | 1FE |
| 440401.45.7 | Carmax Auto Own Tr CARMX 2012-2 A2 ABS | | 00 (45 (00 10 | D 4 | | 0.400 | 0.400 | 0.400 | 0 100 | | _ | | | | | | | | 05 (45 (0045 | 455 |
| 14313J-AB-7 | 0.640% 05/15/15 | | 06/15/2013 . | Paydown | | 6, 192 | 6, 192 | 6, 192 | 6, 192 | l0 | 0 | 0 | u | 06, 192 | 0 | l0 | 0 | 20 | 05/15/2015 | IFE |
| 23306A-AA-8 | 09/25/45 | l | 04/01/2013 | Pavdown | _ [| 756 | 756 | 756 | 756 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 09/25/2045 | 1FE |

SCHEDULE D - PART 4

| | | | | | Show All Lo | ng-Term Bo | onds and Stoc | ck Sold, Red | | | | | he Current Quarter | | | | | | | |
|--------------|--|------|---------------|--------------|-------------|------------|-----------------------------------|--------------|------------------------------------|------------|------------|------------|-------------------------------|----------|-----------|-----------|------------|------------|---------------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | | | | Carrying Value | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| | | | | | | | | | | 11 | 12 | 13 | 14 15 | | | | | | | NAIC |
| | | | | | | | | | | | | Current | Total Total Change in Foreign | | | | | | | Desig- |
| | | | | | | | | | | | | Year's | Book/ Exchange | Book/ | | | | Bond | | nation |
| | | | | | | | | | Prior Year | | Current | Other Than | Adjusted Change in | Adjusted | Foreign | | | Interest/ | Stated | or |
| | | | | | | | | | Book/ | Unrealized | Year's | Temporary | Carrying Book | Carrying | Exchange | Realized | | Stock | Con- | Market |
| CUSIP | | _ | | | Number of | | | | Adjusted | Valuation | (Amor- | Impairment | Value /Adjusted | Value at | Gain | Gain | Total Gain | Dividends | tractual | ln- |
| Ident- | 5 | For- | Disposal | Name | Shares of | Consid- | 5 | Actual | Carrying | Increase/ | tization)/ | Recog- | (11 + 12 - Carrying | Disposal | (Loss) on | (Loss) on | (Loss) on | Received | Maturity | dicator |
| ification | Description DBRR Tr DBRR 2012-EZ1 A CMBS Prv PIc 0.946% | eign | Date | of Purchaser | Stock | eration | Par Value | Cost | Value | (Decrease) | Accretion | nized | 13) Value | Date | Disposal | Disposal | Disposal | DuringYear | Date | (a) |
| 23306A-AA-8 | 09/25/45 | | 05/01/2013 | Paydown | | 340 | 340 | 339 | 339 | 0 | 0 | 0 | 0 0 | 340 | 0 | 0 | 0 | 1 | _09/25/2045 | 1FE |
| | DBRR Tr DBRR 2012-EZ1 A CMBS Prv Plc 0.946% | | | | | | | | | | | | | | | | | | | |
| 23306A-AA-8 | 09/25/45 Enterprise Fleet EFF 2011-2 A2 ABS Pry Plc | | 06/01/2013 _ | Paydown | | 1,250 | 1,250 | 1,249 | 1,249 | 0 | 0 | 0 | 00 | 1,250 | 0 | 0 | 0 | 6 | _09/25/2045 _ | 1FE |
| 29372E-AB-4 | 1.430% 10/20/16 | | 04/20/2013 | Paydown | | 9,256 | 9,256 | 9,291 | 9,279 | 0 | (22) | 0 | (22)0 | 9,256 | 0 | 0 | 0 | 44 | 10/20/2016 | 1FE |
| | Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc | | | ., | | | | | | | | | | | | | | | | |
| 29372E-AB-4 | 1.430% 10/20/16 Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc | | 05/20/2013 _ | Paydown | | 8,850 | 8,850 | 8,883 | 8,871 | 0 | (21) | 0 | 0 | | 0 | 0 | 0 | 53 | _10/20/2016 _ | 1FE |
| 29372E-AB-4 | 1.430% 10/20/16 | | 06/20/2013 | Paydown | | 8,597 | 8,597 | | 8,618 | 0 | (21) | 0 | (21)0 | 8,597 | 0 | 0 | 0 | 61 | . 10/20/2016 | 1FE |
| | First Inv Auto Tr FIAOT 2012-2A A2 ABS Prv | | | , | | | | | · | | ,, | | | | | | | | | |
| 32057W-AC-8 | Plc 1.470% 05/15/18 First Inv Auto Tr FIAOT 2012-2A A2 ABS Prv | | 04/15/2013 | Paydown | | 2,986 | 2,986 | 2,985 | 2,985 | 0 | 0 | J0 | 0 | 2,986 | 0 | 0 | 0 | 15 | _05/15/2018 _ | 1FE |
| 32057W-AC-8 | Pic 1.470% 05/15/18 | | 05/15/2013 | Paydown | | 3,479 | 3,479 | 3,479 | 3,479 | 0 | 0 | 0 | 0 | 3,479 | 0 | 0 | 0 | 21 | _05/15/2018 _ | 1FE |
| | First Inv Auto Tr FIAOT 2012-2A A2 ABS Prv | | | | | | | | | _ | | | | | | _ | _ | | | |
| 32057W-AC-8 | Plc 1.470% 05/15/18 GE Equip GEEST 2012-1A A2 ABS Prv Plc | | 06/15/2013 | Paydown | | 3,436 | 3,436 | 3,436 | 3,436 | 0 | 0 | 0 | 0 | 3,436 | 0 | 0 | 0 | 25 | _05/15/2018 _ | 1FE |
| 36162R-AB-4 | | | 04/21/2013 | Paydown | | 1,531 | 1,531 | 1,531 | 1,531 | 0 | 0 | 0 | 0 | 1,531 | 0 | 0 | 0 | 4 | _11/21/2014 _ | 1FE |
| | GE Equip GEEST 2012-1A A2 ABS Prv Plc | | | L'. | | | | | | | | | | | | _ | _ | | | |
| 36162R-AB-4 | 0.850% 11/21/14 GE Equip GEEST 2012-1A A2 ABS Prv Plc | | 05/21/2013 | Paydown | | 11, 134 | 11,134 | 11, 133 | 11, 133 | 0 | 0 | 0 | 0 | 11 , 134 | 0 | 0 | 0 | 39 | _11/21/2014 _ | 1FE |
| 36162R-AB-4 | 0.850% 11/21/14 | | 06/21/2013 | Paydown | | 8,540 | 8,540 | 8,539 | 8,540 | 0 | 0 | 0 | 0 | 8,540 | 0 | 0 | 0 | 36 | _11/21/2014 _ | 1FE |
| 4400011 45 0 | Hyundai Auto Rec Tr HART 2012-B A2 ABS | | 04/45/0040 | | | 0.050 | 0.050 | 0.050 | 0.050 | | | | | 0.050 | | | | | 04 (45 (0045 | 455 |
| 44890H-AB-9 | 0.540% 01/15/15 Hyundai Auto Rec Tr HART 2012-B A2 ABS | | 04/15/2013 | Paydown | | 9,852 | 9,852 | 9,852 | 9,852 | 0 | 0 | 0 | 0 | 9,852 | 0 | 0 | 0 | 18 | _01/15/2015 | 1FE |
| 44890H-AB-9 | 0.540% 01/15/15 | | 05/15/2013 | Paydown | | 9,370 | 9,370 | 9,370 | 9,370 | 0 | 0 | 0 | 0 | 9,370 | 0 | 0 | 0 | 21 | .01/15/2015 | 1FE |
| 44890H-AB-9 | Hyundai Auto Rec Tr HART 2012-B A2 ABS 0.540% 01/15/15 | | 06/15/2013 | Pavdown | | 9.584 | 9.584 | 9,583 | 9,583 | , | 0 | | | 9.584 | | | ٥ | 00 | 04/45/0045 | 1FF |
| 44690H-AB-9 | JP Morgan Chase Corp Note Non Call 3.450% | | 00/ 13/2013 | Paydown | | 9,584 | 9, 384 | 9,583 | 9,583 | | | 0 | | 9,384 | | 0 | 0 | 26 | _01/15/2015 | . IFE |
| 46625H-HX-1 | 03/01/16 | | 04/25/2013 | CitiGroup | | 69,323 | 65,000 | 64,866 | 64,913 | 0 | 9 | 0 | 90 | 64,922 | 0 | 4,399 | 4,399 | 1,489 | .03/01/2016 | 1FE |
| 78459T-AA-6 | SNAAC Auto Tr SNAAC 2012-1A A ABS Prv Plc 1.780% 06/15/16 | | 04/15/2013 | Pavdown | | 1, 177 | 1, 177 | 1, 177 | 1, 177 | 0 | 0 | 0 | | 1, 177 | 0 | | ٥ | 7 | .06/15/2016 | 100 |
| | SNAAC Auto Tr SNAAC 2012-1A A ABS Prv Plc | | 94/ 13/ 2013 | . rayuowii | | | , , , , , , , , , , , , , , , , , | , 1, 1/1 | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | 0 | | | , 1, 1// | 0 | 0 | | | _00/ 13/2010 | . " |
| 78459T-AA-6 | 1.780% 06/15/16 | | 05/15/2013 | Paydown | | 1, 121 | 1, 121 | 1, 121 | 1, 121 | 0 | 0 | 0 | 0 | 1, 121 | 0 | 0 | 0 | 8 | .06/15/2016 | 1FE |
| 78459T-AA-6 | SNAAC Auto Tr SNAAC 2012-1A A ABS Prv Plc 1.780% 06/15/16 | | 06/15/2013 | Paydown | | 1,272 | 1,272 | 1,272 | 1,272 | n | n | n | 0 0 | 1,272 | n | n | n | 11 | _06/15/2016 | 1FE |
| UTUT TUTO | Santander Cons Acq SCART 2011-S1A C ABS Prv | | | ayuumi | | | | | 1,414 | | | | | | 0 | | 0 | | | |
| 80282M-AC-6 | Pic 2.010% 08/15/16 | | 04/15/2013 | Paydown | | 3,030 | 3,030 | 3,055 | 0 | 0 | (26) | 0 | (26)0 | 3,030 | 0 | 0 | 0 | 10 | .08/15/2016 | 1FE |
| 80282M-AC-6 | Santander Cons Acq SCART 2011-S1A C ABS Prv Plc 2.010% 08/15/16 | | 06/15/2013 | Paydown | | 4, 174 | 4. 174 | 4,209 | 0 | n | (36) | n | (36)0 | 4, 174 | n | n | n | 28 | .08/15/2016 | 1FE |
| | Santander Drive Auto SDART 2012-4 A2 ABS | | | | | , | , | | | | (00) | | | , | | | | | | |
| 80282X-AB-4 | 0.790% 08/17/15 | | 04/15/2013 | Paydown | | 6,012 | 6,012 | 6,012 | 6,012 | ٥ | 0 | 0 | 0 | 6,012 | 0 | 0 | 0 | 16 | .08/17/2015 | 1FE |
| 80282X-AB-4 | Santander Drive Auto SDART 2012-4 A2 ABS 0.790% 08/17/15 | l | 05/15/2013 | Paydown | | 5,771 | 5,771 | 5,770 | 5,771 | | n | 0 | o n | 5,771 | 0 | n | | 19 | .08/17/2015 | 1FE |
| | Santander Drive Auto SDART 2012-4 A2 ABS | | | , | | | • | | | | | | | - | | | | | | |
| 80282X-AB-4 | 0.790% 08/17/15 | | 06/15/2013 | Paydown | | 6, 156 | 6 , 156 | 6 , 155 | 6 , 156 | 0 | 0 | 0 | 0 | 6 , 156 | 0 | 0 | 0 | 24 | .08/17/2015 | 1FE |
| 86562D-BS-8 | Sumitomo Mitsui Banking Corp Cert of Deposit 0.800% 04/11/13 | | 04/11/2013 | Maturity | | 750,000 | 750,000 | 750,000 | 750,000 | 0 | 0 | 0 | 0 | 750,000 | 0 | 0 | 0 | 6,083 | _04/11/2013 _ | 1FE |
| | Volkswagen Auto VWALT 2012-A A2 ABS 0.660% | | | , | | | • | • | · | | | | | , | | | | | | |
| 92867K-AB-0 | 11/20/14 | | 04/20/2013 | Paydown | | 15,516 | 15,516 | 15,514 | 15,515 | 0 | 1 | 0 | ļ0 | 15,516 | 0 | 0 | 0 | 34 | _11/20/2014 _ | 1FE |
| 92867K-AB-0 | 11/20/14 | | 05/20/2013 | Paydown | | 11,665 | 11,665 | 11,664 | 11,664 | 0 | 1 | 0 | 1 | 11,665 | 0 | 0 | 0 | 32 | _11/20/2014 _ | 1FE |
| | Volkswagen Auto VWALT 2012-A A2 ABS 0.660% | | | , | | | | • | | | | | | | | | | | | |
| 92867K-AB-0 | 11/20/14 | | 06/20/2013 _ | Paydown | | 13,627 | 13,627 | 13,626 | 13,627 | 0 | 1 | J0 | 0 | 13,627 | 0 | 0 | 0 | 45 | _11/20/2014 _ | 1FE |
| 92936T-AA-0 | 2.300% 06/15/45 | | 04/01/2013 | Paydown | | 279 | 279 | 282 | 282 | 0 | (3) | 0 | | 279 | 0 | 0 | 0 | 2 | .06/15/2045 | 1FM |
| | WF-RBS Comm Mtg WFRBS 2012-C7 A1 CMBS | | | , · | | | | | | | | | | | | | | | | |
| 92936T-AA-0 | 2.300% 06/15/45 | 1 | _05/01/2013 _ | Paydown | L | 311 | 311 | 314 | 314 | 0 | (3) | L0 | (3) 0 | 311 | 0 | 0 | 0 | 3 | _06/15/2045 _ | 11FM |

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold. Redeemed or Otherwise Disposed of During the Current Quarter

| | | | | SHOW All LO | ilg-Tellil bu | nds and Stoc | k Solu, Keu | eemed or C | | | | | | • | | | | | | |
|-------------|--|---|-------------|-------------|---------------|--------------|-------------|------------|------------|-------------|-------------|----------------|-----------|-----------|-----------|----------|------------|-------------|------------------|--------|
| 1 | 2 | 3 4 | 5 | 6 | 7 | 8 | 9 | 10 | Ch | nange In Bo | ok/Adjusted | Carrying Value | ue | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| | | | | | | | | | | | | Total | Total | | | | | | | NAIC |
| | | | | | | | | | | | Current | Change in | Foreian | | | | | | | Desig- |
| | | | | | | | | | | | Year's | Book/ | Exchange | Book/ | | | | Bond | | nation |
| | | | | | | | | Prior Year | | Current | Other Than | | Change in | Adjusted | Foreign | | | Interest/ | Stated | or |
| | | | | | | | | Book/ | Unrealized | | Temporary | Carrying | Book | Carrying | Exchange | Realized | | Stock | Con- | Market |
| CUSIP | | | | Number of | | | | Adjusted | Valuation | (Amor- | Impairment | | /Adjusted | Value at | Gain | Gain | Total Gain | Dividends | tractual | In- |
| Ident- | | For- Dispo | sal Name | Shares of | Consid- | | Actual | Carrying | Increase/ | tization)/ | Recog- | (11 + 12 - | Carrying | Disposal | (Loss) on | | (Loss) on | Received | Maturity | |
| ification | Description | eign Date | | Stock | eration | Par Value | Cost | Value | (Decrease) | , | nized | 13) | Value | Date | Disposal | Disposal | | DuringYear | Date | (a) |
| ilication | WF-RBS Comm Mta WFRBS 2012-C7 A1 CMBS | eigii Dati | or urchaser | Olock | Ciation | i ai vaiue | COSt | value | (Decrease) | Accietion | IIIZEU | 13) | value | Date | Disposai | Disposai | Disposai | During rear | Date | (a) |
| 92936T-AA-0 | 2.300% 06/15/45 | 06/01/2 | 13 Paydown | | 283 | 283 | 286 | 286 | 0 | (3) | 0 | (3) | 0 | 283 | 0 | 0 | 0 | 3 | 06/15/2045 . | 1FM |
| | Walmart Stores Inc Corp Note Non Call | | | | | | | | | , | | | | | | | | | | |
| 931142-BT-9 | 4.550% 05/01/13 | | 13 Maturity | | 150,000 | 150,000 | 142,569 | 149,514 | 0 | 486 | 0 | 486 | 0 | 150,000 | 0 | 0 | 0 | 3,413 | _05/01/2013 | 1FE |
| | World Omni Auto WOART 2012-A A2 ABS 0.520% | | | | | | | | | | | | | _ | | | | | | |
| 98157H-AA-5 | | | 13 Paydown | | 5,502 | 5,502 | 5,502 | 5,502 | 0 | 0 | 0 | 0 | 0 | 5,502 | 0 | 0 | 0 | 10 | 06/15/2015 . | 1FE |
| 98157H-AA-5 | World Omni Auto WOART 2012-A A2 ABS 0.520% | 05/45/0 | 13 Paydown | | 5.519 | 5.519 | 5,519 | 5,519 | | | | | 0 | 5,519 | | 0 | 0 | 12 | 06/15/2015 | 100 |
| 9613/H-AA-3 | World Omni Auto WOART 2012-A A2 ABS 0.520% | | 13 Paydown | | 9۱۵,۵ | | | 5,519 | | | | | | 5,519 | | 0 | | 12 | 06/15/2015 _ | . IFE |
| 98157H-AA-5 | | 06/15/2 | 13 Paydown | | 5.399 | 5.399 | 5.399 | 5,399 | 0 | 0 | 0 | 0 | 0 | 5,399 | 0 | 0 | 0 | 14 | 06/15/2015 . | 1FF |
| | World Omni Auto WOLS 2012-A A2 ABS 0.710% | | | | , , , , , | | | , 000 | | | | | | , 000 | | | | | | |
| 98158V-AB-1 | | 04/15/2 | 13 Paydown | | 2,077 | 2,077 | 2,077 | 2,077 | 0 | 0 | 0 | 0 | 0 | 2,077 | 0 | 0 | 0 | 5 | 01/15/2015 . | 1FE |
| | World Omni Auto WOLS 2012-A A2 ABS 0.710% | | | | | | | | | | | | | | | | | | | |
| 98158V-AB-1 | | | 13 Paydown | | 2,209 | 2,209 | 2,209 | 2,209 | 0 | 0 | 0 | 0 | 0 | 2,209 | 0 | 0 | 0 | 7 | 01/15/2015 . | 1FE |
| 98158V-AB-1 | World Omni Auto WOLS 2012-A A2 ABS 0.710% | 00 (45 (0) | 13 Pavdown | | 2.215 | 0.045 | 0.045 | 0.045 | 0 | | | | 0 | 2.215 | | | | 0 | .01/15/2015 | 455 |
| 98158V-AB-1 | Canadian Imperial Bnk Cert of Deposit | | 13 Paydown | | 2,215 | 2,215 | 2,215 | 2,215 | | 0 | 0 | | 0 | 2,215 | 0 | 0 | 0 | B | 01/15/2015 _ | . IFE |
| 13606Y-FC-5 | 0.520% 04/04/13 | Δ 04/04/2 | 13 Maturity | | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | n | 0 | 0 | 0 | 0 | 1,000,000 | 0 | 0 | 0 | 5 272 | 04/04/2013 . | 1FF |
| | Bank Tokyo Mitsubishi Cert of Deposit | 701111111111111111111111111111111111111 | io matarrty | | | | | 1,000,000 | | | | | | 1,000,000 | | | | ,2,2 | 2.547 047 2010 1 | |
| | 0.830% 05/13/13 | F05/13/2 | 13 Maturity | | 750,000 | 750,000 | 750,000 | 750,000 | 0 | 0 | 0 | 0 | 0 | 750,000 | 0 | 0 | 0 | 6,294 | 05/13/2013 _ | 1FE |
| 3899999. 8 | Subtotal - Bonds - Industrial and Misce | ellaneous (Un | affiliated) | | 3,095,062 | 3,089,765 | 3,082,408 | 3,081,753 | 0 | 261 | 0 | 261 | 0 | 3,089,284 | 0 | 5,776 | 5,776 | 24,574 | XXX | XXX |
| 8399997. 7 | Total - Bonds - Part 4 | | | | 5,851,308 | 5,689,080 | 5,774,384 | 5,006,111 | 0 | (12,395) | 0 | (12,395) | 0 | 5,755,206 | 0 | 96,105 | 96,105 | 70,747 | XXX | XXX |
| 8399998. 7 | otal - Bonds - Part 5 | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 8399999. 7 | Total - Bonds | | | | 5,851,308 | 5,689,080 | 5,774,384 | 5,006,111 | 0 | (12,395) | 0 | (12,395) | 0 | 5,755,206 | 0 | 96,105 | 96,105 | 70,747 | XXX | XXX |
| 8999997. 7 | otal - Preferred Stocks - Part 4 | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| | Total - Preferred Stocks - Part 5 | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| | Total - Preferred Stocks | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| | Total - Common Stocks - Part 4 | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| | Total - Common Stocks - Part 5 | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| | Total - Common Stocks | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 7,001 | 0 | 0 | 0 | XXX | XXX |
| | Total - Preferred and Common Stocks | 1 | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9999999 - | | • | | | 5.851.308 | XXX | 5.774.384 | 5.006.111 | 0 | (12.395) | 0 | (12.395) | 0 | 5.755.206 | 0 | 96.105 | 96.105 | 70.747 | XXX | XXX |
| 000000 | TOLAIO | | | | 0,001,000 | 7000 | 5,77,004 | 0,000,111 | U | (12,000) | | (12,000) | - 0 | 0,700,200 | Ū | 30,103 | 30,103 | 10,141 | ,,,,,,, | ,,,,,, |

⁽a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open \overline{N} \overline{O} \overline{N} \overline{E}

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open \overline{N} \overline{O} \overline{N} \overline{E}

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open $\overline{\mathsf{N}}$ $\overline{\mathsf{O}}$ $\overline{\mathsf{N}}$ $\overline{\mathsf{E}}$

Schedule DL - Part 1 - Reinvested Collateral Assets Owned NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned NONE

SCHEDULE E - PART 1 - CASH

| Month | Fnd | Depository | Ralances |
|----------|------|------------|-----------|
| IVIOLILI | LIIU | | Dalalices |

| | | WOTILLI | Life Depository | Dalarices | | | | |
|---|------|---------------------|--------------------------------|-------------------------------|-------------|--|---|-------|
| 1 | 2 | 3 | 4 | 5 | | lance at End of Eacuring Current Quart | | 9 |
| | | | Amount of Interest Received | Amount of Interest Accrued | 6 | 7 | 8 | |
| Depository | Code | Rate of Interest | During Current Quarter | at Current Statement Date | First Month | Second Month | Third Month | * |
| BNP Paribas Cert of Deposit | | 0.490 | | 2,368 | 1,000,000 | 1,000,000 | 1,000,000 | XXX. |
| Deutsche Bank Cert of Deposit | | 0. 400 | | | 1,000,000 | | | |
| Leatische bank cert of Deposit | | 0.730 | | 2,433 | 750,000 | 750,000 | 750,000 | .xxx. |
| Lloyds TSB Bank Cert of | | | | | | | | |
| Deposit | | 0.340 | | 531 | 750,000 | 750,000 | 750,000 | .xxx |
| Landesbank Hessen-Thuringen | | | | | , | , | , | |
| Cert of Deposit | | 0.510 | 1,934 | | | | | xxx. |
| | | 0.510 | | | | | | |
| Banco del Estado de Chile Cert | | 0.050 | | 0.040 | 075 000 | 075 000 | 075 000 | |
| of Deposit | | 0.850 | | 2,940 | 375,000 | 375,000 | 375,000 | XXX |
| Sumitomo Mitsui Banking Corp | | | | | | | | |
| Cert of Deposit | | 0.380 | | 388 | | 750,000 | 750,000 | XXX. |
| Credit Suisse Cert of Deposit | | | | | | | | |
| | | 0.776 | | 722 | 500,000 | 500,000 | 500,000 | xxx. |
| Skandinaviska Enskilda Cert | | 0.770 | | | | | | |
| | | 0 000 | | 334 | | 000 000 | 000 000 | 2004 |
| of Deposit | | 0.290 | | 334 | | 900,000 | 900,000 | XXX |
| Mizuho Corporate Bank Cert of | | | | | | | | |
| Deposit | | 0.350 | 1,760 | | 1,000,000 | | | XXX. |
| Bank Tokyo Mitsubishi Cert of | | | | | | | | |
| Deposit | | 0.860 | | 11 940 | 1,400,000 | 1,400,000 | 1,400,000 | .xxx. |
| Co-Op Cent Bnk NL Cert of | | 0.000 | | | 1,400,000 | | | |
| | | 0 400 | | 0.000 | 4 000 000 | 4 000 000 | 4 000 000 | |
| Deposit | | 0.430 | | 2,628 | 1,000,000 | 1,000,000 | 1,000,000 | XXX |
| Norinchukin Bank Cert of | | | | | | | | |
| Deposit | | 0.380 | | 2,078 | 1,100,000 | 1,100,000 | 1,100,000 | XXX |
| Shizuoka Bank Cert of Deposit | | | | | | | | |
| ' | | 0.260 | 488 | | 750,000 | | | .xxx. |
| Landesbank Hessen-Thuringen | | | | | | | | |
| · · | | 0.420 | | 840 | 900,000 | 900,000 | 900,000 | 2007 |
| Cert of Deposit | | 0.420 | | 040 | 900,000 | 900,000 | 900,000 | XXX |
| Banco del Estado de Chile Cert | | | | | | | | |
| of Deposit | | 0.650 | | 1,611 | 350,000 | 350,000 | 350,000 | XXX |
| Barclays Bank Cert of Deposit | | | | | | | | |
| | | 0.750 | | 3,025 | 1,100,000 | 1,100,000 | 1,100,000 | XXX |
| Standard Charter Bank Cert of | | | | , | , , | , , | , , | , |
| Deposit | | 0.530 | 2,753 | | | | | VVV |
| | · | 0.330 | Z,133 | | | | | XXX |
| Credit Suisse Cert of Deposit | | | | | | | | |
| | | 0.600 | | 1,300 | 600,000 | 600,000 | 600,000 | XXX |
| Sumitomo Mitsui Banking Corp | | | | | | | | |
| Cert of Deposit | | 0.790 | | 5,711 | 750,000 | 750,000 | 750,000 | .xxx |
| Century South Bank Cert of | | | | , | , | , | , | , |
| Deposit | | 0.480 | | 4,928 | 1,100,000 | 1,100,000 | 1,100,000 | xxx |
| | | 0.400 | | 4,320 | 1, 100,000 | 1, 100,000 | 1, 100,000 | |
| Deutsche Bank Cert of Deposit | | | | | | | | |
| | | 0.630 | | 662 | 350,000 | 350,000 | 350,000 | XXX |
| DNB Bank ASA Cert of Deposit | | | | | | | | |
| | | 0.430 | | 2,628 | 1,000,000 | 1,000,000 | 1,000,000 | .XXX. |
| Skandinaviska Enskilda Cert | | | | , | , , | , , | , , | , |
| of Deposit | | 0.510 | 2,401 | | 750.043 | | | xxx. |
| | | | | | 130,043 | | | |
| SSB - Cash/Asset Match! | | 0.000 | | | | | | XXX |
| JP Morgan Detroit, Michigan | | | | | (8,041,170) | . , , , | , | XXX |
| Bank One Detroit, Michigan | | | | | 10,270 | 10,270 | 3, 149 | XXX |
| 0199998. Deposits in depositories that do not | | | | | | | | |
| exceed the allowable limit in any one depository (See | | | | | | | | |
| instructions) - Open Depositories | XXX | XXX | | | | | | XXX |
| 0199999. Totals - Open Depositories | XXX | XXX | 9,336 | 47,067 | 7,494,143 | 6,940,626 | 6,087,760 | XXX |
| 0299998. Deposits in depositories that do not | | ^^^ | 3,000 | 77,007 | 7,707,140 | 5,040,020 | 5,007,700 | ^^^ |
| exceed the allowable limit in any one depository (See | | | | | | | | |
| | VVV | VVV | | | | | | VVV |
| instructions) - Suspended Depositories | XXX | XXX | _ | _ | _ | _ | _ | XXX |
| 0299999. Totals - Suspended Depositories | XXX | XXX | 0 | 0 | 0 | 0 | 0 | XXX |
| 0399999. Total Cash on Deposit | XXX | XXX | 9,336 | 47,067 | 7,494,143 | 6,940,626 | 6,087,760 | XXX |

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 | 2 | 3 | 4 | 5 | Book Ba Di | lance at End of Eac uring Current Quart | ch Month er | 9 |
|-----------------------------------|------|------------------|----------------|---|------------------|--|----------------|-----|
| Depository | Code | Rate of Interest | During Current | Amount of Interest Accrued at Current Statement Date | 6 First Month | 7 Second Month | 8 Third Month | * |
| 0499999. Cash in Company's Office | XXX | | XXX | XXX | FIISLIVIOLILLI | Second Month | THIRD IVIOLITY | XXX |
| 0499999. Cash in Company's Onice | | *** | *** | *** | | | | |
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| | | | | | | | | |
| 0599999. Total - Cash | XXX | XXX | 9,336 | 47,067 | 7,494,143 | 6,940,626 | 6,087,760 | XXX |

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| Show investments owned and of current Quarter | | | | | | | |
|--|------|---------------|------------------|---------------|----------------|--------------------|-----------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| | | | | | Book/Adjusted | Amount of Interest | Amount Received |
| Description | Code | Date Acquired | Rate of Interest | Maturity Date | Carrying Value | Due and Accrued | During Year |
| 0599999, Total - U.S. Government Bonds | | | | 4 | 0 | 0 | 0 |
| 1099999. Total - All Other Government Bonds | | | | | 0 | 0 | 0 |
| 1799999. Total - U.S. States, Territories and Possessions Bonds | | | | | 0 | 0 | 0 |
| 2499999. Total - U.S. Political Subdivisions Bonds | | | | | 0 | 0 | 0 |
| 3199999. Total - U.S. Special Revenues Bonds | | | | | 0 | 0 | 0 |
| Credit Industriel Cert of Deposit | | 05/28/2013 | 0.230 | 08/27/2013 | 900,000 | 190 | 0 |
| ERAC USA Fin Disc Comm Paper | | 06/24/2013 | 0.370 | 08/26/2013 | 549,683 | 0 | 34 |
| 3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations | | | | | 1,449,683 | 190 | 34 |
| 3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds | | | | | 1,449,683 | 190 | 34 |
| 4899999. Total - Hybrid Securities | | | | | 0 | 0 | 0 |
| 5599999. Total - Parent, Subsidiaries and Affiliates Bonds | | | | | 0 | 0 | 0 |
| 7799999. Total - Issuer Obligations | | | | | 1,449,683 | 190 | 34 |
| 7899999. Total - Residential Mortgage-Backed Securities | | | | | 0 | 0 | 0 |
| 7999999. Total - Commercial Mortgage-Backed Securities | | | | 0 | 0 | 0 | |
| 8099999. Total - Other Loan-Backed and Structured Securities | | | | 0 | 0 | 0 | |
| 8399999. Total Bonds | | | | 1,449,683 | 190 | 34 | |
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| 8699999 - Total Cash Equivalents | | | ļ | | 1,449,683 | 190 | 34 |
| 0000000 Total Odoli Equivalento | | | | | 1,449,000 | 190 | 34 |